# Families of Gröbner Degenerations, Grassmannians and Universal Cluster Algebras

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Abstract. Let V be the weighted projective variety defined by a weighted homogeneous ideal J and C a maximal cone in the Gröbner fan of J with m rays. We construct a flat family over  $\mathbb{A}^m$  that assembles the Gröbner degenerations of V associated with all faces of C. This is a multi-parameter generalization of the classical one-parameter Gröbner degeneration associated to a weight. We explain how our family can be constructed from Kaveh–Manon's recent work on the classification of toric flat families over toric varieties: it is the pull-back of a toric family defined by a Rees algebra with base  $X_C$  (the toric variety associated to C) along the universal torsor  $\mathbb{A}^m \to X_C$ . We apply this construction to the Grassmannians  $\operatorname{Gr}(2, \mathbb{C}^n)$ with their Plücker embeddings and the Grassmannian  $\operatorname{Gr}(3, \mathbb{C}^6)$  with its cluster embedding. In each case, there exists a unique maximal Gröbner cone whose associated initial ideal is the Stanley–Reisner ideal of the cluster complex. We show that the corresponding cluster algebra with universal coefficients arises as the algebra defining the flat family associated to this cone. Further, for  $\operatorname{Gr}(2, \mathbb{C}^n)$  we show how Escobar–Harada's mutation of Newton– Okounkov bodies can be recovered as tropicalized cluster mutation.

*Key words:* cluster algebras; Gröbner basis; Gröbner fan; Grassmannians; flat degenerations; Newton–Okounkov bodies

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# 1 Introduction

The theory of Gröbner fans, introduced by Mora and Robbiano [40], is a popular tool in commutative algebra, and one of its modern applications is degenerating ideals into simpler ones such as monomial, binomial or toric ideals. More precisely, let  $\mathbb{K}$  be an algebraically closed field and  $J \subseteq \mathbb{K}[x_1, \ldots, x_n]$  a **d**-weighted homogeneous ideal for some  $\mathbf{d} \in \mathbb{Z}_{>0}^n$ . The Gröbner fan of J is a complete fan in  $\mathbb{R}^n$  whose elements represent weight vectors on the variables  $x_1, \ldots, x_n$ . Two weight vectors lie in the same open cone if and only if they give rise to the same initial ideal of J, see Definition 2.3. The ideal J defines a weighted projective variety V inside the weighted projective space  $\mathbb{P}(\mathbf{d})$ . Every open cone in the Gröbner fan gives rise to a one-parameter flat family degenerating V to the variety defined by the associated initial ideal of J. This construction is realized by choosing a weight in the relative interior of the cone.

We modify the classical one-parameter construction as follows: for a maximal cone C in the Gröbner fan of J we choose integral generators of its rays  $r_1, \ldots, r_m$  and denote by  $\mathbf{r}$  the  $(m \times n)$ -matrix whose rows are  $r_1, \ldots, r_m$ . For an element  $f = \sum_{\alpha \in \mathbb{Z}_{\geq 0}^n} c_\alpha \mathbf{x}^\alpha$  of J, we define  $\mu(f) \in \mathbb{Z}^m$  as the vector whose *i*-th entry is  $\min_{c_\alpha \neq 0} \{r_i \cdot \alpha\}$ . Then the *lift* of f is

$$\tilde{f} := f(\mathbf{t}^{\mathbf{r} \cdot e_1} x_1, \dots, \mathbf{t}^{\mathbf{r} \cdot e_n} x_n) \mathbf{t}^{-\mu(f)}$$

where  $\mathbf{t}^{\mathbf{a}}$  for  $\mathbf{a} \in \mathbb{Z}^m$  denotes the monomial  $\prod_{i=1}^m t_i^{a_i}$ . The *lifted ideal*  $\tilde{J} \subseteq \mathbb{K}[t_1, \ldots, t_m][x_1, \ldots, x_n]$  is the ideal generated by the lifts of all polynomials in J. We prove that  $\tilde{J}$  is generated by the lifts of elements of the reduced Gröbner basis for J and C, see Proposition 3.9. The lifts of these elements are independent of the choice of  $\mathbf{r}$  and homogeneous with respect to the **d**-grading on  $x_i$ 's, see Proposition 3.3. Consequently,  $\tilde{J}$  is independent of the choice of  $\mathbf{r}$  and it defines a variety inside  $\mathbb{P}(\mathbf{d}) \times \mathbb{A}^m$ . Our first main result is the following.

**Theorem 1.1** (Theorem 3.14). Let J be a weighted homogeneous ideal, C a maximal cone in the Gröbner fan of J and  $\mathbf{r}$  an  $(m \times n)$ -matrix whose rows are integral ray generators of C. Then the algebra  $\tilde{A} := \mathbb{K}[t_1, \ldots, t_m][x_1, \ldots, x_n]/\tilde{J}$  is a free  $\mathbb{K}[t_1, \ldots, t_m]$ -algebra. It defines a flat family



such that for every face  $\tau$  of C there exists  $\mathbf{a}_{\tau} \in \mathbb{A}^m$  with fiber  $\pi^{-1}(\mathbf{a}_{\tau})$  isomorphic to the variety defined by the initial ideal associated to  $\tau$ . In particular, generic fibers are isomorphic to  $\operatorname{Proj}(A)$ , where  $A = \mathbb{K}[x_1, \ldots, x_n]/J$ , and there exist special fibers for every proper face  $\tau \subset C$ .

Next, we explain how the algebra  $\tilde{A}$  arises in Kaveh–Manon's recent work on the classification of affine toric flat families of finite type over toric varieties [33]. Consider a fan  $\Sigma$  defining a toric variety  $X_{\Sigma}$  which contains a dense torus T. Then a *toric family* is a T-equivariant flat sheaf  $\mathcal{A}$ of positively graded algebras of finite type over  $X_{\Sigma}$  such that: (i) the relative spectrum of  $\mathcal{A}$  has reduced fibers and (ii) its generic fibers are isomorphic to the spectrum of some positively graded  $\mathbb{K}$ -algebra  $\mathcal{A}$ . Such families are classified by so-called PL-quasivaluations on  $\mathcal{A}$  whose codomain is the semifield of piecewise linear functions on the intersection of  $\Sigma$  with the cocharacter lattice of T (see [33, Section 1.1] or Section 3.1 below). Given a PL-quasivaluation, Kaveh–Manon construct a sheaf of Rees algebras on  $X_{\Sigma}$  that is a toric family. In the special case when  $\Sigma$ is a cone, their construction yields a single Rees algebra rather than a sheaf. We prove the following result:

**Theorem 1.2** (Theorem 3.19). Let  $J \subseteq \mathbb{K}[x_1, \ldots, x_n]$  be a weighted homogeneous ideal and Ca maximal cone in the Gröbner fan of J. Let  $\mathcal{R}_C(A)$  be the Rees algebra associated to the PL-quasivaluation on  $A = \mathbb{K}[x_1, \ldots, x_n]/J$  defined by C and let  $\psi$ : Spec $(\mathcal{R}_C(A)) \to X_C$  be the corresponding toric family. The morphism  $\pi$ : Spec $(\tilde{A}) \to \mathbb{A}^m$  fits into a pull-back diagram as follows:



Here  $p_C \colon \mathbb{A}^m \to X_C$  is the universal torsor of  $X_C$  (see (3.10) for details) and m is the number of rays of C. Both flat families, the one defined by  $\psi$  and the one defined by  $\pi$ , are direct sums of line bundles indexed by the standard monomial basis associated to the maximal cone C.

Toric degenerations are a particular class of flat families with a single special fiber that is a toric variety. They are of significant interest and have been widely studied in recent years. Among the faces of the maximal cone C one may find binomial prime initial ideals that hence define toric Gröbner degenerations of V. Such faces lie in the tropicalization of J denoted  $\operatorname{Trop}(J)$ , which by definition is the subfan of the Gröbner fan consisting of cones whose associated initial ideal does not contain monomials. Let  $\Sigma$  be the intersection of C with the tropicalization of J. Then the affine space  $\mathbb{A}^m$  contains the universal torsor  $\mathcal{T}_{\Sigma}$  of the toric variety  $X_{\Sigma}$  and all fibers of  $\pi^{-1}(\mathcal{T}_{\Sigma}) \to \mathcal{T}_{\Sigma}$  correspond to initial ideals of cones in  $\Sigma$ . In Corollary 3.20 we show how the family defined by  $\pi|_{\pi^{-1}(\mathcal{T}_{\Sigma})}$  also arises as a pull-back from a toric family defined by a sheaf of Rees algebras on  $X_{\Sigma}$ .

**Grassmannians and cluster algebras.** The interest of studying toric degenerations in the context of cluster algebras has grown in the last years (see for example [9, 28]). Therefore, we would like to understand the framework introduced above from the perspective of cluster algebras. As a first step in this direction, we analyze in depth the situation for the Grassmannians  $Gr(2, \mathbb{C}^n)$  and  $Gr(3, \mathbb{C}^6)$  whose coordinate rings are cluster algebras.

For  $Gr(2,\mathbb{C}^n)$  we choose its Plücker embedding and obtain the homogeneous coordinate ring  $A_{2,n}$  as a quotient of the polynomial ring in Plücker variables  $\mathbb{C}[p_{ij}: 1 \leq i < j \leq n]$ by the Plücker ideal  $I_{2,n}$ . It was shown in [23] that  $A_{2,n}$  is a cluster algebra in which the cluster variables are in one-to-one correspondence with Plücker coordinates  $\bar{p}_{ij} \in A_{2,n}$  and the seeds are in one-to-one correspondence with triangulations of the n-gon. Every triangulation of the n-gon gives rise to a toric degeneration of  $Gr(2, \mathbb{C}^n)$  obtained by adding principal coefficients to  $A_{2,n}$ at the corresponding seed [28]. Principal coefficients were introduced by Fomin and Zelevinsky in [25] and are a core concept in the theory of cluster algebras. A central piece of the construction is endowing every cluster variable (i.e., every Plücker coordinate) with a so-called g-vector depending on the fixed seed. We want to understand the toric degenerations coming from principal coefficients in the context of Gröbner theory: to achieve this first fix a triangulation T. We use g-vectors for Plücker coordinates to construct a weight vector  $\mathbf{w}_T$ , see Definition 4.35. In Proposition 4.36 we prove that the initial ideal with respect to  $\mathbf{w}_T$  is binomial and prime. hence  $\mathbf{w}_T$  lies in the tropicalization of  $I_{2,n}$ . Moreover, the central fiber of the Gröbner degeneration induced by  $\mathbf{w}_T$  is isomorphic to the central fiber of the toric degeneration induced by endowing  $A_{2,n}$  with principal coefficients at the seed determined by T, see Corollary 4.45.

There is a single object in cluster theory that simultaneously encodes principal coefficients at all seeds and thus the **g**-vectors of all Plücker coordinates with respect to all triangulations. Namely, the cluster algebra with *universal coefficients*  $A_{2,n}^{univ}$  associated to  $A_{2,n}$ , see Definition 4.24. In spirit, this algebra is very similar to the algebra defining the flat family associated to a maximal cone in the Gröbner fan of  $I_{2,n}$  as it encodes various toric degenerations of  $Gr(2, \mathbb{C}^n)$ at the same time. It is therefore natural to ask if the cluster algebra with universal coefficients fits into the above framework. The following result answers this question for  $Gr(2, \mathbb{C}^n)$ :

**Theorem 1.3.** There exists a maximal cone C in the Gröbner fan of  $I_{2,n}$  whose rays are in bijection with diagonals of the n-gon. Moreover, the unique cone C has the following properties:

- (i) The standard monomial basis for  $A_{2,n}$  associated with C coincides with the basis of cluster monomials (Proposition 4.43).
- (ii) For every triangulation T of the n-gon the weight vector  $\mathbf{w}_T$  lies in the boundary of C and the intersection of C with the tropicalization of  $I_{2,n}$  is the totally positive part of  $\text{Trop}(I_{2,n})$ (Proposition 4.44 and Theorem 4.46).

- (iii) Let  $I_{2,n}$  be the lift of  $I_{2,n}$  with respect to C and denote by  $\tilde{A}_{2,n}$  the quotient  $\mathbb{C}[t_{ij}: 2 \leq i+1 < j \leq n][p_{ij}: 1 \leq i < j \leq n]/\tilde{I}_{2,n}$ . Then there exists a canonical isomorphism between the cluster algebra with universal coefficients  $A_{2,n}^{\text{univ}}$  and  $\tilde{A}_{2,n}$  (Theorem 4.50).
- (iv) The monomial initial ideal of  $I_{2,n}$  with respect to C is squarefree and coincides with the Stanley-Reisner ideal of the cluster complex (Corollary 4.59).

Similarly, we describe the cluster algebra with universal coefficients for Gr  $(3, \mathbb{C}^6)$  from the viewpoint of Gröbner theory. In this case, we fix its *cluster embedding* obtained as follows: consider the Plücker embedding and let  $A_{3,6}$  be the homogeneous coordinate ring. As a cluster algebra of type  $D_4$ ,  $A_{3,6}$  has 22 cluster variables, 20 of which are the Plücker coordinates. The additional two cluster variables are homogeneous binomials in Plücker coordinates of degree 2. Hence, we can present  $A_{3,6}$  as the quotient of a polynomial ring in 22 variables by a weighted homogeneous ideal denoted by  $I^{\text{ex}}$ . This yields an embedding of Gr  $(3, \mathbb{C}^6)$  in the weighted projective space  $\mathbb{P}(1, \ldots, 1, 2, 2)$ , where the Plücker coordinates correspond to the coordinates of weight one, and the additional cluster variables to those of weight two, see Section 4.4. Our main result is the following:

**Theorem 1.4.** There exists a unique maximal cone C in the Gröbner fan of  $I^{ex}$  such that

- (i) The algebra  $\hat{A}_{3,6}$  is canonically isomorphic to the cluster algebra with universal coefficients  $A_{3,6}^{\text{univ}}$ , where rays of C are identified with mutable cluster variables of  $A_{3,6}$  (Theorem 4.53(ii)).
- (ii) For every seed of  $A_{3,6}$  there exists a face of C whose associated initial ideal is a totally positive binomial prime ideal. More precisely, the intersection of C with  $\text{Trop}(I^{\text{ex}})$  is the totally positive part of  $\text{Trop}(I^{\text{ex}})$  (Theorem 4.53(iii)).
- (iii) The monomial initial ideal  $in_C(I^{ex})$  associated to C is squarefree and coincides with the Stanley-Reisner ideal of the cluster complex. In particular, the basis of standard monomials associated to C coincides with the basis of cluster monomials (Corollary 4.59).

As mentioned before, the toric fibers of the above families are of particular interest. They arise from maximal cones in the tropicalization whose initial ideal is binomial and prime; such cones are called *maximal prime cones*. The corresponding projective toric varieties (respectively, their normalizations) have associated polytopes. Following [34] these polytopes can be realized as Newton–Okounkov bodies of full rank valuations constructed from maximal prime cones. In the recent preprint [19] Escobar and Harada study wall-crossing phenomena of Newton–Okounkov bodies associated to maximal prime cones that intersect in a facet. They give piecewise linear maps called *flip* and *shift* that relate the Newton–Okounkov bodies. For cluster algebras like  $A_{3,6}$ and  $A_{2,n}$  it has been shown in several cases that Newton–Okounkov bodies can equivalently be obtained from the cluster structure (see, e.g., [6, 8, 26, 46]). Hence, one might wonder how Escobar–Harada's wall-crossing formulas can be understood in the context of cluster algebras. In the particular case of  $A_{2,n}$  each Newton–Okounkov body arising from  $\text{Trop}(I_{2,n})$  is unimodularly equivalent to the convex hull of **g**-vectors of Plücker coordinates for some triangulation. More precisely, we obtain the following result:

**Corollary 1.5** (Corollary 4.64). Let  $\sigma_1$  and  $\sigma_2$  be two maximal prime cones in  $\text{Trop}(I_{2,n})$  that intersect in a facet. Then their associated Newton-Okounkov bodies are (up to unimodular equivalence) related by a shear map obtained from tropicalizing a cluster mutation.

In combination with Escobar–Harada's results about the flip map for  $Gr(2, \mathbb{C}^n)$  this corollary implies that it is of cluster nature (for details see Section 4.6).

We would like to remark that this paper is not the first to make a connection between cluster algebras and Gröbner theory. In [41] Muller, Rajchgot and Zykoski obtained presentations for

lower bounds of cluster algebras using Gröbner theory. Further, it is worth noticing that the theory of universal coefficients for cluster algebras is particularly well-developed for finite and surface type cluster algebras, see [44]. We believe that the above results can be extended to projective varieties containing a cluster variety of finite type. It is further an interesting and challenging problem to extend the results of this paper to cluster algebras of (infinite) surface type as it would involve Gröbner theory of non-Noetherian algebras.

Structure of the paper. In Section 2 we recall the background on weighted projective varieties and Gröbner basis theory. In Section 3 we introduce the construction of the flat families and prove the main theorem. We relate to Kaveh–Manon's work in Section 3.1. In Section 4 we turn to the Grassmannians  $Gr(2, \mathbb{C}^n)$  and  $Gr(3, \mathbb{C}^6)$ . We recall the background on cluster algebras and universal coefficients in Section 4.1. We explain in detail how toric degenerations from cluster algebras arise as Gröbner degenerations for  $Gr(2, \mathbb{C}^n)$  in Section 4.2. Then we apply the main construction to  $Gr(2, \mathbb{C}^n)$  in Section 4.3 and afterwards to  $Gr(3, \mathbb{C}^6)$  in Section 4.4. We explore further connections to Escobar–Harada's work in Section 4.6. Finally, in the Appendix A we present computational results used for the application to  $Gr(3, \mathbb{C}^6)$ .

# 2 Preliminaries

We first fix our notation throughout the note. Let  $\mathbb{K}$  be an algebraically closed field. We are mainly interested in the case when  $\mathbb{K} = \mathbb{C}$ . In the polynomial ring  $\mathbb{K}[x_1, \ldots, x_n]$ , we fix the notation  $\mathbf{x}^{\alpha}$  with  $\alpha = (a_1, \ldots, a_n) \in \mathbb{Z}_{\geq 0}^n$  denoting the monomial  $x_1^{a_1} \ldots x_n^{a_n}$ . Throughout when we write  $f = \sum_{\alpha \in \mathbb{Z}_{\geq 0}^n} c_{\alpha} \mathbf{x}^{\alpha}$  for  $f \in \mathbb{K}[x_1, \ldots, x_n]$  we refer to the expression of f in the basis of monomials.

# 2.1 Weighted projective varieties

Fix a vector  $\mathbf{d} = (d_1, \ldots, d_n) \in \mathbb{R}^n$ . Let  $\mathbb{K}_{\mathbf{d}}[x_1, \ldots, x_n]$  be the polynomial ring  $\mathbb{K}[x_1, \ldots, x_n]$  endowed with the  $\mathbb{R}$ -grading determined by setting  $\deg(x_i) = d_i$  for  $i = 1, \ldots, n$ .

**Definition 2.1.** For  $c \in \mathbb{K}^*$  and  $\alpha = (a_1, \ldots, a_n) \in \mathbb{Z}_{\geq 0}^n$  the weight of the monomial  $c\mathbf{x}^{\alpha} \in \mathbb{K}_{\mathbf{d}}[x_1, \ldots, x_n]$  is  $\mathbf{d} \cdot \alpha = \sum_{i=1}^n d_i a_i$ . We extend this definition and say  $f \in \mathbb{K}_{\mathbf{d}}[x_1, \ldots, x_n]$  is **d**-homogeneous if f can be expressed as a sum of monomials of the same weight. Similarly, an ideal  $J \subseteq \mathbb{K}_{\mathbf{d}}[x_1, \ldots, x_n]$  is **d**-homogeneous if it is generated by **d**-homogeneous elements.

Assume  $\mathbf{d} \in \mathbb{Z}_{>0}^n$ . In this case  $\mathbb{K}_{\mathbf{d}}[x_1, \ldots, x_n]$  is  $\mathbb{Z}$ -graded and we can define the *weighted* projective space  $\mathbb{P}(d_1, \ldots, d_n)$  as the quotient of  $\mathbb{K}^n$  under the equivalence relation

 $(x_1,\ldots,x_n) \sim (\lambda^{d_1}x_1,\ldots,\lambda^{d_n}x_n) \quad \text{for} \quad \lambda \in \mathbb{K}^*.$ 

For  $\mathbb{K} = \mathbb{C}$  it is well-known that  $\mathbb{P}(d_1, \ldots, d_n)$  is a projective toric variety [15]. Moreover, as a scheme  $\mathbb{P}(d_1, \ldots, d_n)$  is the homogeneous spectrum (or Proj) of  $\mathbb{K}_{\mathbf{d}}[x_1, \ldots, x_n]$  [17, Notation 1.1]. For simplicity, we denote  $\mathbb{P}(d_1, \ldots, d_n)$  by  $\mathbb{P}(\mathbf{d})$  and let  $[x_1 : \cdots : x_n]$  denote the class of  $(x_1, \ldots, x_n)$  in  $\mathbb{P}(\mathbf{d})$ . Given a **d**-homogeneous ideal  $J \subseteq \mathbb{K}_{\mathbf{d}}[x_1, \ldots, x_n]$  its set of zeros is

$$V(J) = \{ [x_1 : \dots : x_n] \in \mathbb{P}(\mathbf{d}) \colon f(x_1, \dots, x_n) = 0 \text{ for all } f \in J \}.$$

Conversely, given a subset  $X \subseteq \mathbb{P}(d_1, \ldots, d_n)$ , its associated ideal I(X) is generated by polynomials:

$$\{f \in \mathbb{K}_{\mathbf{d}}[x_1, \dots, x_n]: f \text{ is } \mathbf{d}\text{-homogeneous and } f(p) = 0 \text{ for all } p \in X\}.$$

Subsets of  $\mathbb{P}(\mathbf{d})$  of the form V(J) for some weighted projective ideal J are called weighted projective varieties. These sets are the closed sets of a Zariski-type topology on  $\mathbb{P}(\mathbf{d})$ . The theory of weighted projective varieties is very similar to the theory of usual projective varieties and background on this theory can be found for example in [17, 30, 45]. In particular, the projective space  $\mathbb{P}^{n-1}$  can be realized as  $\mathbb{P}^{n-1} = \mathbb{P}(\mathbf{1})$ , where  $\mathbf{1} = (1, \ldots, 1) \in \mathbb{Z}^n$ . So every projective variety can be considered as a weighted projective variety of  $\mathbb{P}(\mathbf{1})$ .

**Definition 2.2.** The weighted homogeneous coordinate ring of a weighted projective variety  $X \subseteq \mathbb{P}(\mathbf{d})$  is defined as

$$S(X) := \mathbb{K}_{\mathbf{d}}[x_1, \dots, x_n]/I(X).$$

By construction, S(X) is a positively graded ring. Moreover, the weighted homogeneous coordinate ring of a projective variety (considered as a weighted projective variety) coincides with its homogeneous coordinate ring. There is a natural notion of morphism between weighted projective varieties which we will not need in this work. It will be sufficient to recall that if  $X \subseteq$  $\mathbb{P}(d_1, \ldots, d_n)$  and  $Y \subseteq \mathbb{P}(d'_1, \ldots, d'_m)$  are weighted projective varieties, then an isomorphism between the graded rings S(X) and S(Y) induces an isomorphism of the weighted projective varieties X and Y.

#### 2.2 Gröbner basis theory

We first review some results in Gröbner basis theory in order to fix our notation and keep the paper self-contained. Most of the material here is well-known and we refer to Buchberger's thesis [11] and standard books (e.g., [1, 29, 37, 51]) for proofs and more details.

**Definition 2.3.** Let  $f = \sum_{\alpha \in \mathbb{Z}_{\geq 0}^n} c_{\alpha} \mathbf{x}^{\alpha} \in \mathbb{K}[x_1, \ldots, x_n]$ . Given a weight vector  $w \in \mathbb{R}^n$  the initial form of f with respect to w is defined as

$$\operatorname{in}_w(f) := \sum_{\alpha \colon w \cdot \alpha = a} c_\alpha \mathbf{x}^\alpha$$

where  $a = \min\{w \cdot \alpha : c_{\alpha} \neq 0\}$ . For an ideal  $J \subseteq \mathbb{K}[x_1, \ldots, x_n]$  its *initial ideal* with respect to w is defined as  $\operatorname{in}_w(J) := \langle \operatorname{in}_w(f) : f \in J \rangle$ . A finite set  $\mathcal{G} = \{g_1, \ldots, g_r\} \subseteq J$  is called a *Gröbner* basis for J with respect to w if  $\operatorname{in}_w(J) = \langle \operatorname{in}_w(g_1), \ldots, \operatorname{in}_w(g_r) \rangle$ .

**Definition 2.4.** A monomial term order on  $\mathbb{K}[x_1, \ldots, x_n]$  is a total order < on the set of monic monomials in  $\mathbb{K}[x_1, \ldots, x_n]$  such that for every  $\alpha, \beta, \gamma$  in  $\mathbb{Z}_{>0}^n$  we have that

(i)  $1 \leq \mathbf{x}^{\alpha}$ , and (ii) if  $\mathbf{x}^{\alpha} < \mathbf{x}^{\beta}$ , then  $\mathbf{x}^{\alpha+\gamma} < \mathbf{x}^{\beta+\gamma}$ .

The *initial monomial* of an element  $f = \sum_{\alpha \in \mathbb{Z}_{\geq 0}^n} c_\alpha \mathbf{x}^\alpha \in \mathbb{K}[x_1, \ldots, x_n]$  with respect to < is  $\operatorname{in}_{<}(f) := c_\beta \mathbf{x}^\beta$ , where  $\mathbf{x}^\beta = \max_{<} \{\mathbf{x}^\alpha : c_\alpha \neq 0\}$ . The *initial ideal* of an ideal  $J \subseteq \mathbb{K}[x_1, \ldots, x_n]$  with respect to < is defined as  $\operatorname{in}_{<}(J) := \langle \operatorname{in}_{<}(f) : f \in J \rangle$ .

Recall that given an ideal  $J \subseteq \mathbb{K}[x_1, \ldots, x_n]$  and a monomial term order < there always exists a weight vector  $-w \in \mathbb{Z}_{\geq 0}^n$  such that  $\operatorname{in}_w(J) = \operatorname{in}_{<}(J)$ , see, e.g., [29, Theorem 3.1.2] (Note the sign switch here, this is due to our min-convention for initial ideals with respect to weight vectors and max-convention for initial ideals with respect to monomial term orders.) On the other hand, if  $\operatorname{in}_w(J)$  is generated by monomials and there exists a monomial term order < such that  $\operatorname{in}_w(J) = \operatorname{in}_{<}(J)$ , then we say that w is compatible with <.

**Definition 2.5.** Let  $in_{\leq}(J)$  be a monomial initial ideal of the ideal J for some monomial term order  $\leq$  on  $\mathbb{K}[x_1, \ldots, x_n]$ . Then the set  $\mathbb{B}_{\leq} := \{\bar{\mathbf{x}}^{\alpha} : \mathbf{x}^{\alpha} \notin in_{\leq}(J)\}$  is a vector space basis of  $\mathbb{K}[x_1, \ldots, x_n]/J$  (and  $\mathbb{K}[x_1, \ldots, x_n]/in_{\leq}(J)$ ) called *standard monomial basis*. **Theorem 2.6** (the division algorithm). Fix a monomial term order < on  $\mathbb{K}[x_1, \ldots, x_n]$  and let  $g_1, \ldots, g_s$  be non-zero polynomials in  $\mathbb{K}[x_1, \ldots, x_n]$ . Given  $0 \neq f \in \mathbb{K}[x_1, \ldots, x_n]$  there exist  $f_1, \ldots, f_s, f' \in \mathbb{K}[x_1, \ldots, x_n]$  with  $f = f_1g_1 + \cdots + f_sg_s + f'$ , such that

- (i) if  $f' \neq 0$  then no monomial of f' is divisible by any of the monomials  $in_{\leq}(g_1), \ldots, in_{\leq}(g_s)$ ;
- (ii) if  $f_i \neq 0$  then  $\operatorname{in}_{\leq}(f) \geq \operatorname{in}_{\leq}(f_i g_i)$  for all *i*.

We say that f reduces to f' with respect to  $\{g_1, \ldots, g_s\}$ .

**Definition 2.7.** Let < be a monomial term order on  $\mathbb{K}[x_1, \ldots, x_n]$  and  $\mathcal{G} = \{g_1, \ldots, g_s\}$  a finite generating set of an ideal J. Then the *S*-polynomial of  $g_i$  and  $g_j$  is defined as

$$S(g_i, g_j) := \frac{\operatorname{lcm}(\operatorname{in}_{<}(g_i), \operatorname{in}_{<}(g_j))}{\operatorname{in}_{<}(g_i)} g_i - \frac{\operatorname{lcm}(\operatorname{in}_{<}(g_i), \operatorname{in}_{<}(g_j))}{\operatorname{in}_{<}(g_j)} g_j.$$

We say that Buchberger's criterion holds if for all  $1 \le i < j \le s$ , the S-pairs reduce to zero with respect to  $\{g_1, \ldots, g_s\}$ . If Buchberger's criterion holds, then  $\mathcal{G}$  forms a Gröbner basis for J with respect to <. Moreover, a Gröbner basis  $\mathcal{G}$  for J with respect to < is reduced if

- (i)  $in_{\leq}(g_i)$  is monic for all  $1 \leq i \leq s$ , and
- (*ii*) for  $i \neq j$  no monomial in  $g_i$  is divisible by  $in_{\leq}(g_j)$ .

A reduced Gröbner basis for J with respect to < always exists and is unique, see, e.g., [29, Theorem 2.2.7]. We let  $\mathcal{G}_{<}(J)$  denote the reduced Gröbner basis for J with respect to <.

Studying all possible initial ideals of a given ideal leads to the notion of Gröbner fan (see, e.g., [51, Proposition 2.4]):

**Definition 2.8.** Let  $J \subseteq \mathbb{K}[x_1, \ldots, x_n]$  be an ideal. The *Gröbner region* of J denoted by  $\operatorname{GR}(J)$  is the set of  $w \in \mathbb{R}^n$  such that there exists a monomial term order < with  $\operatorname{in}_{<}(\operatorname{in}_w(J)) = \operatorname{in}_{<}(J)$ . The *Gröbner fan* of J denoted by  $\operatorname{GF}(J)$  is a fan with support  $\operatorname{GR}(J)$  in which a pair of elements  $u, w \in \mathbb{R}^n$  lie in the relative interior of the same cone  $C \subset \mathbb{R}^n$  (denoted by  $C^\circ$ ) if and only if  $\operatorname{in}_u(J) = \operatorname{in}_w(J)$ . We introduce the notation  $\operatorname{in}_C(J) := \operatorname{in}_w(J)$  for any  $w \in C^\circ$ . By definition of  $\operatorname{GR}(J)$ , for every full-dimensional cone C, there exists a monomial term order < such that C is the topological closure of  $\{w \in \mathbb{R}^n : \operatorname{in}_w(J) = \operatorname{in}_{<}(J)\}$ . Moreover, we define the *lineality* space  $\mathcal{L}(J)$  as the linear subspace of  $\operatorname{GF}(J)$  that contains all elements u for which  $\operatorname{in}_u(J) = J$ .

Integral weight vectors in GF(J) can be seen as points in a lattice  $N = \mathbb{Z}^n$  whose dual lattice  $M = (\mathbb{Z}^n)^*$  contains exponent vectors of monomials in  $\mathbb{K}[x_1, \ldots, x_n]$ . Consequently, for  $w \in N$  and  $\alpha \in M$  we denote by  $w \cdot \alpha$  the pairing between the two lattices.

**Remark 2.9.** Proposition 15.16 in [18] gives a criterion for whether a weight vector is compatible with a monomial term order < for J, or not. Namely, a weight w is compatible with a monomial term order < if and only if  $\operatorname{in}_w(g) = \operatorname{in}_<(g)$  for every element of  $\mathcal{G}_<(J)$ . Let C be the topological closure of the corresponding Gröbner cone of <, then  $w \in C$  if and only if  $\operatorname{in}_<(g) = \operatorname{in}_<(\operatorname{in}_w(g))$  for every  $g \in \mathcal{G}_<(J)$ .

**Lemma 2.10.** Let  $\mathbf{d} \in \mathbb{Z}_{>0}^n$  and  $J \subseteq \mathbb{K}_{\mathbf{d}}[x_1, \ldots, x_n]$  be a **d**-homogeneous ideal. Then  $\mathbf{d} \in \mathcal{L}(J)$ . More generally,  $\mathcal{L}(J)$  contains all elements  $\mathbf{u} \in \mathbb{R}^n$  such that J is **u**-homogeneous.

**Proof.** Observe that for every **d**-homogeneous polynomial  $f \in \mathbb{K}_{\mathbf{d}}[x_1, \ldots, x_n]$  the equality  $\operatorname{in}_{\mathbf{d}}(f) = f$  holds. Next, every  $f \in J$  can be uniquely written as a finite sum  $f = \sum_{i=0}^{d} f_i$  for some  $d \in \mathbb{Z}_{\geq 0}$  and  $f_i \in J$  of **d**-degree i (see [30, Lemma 3.0.7]). Then  $\operatorname{in}_{\mathbf{d}}(f) = f_j$ , where  $j = \min\{i: f_i \neq 0\}$ . In particular, for any  $f \in J$  we have that  $\operatorname{in}_{\mathbf{d}}(f) \in J$ . Therefore,  $\operatorname{in}_{\mathbf{d}}(J) = J$ .

**Lemma 2.11.** Fix an arbitrary monomial term order <. Then for every  $\ell \in \mathcal{L}(J)$  and every  $g \in \mathcal{G}_{\leq}(J)$  we have  $\operatorname{in}_{\ell}(g) = g$ . In particular, if J is **d**-homogeneous then so is every  $g \in \mathcal{G}_{\leq}(J)$ .

**Proof.** Consider a Gröbner basis  $F := \{f_1, \ldots, f_t\}$  of J with respect to a fixed element  $\ell \in \mathcal{L}(J)$ . Then  $J = \mathrm{in}_{\ell}(J)$  is generated by  $\mathrm{in}(F) := \{\mathrm{in}_{\ell}(f_1), \ldots, \mathrm{in}_{\ell}(f_t)\}$ . In particular, we have  $\mathrm{in}_{\ell}(\mathrm{in}_{\ell}(f)) = \mathrm{in}_{\ell}(f)$  for all  $f \in F$ . From the set F we can construct the reduced Gröbner basis  $\mathcal{G}_{\leq}(J)$  by doing the following three steps: First, extend F to a Gröbner basis H with respect to < by adding S-pairs using Buchberger's criterion. Then if necessary eliminate elements from H until the outcome is a minimal Gröbner basis G (see [1, Corollary 1.8.3]). Finally, reduce all elements in G to obtains  $\mathcal{G}_{\leq}(J)$  (see [1, Corollary 1.8.6]). We invite the reader to verify that the property  $\mathrm{in}_{\ell}(g) = g$  holds for all  $g \in H$ , hence for all  $g \in G$  and finally for all  $g \in \mathcal{G}_{\leq}(J)$ .

Recall from [40, Corollary 5.7] that for a **d**-homogeneous ideal  $J \subseteq \mathbb{K}_{\mathbf{d}}[x_1, \ldots, x_n]$  the support of its Gröbner fan, i.e.,  $\operatorname{GR}(J)$ , is  $\mathbb{R}^n$ . In other words, there exists a compatible monomial term order for every maximal cone  $C \in \operatorname{GF}(J)$ .

**Lemma 2.12.** Let  $J \subseteq \mathbb{K}_{\mathbf{d}}[x_1, \ldots, x_n]$  be a **d**-homogeneous ideal,  $C \in \mathrm{GF}(J)$  a maximal cone, and < a compatible monomial term order of C. Consider  $v \in C \setminus C^\circ$  and  $u \in \mathbb{R}^n$  such that  $w := u + v \in C^\circ$ . Then for every  $g \in \mathcal{G}_{<}(J)$ , we have  $\operatorname{in}_{<}(g) = \operatorname{in}_w(g) = \operatorname{in}_u(\operatorname{in}_v(g))$ .

**Proof.** Consider an element g in  $\mathcal{G}_{<}(J)$ . Since  $w \in C^{\circ}$  we have  $\operatorname{in}_{<}(g) = \operatorname{in}_{w}(g)$ . On the other hand, since  $v \in C$ , by Remark 2.9 we have that  $\operatorname{in}_{<}(g) = \operatorname{in}_{<}(\operatorname{in}_{v}(g))$ . This implies that  $\operatorname{in}_{w}(g)$  is a refinement of  $\operatorname{in}_{v}(g)$ . In other words,  $\operatorname{in}_{v}(g)$  contains the monomial  $\operatorname{in}_{w}(g)$  with possibly some extra terms which will disappear after taking its initial form with respect to u.

We note that Lemma 2.12 does not hold for arbitrary elements of J. See Example 4.55.

**Lemma 2.13.** For every cone  $C \in GF(J) \subseteq \mathbb{R}^n$ , the cone  $\overline{C} := C/\mathcal{L}(J) \subseteq \mathbb{R}^n/\mathcal{L}(J)$  is strongly convex, that is  $\overline{C} \cap (-\overline{C}) = \{0\}$ .

**Proof.** As we have chosen J to be **d**-homogeneous every cone in GF(J) is a face of a fulldimensional cone. Hence, we may assume without loss of generality that C is a cone of dimension n. Let < be the corresponding monomial term order and  $g \in \mathcal{G}_{<}(J)$ . Let v and -v be in  $C \setminus C^{\circ}$  and choose  $u, u' \in \mathbb{R}^n$  such that  $u + v, u' - v \in C^{\circ}$ . By Lemma 2.12 we have that  $in_{<}(g) = in_u(in_v(g)) = in_{u'}(in_{-v}(g))$ . However,  $in_{<}(g)$  cannot simultaneously be a monomial in  $in_v(g)$  and  $in_{-v}(g)$  unless  $v \in \mathcal{L}(J)$ .

**Definition 2.14.** For a d-homogeneous ideal  $J \subseteq \mathbb{K}_{\mathbf{d}}[x_1, \ldots, x_n]$  we define its tropicalization

 $\operatorname{Trop}(J) := \{ w \in \mathbb{R}^n \colon \operatorname{in}_w(J) \text{ does not contain any monomial} \}.$ 

In fact,  $\operatorname{Trop}(J)$  is a *d*-dimensional subfan of  $\operatorname{GF}(J)$ , where *d* is the Krull-dimension of  $\mathbb{K}_{\mathbf{d}}[x_1, \ldots, x_n]/J$ .

**Definition 2.15.** An ideal  $J \subseteq \mathbb{R}[x_1, \ldots, x_n]$  is called *totally positive* if it does not contain any non-zero element of  $\mathbb{R}_{\geq 0}[x_1, \ldots, x_n]$ . For a **d**-homogeneous ideal  $J \subseteq \mathbb{R}[x_1, \ldots, x_n]$  the *totally positive part* of its tropicalization is defined as

 $\operatorname{Trop}^+(J) := \{ w \in \operatorname{Trop}(J) \colon \operatorname{in}_w(J) \text{ is totally positive} \}.$ 

Due to [50]  $\operatorname{Trop}^+(J)$  is a closed subfan of  $\operatorname{Trop}(J)$  (that may be empty).

### 3 Families of Gröbner degenerations

In this section, we introduce the main construction of the paper. Let  $J \subseteq \mathbb{K}_{\mathbf{d}}[x_1, \ldots, x_n]$  be a **d**-homogeneous ideal, C a maximal cone in  $\operatorname{GF}(J)$  and A the quotient  $\mathbb{K}_{\mathbf{d}}[x_1, \ldots, x_n]/J$ . Our aim is to construct a flat family of degenerations of  $\operatorname{Proj}(A)$  that contains as fibers the varieties corresponding to the various initial ideals associated to the interior of the faces of C. To achieve this we generalize the following classical construction: take  $w \in C^{\circ}$  and consider the ideal

$$\hat{J}_w := \left\langle \sum_{\alpha \in \mathbb{Z}_{\geq 0}^n} c_\alpha \mathbf{x}^\alpha t^{w \cdot \alpha - \min\{w \cdot \beta \colon c_\beta \neq 0\}} \colon \sum_{\alpha \in \mathbb{Z}_{\geq 0}^n} c_\alpha \mathbf{x}^\alpha \in J \right\rangle \subseteq \mathbb{K}[t][x_1, \dots, x_n].$$
(3.1)

**Remark 3.1.** The ideal  $J_w$  induces a flat family  $\operatorname{Spec}(\mathbb{K}[t][x_1, \ldots, x_n]/J_w) \to \operatorname{Spec}(\mathbb{K}[t])$  whose fiber over the closed point (t) is isomorphic to  $\operatorname{Spec}(\mathbb{K}[x_1, \ldots, x_n]/\operatorname{in}_w(J))$  and the fiber over any non-zero closed point (t-a) is isomorphic to  $\operatorname{Spec}(A)$ , see [18, Theorem 15.17]. In fact, (3.1) and [18, Theorem 15.17] hold more generally for arbitrary cones in  $\operatorname{GF}(J)$ . However, for the following generalization we focus on maximal cones for simplicity.

To generalize the construction (3.1) we fix vectors  $r_1, \ldots, r_m \in C$  such that  $\{\overline{r}_1, \ldots, \overline{r}_m\}$  is the set of primitive ray generators for  $\overline{C}$ , which is possible due to Lemma 2.13. Using Lemma 2.10 we may assume if necessary that  $r_1, \ldots, r_m$  are non-negative or positive vectors. We denote by **r** the  $(m \times n)$ -matrix whose rows are  $r_1, \ldots, r_m$ . Additionally, we write < for a monomial term order compatible with C and denote by  $\mathcal{G}$  the associated reduced Gröbner basis.

**Definition 3.2.** For  $f = \sum_{\alpha \in \mathbb{Z}_{\geq 0}^n} c_{\alpha} \mathbf{x}^{\alpha} \in J$  set  $\mu_{\mathbf{r}}(f) := (\min_{c_{\alpha} \neq 0} \{r_i \cdot \alpha\})_{i=1,\dots,m} \in \mathbb{Z}^{m \times 1}$ , that is we think of  $\mu_{\mathbf{r}}(f)$  as a column vector with m entries. We define the *lift of* f to be the polynomial  $\tilde{f}_{\mathbf{r}} \in \mathbb{K}[t_1,\dots,t_m][x_1,\dots,x_n]$  given by the following formula

$$\tilde{f}_{\mathbf{r}} := \tilde{f}_{\mathbf{r}}(\mathbf{t}, \mathbf{x}) := f(\mathbf{t}^{\mathbf{r} \cdot e_1} x_1, \dots, \mathbf{t}^{\mathbf{r} \cdot e_n} x_n) \mathbf{t}^{-\mu_{\mathbf{r}}(f)} = \sum_{\alpha \in \mathbb{Z}_{\geq 0}^n} c_{\alpha} \mathbf{x}^{\alpha} \mathbf{t}^{\mathbf{r} \cdot \alpha - \mu_{\mathbf{r}}(f)}.$$

Similarly, we define the *lifted ideal* as

$$\tilde{J}_{\mathbf{r}} := \left\langle \tilde{f}_{\mathbf{r}} \colon f \in J \right\rangle \subseteq \mathbb{K}[t_1, \dots, t_m][x_1, \dots, x_n],$$

and the *lifted algebra* as the quotient

$$\hat{A}_{\mathbf{r}} := \mathbb{K}[t_1, \dots, t_m][x_1, \dots, x_n]/J_{\mathbf{r}}.$$
(3.2)

We proceed by showing that the lifted algebra  $A_{\mathbf{r}}$  is independent of the choice of vectors  $r_1, \ldots, r_m \in C$  that represent the primitive ray generators  $\overline{r}_1, \ldots, \overline{r}_m$  of  $\overline{C}$ . For this, we identify generators of the ideal  $\tilde{J}_{\mathbf{r}}$  which is a crucial matter for applications.

**Proposition 3.3.** Suppose  $r'_1, \ldots, r'_m \in C$  are such that  $\overline{r}'_i = \overline{r}_i$  in  $\mathbb{R}^n/\mathcal{L}(J)$ . Let  $\mathbf{r}'$  be the  $(m \times n)$ -matrix whose rows are  $r'_1, \ldots, r'_m$ . Then for  $g \in \mathcal{G}$  we have that  $\tilde{g}_{\mathbf{r}} = \tilde{g}_{\mathbf{r}'}$ .

**Proof.** We have that  $r'_i = r_i + l_i$  for some  $l_i \in \mathcal{L}(J)$ . Let L be the  $(m \times n)$ -matrix whose rows are  $l_1, \ldots, l_m$ . In particular,  $\mathbf{r}' = \mathbf{r} + L$ . Write  $g = \sum_{\alpha \in \mathbb{Z}_{\geq 0}^n} c_\alpha \mathbf{x}^\alpha$ . Since  $g \in \mathcal{G}$  we have by Lemma 2.11 that the value  $l_i \cdot \alpha$  is the same for all  $\alpha$  with  $c_\alpha \neq 0$ . Let  $a_i$  be this common value. Observe that  $\min_{c_\alpha \neq 0} \{r'_i \cdot \alpha\} = \min_{c_\alpha \neq 0} \{r_i \cdot \alpha\} + a_i$ . In particular, we have that the column vector  $(a_1, \ldots, a_m)$  is equal to  $L \cdot \alpha$  for all  $\alpha$  with  $c_\alpha \neq 0$  and therefore  $\mu_{\mathbf{r}'}(f) = \mu_{\mathbf{r}}(f) + L \cdot \alpha$ . Finally, we compute

$$\tilde{g}_{\mathbf{r}'} = \sum_{\alpha \in \mathbb{Z}_{\geq 0}^n} c_\alpha \mathbf{x}^\alpha \mathbf{t}^{\mathbf{r}' \cdot \alpha - \mu_{\mathbf{r}'}(g)} = \sum_{\alpha \in \mathbb{Z}_{\geq 0}^n} c_\alpha \mathbf{x}^\alpha \mathbf{t}^{\mathbf{r} \cdot \alpha + L \cdot \alpha - (\mu_{\mathbf{r}}(g) + L \cdot \alpha)} = \tilde{g}_{\mathbf{r}}.$$

In the following, when there is no risk of confusion, we write  $\tilde{J}$  for  $\tilde{J}_{\mathbf{r}}, \tilde{f}$  for  $\tilde{f}_{\mathbf{r}}$  and  $\tilde{A}$  for  $\tilde{A}_{\mathbf{r}}$ .

**Notation 3.4.** For  $\mathbf{a} = (a_1, \ldots, a_m) \in \mathbb{K}^m$  denote by  $(\mathbf{t} - \mathbf{a})$  the maximal ideal  $\langle t_1 - a_1, \ldots, t_m - a_m \rangle$  of  $\mathbb{K}[t_1, \ldots, t_m]$ . Then we denote by  $\tilde{A}|_{\mathbf{t}=\mathbf{a}}$  the tensor product  $\tilde{A} \otimes_{\mathbb{K}[t_1, \ldots, t_m]} \mathbb{K}[t_1, \ldots, t_m] / (\mathbf{t}-\mathbf{a})$ . Additionally, for  $f \in \mathbb{K}[t_1, \ldots, t_m][x_1, \ldots, x_n]$  let  $f|_{\mathbf{t}=\mathbf{a}} \in \mathbb{K}[x_1, \ldots, x_n]$  be the evaluation of f at  $t_i = a_i$  for  $i \in [m]$ . Similarly, denote by  $\tilde{J}|_{\mathbf{t}=\mathbf{a}}$  the ideal of  $\mathbb{K}[x_1, \ldots, x_n]$  generated by the set  $\{\tilde{f}|_{\mathbf{t}=\mathbf{a}} \colon f \in J\}$ . For a set  $B \subset \mathbb{K}[t_1, \ldots, t_m][x_1, \ldots, x_n]$  let  $B|_{\mathbf{t}=\mathbf{a}} := \{b|_{\mathbf{t}=\mathbf{a}} \colon b \in B\}$ . Recall the isomorphism  $\mathbb{K}[t_1, \ldots, t_m]/(\mathbf{t} - \mathbf{a}) \to \mathbb{K}$  that sends an element  $\bar{f}$  to  $f|_{\mathbf{t}=\mathbf{a}}$ . It extends to an isomorphism  $\tilde{A}|_{\mathbf{t}=\mathbf{a}} \cong \mathbb{K}[x_1, \ldots, x_n]/\tilde{J}|_{\mathbf{t}=\mathbf{a}}$ , which explains our choice of notation.

Denote  $1 := (1, ..., 1) \in \mathbb{Z}^m$ .

**Lemma 3.5.** Let  $w = (w_1, \ldots, w_n) = r_1 + \cdots + r_m$ , and  $w' = (-1, \ldots, -1, w_1, \ldots, w_n) \in \mathbb{Z}^{m+n}$ , where  $w_i$  is the weight of  $x_i$  and -1 is the weight of  $t_j$  for  $i = 1, \ldots, n$  and  $j = 1, \ldots, m$ . Then for every  $f \in J$  its lift  $\tilde{f}$  is w'-homogeneous. In particular, the ideal  $\tilde{J}$  is w'-homogeneous.

**Proof.** Let  $f = \sum_{\alpha \in \mathbb{Z}_{\geq 0}^n} c_{\alpha} \mathbf{x}^{\alpha}$ . Then the lift of f is by definition  $\tilde{f} = \sum c_{\alpha} \mathbf{x}^{\alpha} \mathbf{t}^{\mathbf{r} \cdot \alpha - \mu(f)}$ . Notice that the w'-weight of a monomial  $c_{\alpha} \mathbf{x}^{\alpha} \mathbf{t}^{\mathbf{r} \cdot \alpha - \mu(f)}$  in  $\tilde{f}$  is

$$w \cdot \alpha - \mathbf{1} \cdot (\mathbf{r} \cdot \alpha - \mu(f)) = \sum_{i=1}^{m} r_i \cdot \alpha - \sum_{i=1}^{m} r_i \cdot \alpha + \sum_{i=1}^{m} \min_{c_\beta \neq 0} (r_i \cdot \beta) = \sum_{i=1}^{m} \min_{c_\beta \neq 0} (r_i \cdot \beta).$$

This implies the first claim. The second claim is immediate as  $\tilde{J} = \langle \tilde{f} \colon f \in J \rangle$ .

**Remark 3.6.** In Lemma 3.5 more generally we can choose  $v = c_1r_1 + \cdots + c_mr_m \in C^\circ$  with  $c_i \in \mathbb{R}_{\geq 0}$  and  $v' = (-c_1, \ldots, -c_m, v_1, \ldots, v_n)$ . Then  $\tilde{J}$  is v'-homogeneous.

**Lemma 3.7.** Let  $h \in \tilde{J}$  be w'-homogeneous with w' as in Lemma 3.5. Then  $h = \mathbf{t}^{\mathbf{u}} \tilde{f}$  for some  $f \in J$  and  $\mathbf{u} \in \mathbb{Z}_{\geq 0}^{m}$ .

**Proof.** Let  $h = \sum_{i=1}^{s} p_i \tilde{f}_i$  with  $f_i \in J$  and  $p_i \in \mathbb{K}[t_1, \ldots, t_m][x_1, \ldots, x_n]$  w'-homogeneous. Then  $h|_{\mathbf{t}=\mathbf{1}} = \sum_{i=1}^{s} p_i|_{\mathbf{t}=\mathbf{1}} f_i \in J$ . Furthermore, since h is w'-homogeneous,  $h = \mathbf{t}^{\mathbf{u}} \widetilde{h|_{\mathbf{t}=\mathbf{1}}}$  for some  $\mathbf{u} \in \mathbb{Z}_{\geq 0}^m$ . Hence, we can take  $h|_{\mathbf{t}=\mathbf{1}}$  as f.

**Lemma 3.8.** Consider  $f = \sum_{\alpha \in \mathbb{Z}_{\geq 0}^n} c_{\alpha} \mathbf{x}^{\alpha} \in J$  with a unique monomial  $c_{\beta} \mathbf{x}^{\beta} \in \text{in}_{<}(J)$ . That is, for every  $\alpha \neq \beta$  with  $c_{\alpha} \neq 0$ , there exists no  $g' \in \mathcal{G}$  such that  $\mathbf{x}^{\alpha}$  is divisible by  $\text{in}_{<}(g')$ . Then

$$\tilde{f} = \sum_{\alpha \in \mathbb{Z}_{\geq 0}^{n}} c_{\alpha} \mathbf{x}^{\alpha} \mathbf{t}^{\mathbf{r} \cdot (\alpha - \beta)} = c_{\beta} \mathbf{x}^{\beta} + \sum_{\alpha \neq \beta} c_{\alpha} \mathbf{x}^{\alpha} \mathbf{t}^{\mathbf{r} \cdot (\alpha - \beta)},$$
(3.3)

contains a unique monomial with coefficient in  $\mathbb{K}$ . In particular, this is the case for elements of the reduced Gröbner basis  $\mathcal{G}$ .

**Proof.** The assumption that only the monomial  $c_{\beta}\mathbf{x}^{\beta}$  of f is contained in  $in_{\langle J \rangle}$  ensures that  $c_{\beta}\mathbf{x}^{\beta}$  is also a monomial appearing in  $in_{r_i}(f)$  for all i. To see this, assume there is a ray  $r_i$ such that  $c_{\beta}\mathbf{x}^{\beta}$  is not a monomial in  $in_{r_i}(f)$  and let  $w' = \sum_{j \neq i} r_j$ . By [38, Lemma 2.4.5] we can find an  $\epsilon$  so that  $in_{\epsilon w'+r_i}(f) = in_{w'}(in_{r_i}(f))$ . As we assumed  $c_{\beta}\mathbf{x}^{\beta}$  is not a monomial in  $in_{r_i}(f)$ , it is also not a monomial in  $in_{\epsilon w'+r_i}(f)$ . But  $\epsilon w'+r_i \in C^{\circ}$  and so  $in_{\epsilon w'+r_i}(f) \in in_{\epsilon w'+r_i}(J) = in_{\langle J \rangle}$ . This however is a contradiction as we assumed that  $c_{\beta}\mathbf{x}^{\beta}$  is the only monomial in f that is contained in  $in_{\langle I \rangle}$ . Therefore,  $r_i \cdot \beta = \min_{c_{\alpha} \neq 0} \{r_i \cdot \alpha\}$  for all i and  $\mathbf{r} \cdot \beta = \mu_{\mathbf{r}}(f)$ . Assume on the contrary that there exists another monomial  $c_{\gamma}\mathbf{x}^{\gamma}$  in f with  $\mathbf{r} \cdot \gamma = \mathbf{r} \cdot \beta$ . Then  $c_{\gamma}\mathbf{x}^{\gamma}$  is also a monomial in  $in_{r_i}(f)$  for all i. Moreover, for  $w = r_1 + \cdots + r_m \in C^{\circ}$  the initial form  $in_w(f)$  contains both monomials  $c_{\beta}\mathbf{x}^{\beta}$  and  $c_{\gamma}\mathbf{x}^{\gamma}$ . But as  $in_w(J) = in_{\langle J \rangle}$  this implies that  $c_{\gamma}\mathbf{x}^{\gamma} \in in_{\langle J \rangle}$ , a contradiction. Hence,  $c_{\beta} \mathbf{x}^{\beta}$  is the unique monomial in f with  $\mu_{\mathbf{r}}(f) = \mathbf{r} \cdot \beta$  and we obtain (3.3) as  $\mathbf{r} \cdot (\alpha - \beta) \neq 0$  for all  $\alpha \neq \beta$ . This completes the proof of the first claim.

To prove the second part, assume that  $g \in \mathcal{G}$  has a monomial term  $c_{\gamma} \mathbf{x}^{\gamma} \in \text{in}_{\langle}(J)$  with  $c_{\gamma} \mathbf{x}^{\gamma} \neq \text{in}_{\langle}(g)$ . Then by the definition of the reduced Gröbner basis,  $c_{\gamma} \mathbf{x}^{\gamma}$  is not divisible by  $\text{in}_{\langle}(g')$  for any  $g' \in \mathcal{G} \setminus \{g\}$ . Hence, it should be divisible by  $\text{in}_{\langle}(g)$ , but this cannot happen as g is **d**-homogeneous by Lemma 2.11.

We extend the monomial term order < on  $\mathbb{K}[x_1, \ldots, x_n]$  to a monomial term order  $\ll$  on the polynomial ring  $\mathbb{K}[t_1, \ldots, t_m, x_1, \ldots, x_n]$  in such a way that  $t_i \ll x_j$  for all  $1 \le i \le m$  and  $1 \le j \le n$ , and

$$\mathbf{x}^{\alpha}\mathbf{t}^{\lambda} \ll \mathbf{x}^{\beta}\mathbf{t}^{\mu}$$
 if and only if (i)  $\mathbf{x}^{\alpha} < \mathbf{x}^{\beta}$  or (ii)  $\mathbf{x}^{\alpha} = \mathbf{x}^{\beta}$  and  $\mathbf{t}^{\lambda} <_{\text{lex}}\mathbf{t}^{\mu}$ . (3.4)

**Proposition 3.9.** The set  $\tilde{\mathcal{G}} = \{\tilde{g} : g \in \mathcal{G}\}$  is a Gröbner basis for  $\tilde{J}$  with respect to  $\ll$ . In particular,  $\tilde{\mathcal{G}}$  is a generating set for  $\tilde{J}$ .

**Proof.** Since  $\tilde{J}$  is w'-homogeneous by Lemma 3.5, it is enough to show that for every w'-homogeneous polynomial  $h \in \tilde{J}$  there exists some  $\tilde{g}_i \in \tilde{\mathcal{G}}$  whose initial term divides  $\inf_{\ll}(h)$ . Let  $h \in \tilde{J}$  be w'-homogeneous. By Lemma 3.7 there exist  $f \in J$  and  $\mathbf{u} \in \mathbb{Z}_{\geq 0}^m$  such that  $h = \mathbf{t}^{\mathbf{u}} \tilde{f}$ . We compute

$$\operatorname{in}_{\ll}(h) = \mathbf{t}^{\mathbf{u}} \operatorname{in}_{\ll}(\tilde{f}) \stackrel{\operatorname{Def.}}{=} {}^{\ll} \mathbf{t}^{\mathbf{u}+\mathbf{v}} \operatorname{in}_{<}(f) \stackrel{\exists \ g \in \mathcal{G}}{=} \mathbf{t}^{\mathbf{u}+\mathbf{v}} c_{\alpha} \mathbf{x}^{\alpha} \operatorname{in}_{<}(g) \stackrel{\operatorname{Lemma } 3.8}{=} \mathbf{t}^{\mathbf{u}+\mathbf{v}} c_{\alpha} \mathbf{x}^{\alpha} \operatorname{in}_{\ll}(\tilde{g}),$$

where g is a suitable element of the Gröbner basis  $\mathcal{G}$  for J and  $\mathbf{v} \in \mathbb{Z}_{\geq 0}^m$ . As  $\tilde{g} \in \tilde{\mathcal{G}}$ , this shows that  $\tilde{\mathcal{G}}$  is a Gröbner basis for  $\tilde{J}$ . Hence, by [29, Theorem 2.1.8]  $\tilde{\mathcal{G}}$  is a generating set for  $\tilde{J}$ .

As a direct consequence of Proposition 3.3 and Proposition 3.9 we obtain that the lifted algebra is independent of the choice of vectors  $r_1, \ldots, r_m$ .

**Corollary 3.10.** For **r** and **r**' as in Proposition 3.3 we have that  $\tilde{A}_{\mathbf{r}} = \tilde{A}_{\mathbf{r}'}$ .

The algebras  $\tilde{A}|_{\mathbf{t}=\mathbf{a}}$  constitute the fibers of a flat family introduced below. The following result leads to fibers over different points being isomorphic if they have zero entries in the same positions.

**Proposition 3.11.** Consider  $\mathbf{a} = (a_1, \ldots, a_m)$  and  $\mathbf{b} = (b_1, \ldots, b_m)$  in  $\mathbb{K}^m$  with the property that  $a_i = 0$  if and only if  $b_i = 0$ . Then the algebras  $\tilde{A}|_{\mathbf{t}=\mathbf{a}}$  and  $\tilde{A}|_{\mathbf{t}=\mathbf{b}}$  are isomorphic.

**Proof.** Let  $\varphi$  be the K-algebra automorphism of  $\mathbb{K}[t_1, \ldots, t_m]$  defined by  $\varphi(t_i) = \frac{a_i}{b_i} t_i$  if  $b_i \neq 0$  and  $\varphi(t_i) = t_i$  if  $b_i = 0$ . Then  $\varphi(\mathbf{t} - \mathbf{a}) = (\mathbf{t} - \mathbf{b})$ , so the claim follows by Notation 3.4.

**Remark 3.12.** For computational reasons it might be desirable to work with ray generators for C that are not representatives of primitive ray generators for  $\overline{C}$ . Let  $\mathbf{r}$  and  $\mathbf{r}'$  be two choices of ray matrices whose rows satisfy  $\overline{r'}_j = q_j \overline{r}_j$  with  $q_j \in \mathbb{Q}$  for all j. Then we still have an isomorphism between  $\tilde{A}_{\mathbf{r}|\mathbf{t}=\mathbf{a}}$  and  $\tilde{A}_{\mathbf{r}'|\mathbf{t}=\mathbf{a}}$  for all  $\mathbf{a} \in \mathbb{K}^m$ . For the proof it is necessary to extend the polynomial ring  $\mathbb{K}[t_1, \ldots, t_m]$  to a ring  $\mathbb{K}[t_1^{\mathbb{Q}}, \ldots, t_m^{\mathbb{Q}}]$ , where the  $t_i$ 's are allowed to have rational exponents. The automorphism of  $\mathbb{K}[t_1^{\mathbb{Q}}, \ldots, t_m^{\mathbb{Q}}]$  defined by  $h(t_i) = t_i^{q_i}$ extends to an automorphism of  $\mathbb{K}[t_1^{\mathbb{Q}}, \ldots, t_m^{\mathbb{Q}}][x_1, \ldots, x_n]$  with the property  $h(\tilde{f}_{\mathbf{r}}) = \tilde{f}_{\mathbf{r}'}$  for all **d**-homogeneous polynomials  $f \in J$ . The rest follows from Proposition 3.11.

Before presenting our main result we explain how the ideal  $J_{\mathbf{r}}$  is related to the ideal  $J_w$  in (3.1).

**Proposition 3.13.** Consider a face  $\tau$  of C spanned by a subset  $\{r_{i_1}, \ldots, r_{i_s}\}$  of  $\{r_1, \ldots, r_m\}$ and the lineality space  $\mathcal{L}(J)$ . We define  $\mathbf{t}_{\tau} \in \mathbb{K}[t]^m$  by

$$(\mathbf{t}_{\tau})_k := \begin{cases} t & \text{if } k \in \{i_1, \dots, i_s\}, \\ 1 & \text{otherwise,} \end{cases} \quad for \quad k = 1, \dots, m.$$

Then for  $w_{\tau} = r_{i_1} + \cdots + r_{i_s}$  we have  $\tilde{J}|_{\mathbf{t}=\mathbf{t}_{\tau}} = \hat{J}_{w_{\tau}}$ .

**Proof.** Let < be the monomial term order compatible with C. Consider an element  $g = \sum_{\alpha \in \mathbb{Z}_{\geq 0}^n} c_{\alpha} \mathbf{x}^{\alpha}$  in  $\mathcal{G}$  with  $\operatorname{in}_{\leq}(g) = c_{\beta} \mathbf{x}^{\beta}$ . Since the vectors  $w_{\tau}, w := w_{\tau} - r_{i_s}$  and  $r_{i_s}$  are all in C, by Remark 2.9:

$$in_{<}(in_{w_{\tau}}(g)) = in_{<}(in_{w}(g)) = in_{<}(in_{r_{i_s}}(g)) = in_{<}(g) = c_{\beta} \mathbf{x}^{\beta}.$$

This implies that the initial forms of  $in_{w_{\tau}}(g)$ ,  $in_{w}(g)$  and  $in_{r_{i_s}}(g)$  contain  $c_{\beta} \mathbf{x}^{\beta}$ . In other words,

$$\min_{c_{\alpha} \neq 0} \{ w_{\tau} \cdot \alpha \} = w_{\tau} \cdot \beta = (w + r_{i_s}) \cdot \beta, \qquad \min_{c_{\alpha} \neq 0} \{ w \cdot \alpha \} = w \cdot \beta, \qquad \min_{c_{\alpha} \neq 0} \{ r_{i_s} \cdot \alpha \} = r_{i_s} \cdot \beta.$$

Therefore,

$$(w+r_{i_s})\cdot\beta = \min_{c_\alpha\neq 0}\{(w+r_{i_s})\cdot\alpha\} = \min_{c_\alpha\neq 0}\{w\cdot\alpha\} + \min_{c_\alpha\neq 0}\{r_{i_s}\cdot\alpha\}.$$

Using the same argument multiple times we obtain that

$$\min_{c_{\alpha}\neq 0} \left\{ \left(\sum_{j=1}^{s} r_{i_{j}}\right) \cdot \alpha \right\} = \sum_{j=1}^{s} \min_{c_{\alpha}\neq 0} \{r_{i_{j}} \cdot \alpha\}$$

Now the claim follows by comparing the generating sets of  $\hat{J}_{w_{\tau}}$  and  $\tilde{J}|_{\mathbf{t}=\mathbf{t}_{\tau}}$ .

We are now prepared to present our main theorem:

**Theorem 3.14.** Let J be a **d**-homogeneous ideal in  $\mathbb{K}_{\mathbf{d}}[x_1, \ldots, x_n]$ ,  $A = \mathbb{K}_{\mathbf{d}}[x_1, \ldots, x_n]/J$ , Ca maximal cone in  $\mathrm{GF}(J)$  with compatible monomial term order < and  $\mathbf{r}$  an  $(m \times n)$ -matrix whose rows are representatives of the primitive ray generators of  $\overline{C} \subset \mathbb{R}^n/\mathcal{L}(J)$ . Then:

(i) The algebra  $\hat{A}_{\mathbf{r}}$  is a free  $\mathbb{K}[t_1, \ldots, t_m]$ -module with basis  $\mathbb{B}_{<}$ , the standard monomial basis of A with respect to in<sub><</sub>(J). In particular, we have a flat family



(ii) For every face  $\tau$  of C there exists  $\mathbf{a}_{\tau} \in \mathbb{A}^m$  such that  $\pi^{-1}(\mathbf{a}_{\tau}) = \operatorname{Proj}(\mathbb{K}_{\mathbf{d}}[x_1, \ldots, x_n] / \operatorname{in}_{\tau}(J))$ . In particular, generic fibers are isomorphic to  $\operatorname{Proj}(A)$  and there exist special fibers for every proper face  $\tau \subset C$ .

**Proof.** (i) We extend < to a monomial term order  $\ll$  on  $\mathbb{K}[t_1, \ldots, t_m, x_1, \ldots, x_n]$  as in (3.4). Let  $\mathbb{B}_{\ll}$  be the standard monomial basis for  $\tilde{A}_{\mathbf{r}}$  induced by  $\mathrm{in}_{\ll}(\tilde{J}_{\mathbf{r}})$ . Then  $\mathbb{B}_{\ll}$  is a basis for  $\tilde{A}_{\mathbf{r}}$  as a  $\mathbb{K}$ -vector space and a generating set for  $\tilde{A}_{\mathbf{r}}$  as a  $\mathbb{K}[t_1, \ldots, t_m]$ -module. By Proposition 3.9 and [29, Proposition 1.1.5] we have

$$\mathbb{B}_{\ll} = \left\{ \bar{\mathbf{t}}^{\beta} \bar{\mathbf{x}}^{\alpha} \colon \operatorname{in}_{\ll}(\tilde{g}) \not\mid \mathbf{t}^{\beta} \mathbf{x}^{\alpha} \text{ for all } g \in \mathcal{G} \right\}, \qquad \mathbb{B}_{<} = \left\{ \bar{\mathbf{x}}^{\alpha} \colon \operatorname{in}_{<}(g) \not\mid \mathbf{x}^{\alpha} \text{ for all } g \in \mathcal{G} \right\}$$

Observe that  $\mathbb{B}_{\ll}$  can be reduced to a  $\mathbb{K}[t_1, \ldots, t_m]$ -basis of  $\tilde{A}_{\mathbf{r}}$  by defining

$$\mathbb{B}'_{\ll} := \mathbb{B}_{\ll} \setminus \left\{ \bar{\mathbf{t}}^{\beta} \bar{\mathbf{x}}^{\alpha} \colon \exists \ \bar{\mathbf{t}}^{\gamma} \bar{\mathbf{x}}^{\alpha} \in \mathbb{B}_{\ll} \text{ with } \gamma < \beta \right\},\$$

where  $\gamma < \beta$  means that  $\gamma_i \leq \beta_i$  for all i and  $\gamma_j < \beta_j$  for some j. Note that  $\mathbb{B}_{\leq} \subseteq \mathbb{B}'_{\ll}$ . Now assume there is a monomial  $\mathbf{\bar{t}}^{\beta} \mathbf{\bar{x}}^{\alpha} \in \mathbb{B}'_{\ll} \setminus \mathbb{B}_{\leq}$ . By Proposition 3.9 we have that  $\mathbf{in}_{\ll} (\tilde{J})|_{\mathbf{t}=\mathbf{1}} = \mathbf{in}_{\leq}(J)$ . Hence,  $\mathbb{B}'_{\ll}|_{\mathbf{t}=\mathbf{1}} = \mathbb{B}_{\leq}$ . In particular, this implies that  $\mathbf{\bar{x}}^{\alpha} \in \mathbb{B}_{\leq}$ . But as  $\mathbb{B}_{\leq} \subset \mathbb{B}_{\ll}$  this is a contradiction to the definition of  $\mathbb{B}'_{\ll}$ .

For the second claim, let  $\mathbb{K}[t_1, \ldots, t_m]_{\mathbf{d}}[x_1, \ldots, x_n]$  denote the polynomial ring in  $x_1, \ldots, x_n$ with coefficients in  $\mathbb{K}[t_1, \ldots, t_m]$  and grading induced by **d**. Then by Lemma 3.8 and Proposition 3.9  $\tilde{J} \subseteq \mathbb{K}[t_1, \ldots, t_m]_{\mathbf{d}}[x_1, \ldots, x_n]$  is **d**-homogeneous. This yields the embedding  $\operatorname{Proj}(\tilde{A}) \hookrightarrow$  $\mathbb{P}(\mathbf{d}) \times \mathbb{A}^m$ . The projection  $\mathbb{P}(\mathbf{d}) \times \mathbb{A}^m \twoheadrightarrow \mathbb{A}^m$  induces the flat morphism  $\pi \colon \operatorname{Proj}(\tilde{A}) \to \mathbb{A}^m$  as  $\tilde{A}$  has a  $\mathbb{K}[t_1, \ldots, t_m]$ -basis by the first claim.

(*ii*) Every face  $\tau$  of C induces a face  $\overline{\tau}$  of  $\overline{C}$  with primitive ray generators  $\overline{r}_{i_1}, \ldots, \overline{r}_{i_s}$  for some  $i_1, \ldots, i_s \in [m]$ . We define

$$(\mathbf{a}_{\tau})_k := \begin{cases} 0 & \text{if } k \in \{i_1, \dots, i_s\}, \\ 1 & \text{otherwise,} \end{cases} \quad \text{for } k = 1, \dots, m.$$

Then by Proposition 3.13 we have  $\tilde{J}|_{\mathbf{t}=\mathbf{a}_{\tau}} = \hat{J}_{w_{\tau}}|_{t=0}$  which is equal to  $in_{\tau}(J)$  by Remark 3.1.

#### 3.1 Torus equivariant families

We explain how the above results are related to Kaveh–Manon's recent work [33] on the classification of torus equivariant families.

Consider the lattice  $N = \mathbb{Z}^n$ , its dual lattice  $M = N^*$  and a fan  $\Sigma \subset N \otimes_{\mathbb{Z}} \mathbb{R}$ . We write  $X_{\Sigma}$  for the toric variety associated to  $\Sigma$ . Furthermore, we define  $\mathcal{O}_N$  to be the semifield of piecewise linear functions on N and  $\mathcal{O}_{\Sigma}$  the semifield of piecewise linear functions on  $|\Sigma| \cap N$ . For  $a, b \in \mathcal{O}_{\Sigma}$  we have  $a \otimes b := a + b$  and  $a \oplus b := \min\{a, b\}$ , where the minimum is taken pointwise. In particular,  $\mathcal{O}_{\Sigma}$  is partially ordered:  $a \ge b$  if  $a \oplus b = b$ . A *PL-quasivaluation* on an algebra A is a map  $\mathfrak{v} : A \to \mathcal{O}_{\Sigma}$  that satisfies: (i)  $\mathfrak{v}(fg) \ge \mathfrak{v}(f) + \mathfrak{v}(g)$  and (ii)  $\mathfrak{v}(f+g) \ge \min\{\mathfrak{v}(f), \mathfrak{v}(g)\}$ , where the minimum is taken pointwise in  $\mathcal{O}_{\Sigma}$ . If (i) is an equality,  $\mathfrak{v}$  is called a *PL-valuation*. If A is a graded algebra  $A = \bigoplus_{n \in \mathbb{Z}} A_n$  then  $\mathfrak{v}$  is called homogeneous if it is compatible with the grading, i.e., for  $f = \sum_{n \in \mathbb{Z}} c_n g_n$  with  $g_n \in A_n$  and coefficients  $c_n$  we have  $\mathfrak{v}(f) = \mathfrak{v}(g_k)$  for the smallest k with  $c_k \neq 0$ .

Given a sheaf of algebras  $\mathcal{A}$  on  $X_{\Sigma}$  its *relative spectrum* denoted by  $\mathbf{Spec}(\mathcal{A})$  is the scheme obtained from gluing affine schemes  $\mathrm{Spec}(\mathcal{A}(U_i))$ , where  $\bigcup_i U_i$  is an open cover of  $X_{\Sigma}$  and  $\mathcal{A}(U_i)$  is the corresponding section of  $\mathcal{A}$ .

**Theorem 3.15** ([33, Theorem 1.1]). Let  $A = \bigoplus_{n\geq 0} A_n$  be a positively graded algebra and a domain over an algebraically closed field of characteristic zero and  $\Sigma$  a fan in  $N \otimes_{\mathbb{Z}} \mathbb{R}$ . Then the following are equivalent information:

- (i) a  $T_N$ -equivariant flat sheaf  $\mathcal{A}$  of positively graded algebras of finite type over  $X_{\Sigma}$  such that  $\mathbf{Spec}(\mathcal{A})$  has reduced and irreducible fibers and its generic fibers are isomorphic to  $\mathrm{Spec}(\mathcal{A})$ ;
- (ii) a homogeneous PL-valuation  $\mathfrak{v} \colon A \to \mathcal{O}_{\Sigma}$  with finite Khovanskii basis. (Given  $\mathfrak{v} \colon A \to \mathcal{O}_{\Sigma}$ for every ray  $\rho \in \Sigma$  one constructs a quasivaluation  $\mathfrak{v}_{\rho} \colon A \to \mathbb{Z} \cup \{\infty\}$  with associated graded algebra  $\operatorname{gr}_{\rho}(A)$ , see [33, Section 4.1]. A Khovanskii basis for  $\mathfrak{v}$  is a subset  $\mathcal{B} \subset A$ such that for every ray  $\rho \in \Sigma$  the image of  $\mathcal{B}$  in  $\operatorname{gr}_{\rho}(A)$  is a set of algebra generators.)

Consider a presentation of A, i.e., a surjection pr:  $\mathbb{K}[x_1, \ldots, x_n] \to A$  whose kernel is a weighted homogeneous prime ideal J and so it induces an isomorphism of graded algebras  $A \cong \mathbb{K}[x_1, \ldots, x_n]/J$ . Take a cone  $\sigma$  in GF(J). Then  $\sigma$  defines PL-quasivaluation  $\mathfrak{v}_{\sigma} \colon A \to \mathcal{O}_{\sigma}$  as follows: let C be a maximal cone in GF(J) with face  $\sigma$  and denote by  $\mathbb{B}_{<}$  the standard monomial basis associated to C (here < is the compatible monomial term order). If  $\mathrm{in}_{\sigma}(J)$  is prime, then  $\mathfrak{v}_{\sigma}$  is a PL-valuation. Then for  $\bar{\mathbf{x}}^{\alpha} \in \mathbb{B}_{<}$  we have  $\mathfrak{v}_{\sigma}(\bar{\mathbf{x}}^{\alpha}) := -\cdot \alpha \colon \sigma \to \mathbb{Z}$ , where  $-\cdot -$  is the pairing between the lattices N and M, as above. For an arbitrary element  $f \in A$  write  $f = \sum_{\bar{\mathbf{x}}^{\alpha} \in \mathbb{B}_{<}} c_{\alpha} \bar{\mathbf{x}}^{\alpha}$ . Then  $\mathfrak{v}_{\sigma}(f) := \min_{c_{\alpha} \neq 0} \{\mathfrak{v}_{\sigma}(\bar{\mathbf{x}}^{\alpha})\}$ .

**Definition 3.16** ([33]). The PL-quasivaluation  $\mathfrak{v}_{\sigma} \colon A \to \mathcal{O}_{\sigma}$  defines a *filtration* on A with filtered pieces  $F_m(\mathfrak{v}_{\sigma}) := \{f \in A \colon \mathfrak{v}_{\sigma}(f) \geq -\cdot m\}$  for  $m \in M$ . The *Rees algebra* of the PL-valuation  $\mathfrak{v}_{\sigma}$  is  $\mathcal{R}_{\sigma}(A) := \bigoplus_{m \in M} F_m(\mathfrak{v}_{\sigma})t^m$ , where we think of  $t^m$  as a character of the torus  $T_N = N \otimes_{\mathbb{Z}} \mathbb{C}^*$ .

More generally, a PL-quasivaluation can be obtained from a collection of equidimensional cones  $\sigma_1, \ldots, \sigma_k$  in  $\operatorname{Trop}(J)$  which are faces of the same maximal cone C in  $\operatorname{GF}(J)$ . Let  $\Sigma \subset \operatorname{Trop}(J)$  be the subfan whose maximal cones are  $\sigma_1, \ldots, \sigma_k$  and  $\mathfrak{v}_{\Sigma} \colon A \to \mathcal{O}_N$  be the corresponding PL-valuation. By Kaveh–Manon's classification of toric families this yields a flat family

$$\psi\colon \operatorname{\mathbf{Spec}}(\mathcal{R}_{\Sigma}(A)) \to X_{\Sigma}.$$
(3.5)

Here  $\mathcal{R}_{\Sigma}(A)$  is the  $T_N$ -equivariant flat sheaf of Rees algebras on  $X_{\Sigma}$ . The scheme  $\mathbf{Spec}(\mathcal{R}_{\Sigma}(A))$ is glued from  $\operatorname{Spec}(\mathcal{R}_{\sigma})$  for  $\sigma \in \Sigma$ . For  $p \in T_N \subset X_{\Sigma}$  we have  $\psi^{-1}(p) \cong \operatorname{Spec}(A)$ . Moreover,  $\psi_{\Sigma}$ has a special fiber over every torus fixed point of X. More precisely, let  $p_{\sigma_i}$  be the torus fixed point corresponding to the (maximal) cone  $\sigma_i \in \Sigma$ . Then

$$\psi^{-1}(p_{\sigma_i}) \cong \operatorname{Spec}\left(\mathbb{K}[x_1, \dots, x_n]/\operatorname{in}_{\sigma_i}(J)\right).$$
(3.6)

Note that we can apply the construction of Definition 3.2 to the ideal J and the maximal cone  $C \in GF(J)$ . In what follows we explore the relation between the flat families from (3.5) and Theorem 3.14. Before stating our results (Theorem 3.19 and Corollary 3.20), we recall necessary background from [33]. We fix a maximal cone C in GF(J), denote by  $\mathcal{G}$  the associated reduced Gröbner basis and let  $\mathbb{B}_{\leq}$  be the standard monomial basis for the compatible monomial term order  $\leq$ .

**Definition 3.17** ([33]). The PL-quasivaluation  $\mathfrak{w}_C \colon \mathbb{K}[x_1, \ldots, x_n] \to \mathcal{O}_C$  associated to C is defined by  $\mathfrak{w}_C(\mathbf{x}^{\alpha}) = -\cdot \alpha \colon C \to \mathbb{Z}$ . For a polynomial  $f = \sum c_{\alpha} \mathbf{x}^{\alpha}$  we have  $\mathfrak{w}_C(f) \coloneqq \min_{c_{\alpha} \neq 0} \{-\cdot \alpha\}$ . The associated Rees algebra is  $\mathcal{R}_C(\mathbb{K}[x_1, \ldots, x_n]) = \bigoplus_{m \in M} F_m(\mathfrak{w}_C) t^m$ , where  $F_m(\mathfrak{w}_C)$  is defined analogous to  $F_m(\mathfrak{v}_{\sigma})$  in Definition 3.16.

The PL-quasivaluation  $\mathfrak{v}_C \colon A \to \mathcal{O}_C$  is obtained as a pushforward of the PL-quasivaluation  $\mathfrak{w}_C \colon \mathbb{K}[x_1, \ldots, x_n] \to \mathcal{O}_C$  along the morphism  $\mathrm{pr} \colon \mathbb{K}[x_1, \ldots, x_n] \to A$ . An *adapted basis* for  $\mathfrak{v}_C$ is a vector space basis  $\mathbb{B}$  for A such that  $\mathbb{B} \cap F_m(\mathfrak{v}_\sigma)$  is a vector space basis of  $F_m(\mathfrak{v}_\sigma)$  for every  $m \in M$ . By [33] the standard monomial basis  $\mathbb{B}_<$  is an adapted basis for  $\mathfrak{v}_C$ . Similarly, the monomial basis of  $\mathbb{K}[x_1, \ldots, x_n]$  is adapted to  $\mathfrak{w}_C$ . Hence,  $\mathcal{R}_C(A)$  is a free  $\mathbb{K}[S_C]$ -algebra with basis  $\mathbb{B}_<$  and  $\mathcal{R}_C(\mathbb{K}[x_1, \ldots, x_n])$  is a free  $\mathbb{K}[S_C]$ -algebra whose basis is the monomial basis, where  $S_C := -C^{\vee} \cap \mathbb{Z}^n$  due to our min-convention. In particular:

$$\mathcal{R}_C(\mathbb{K}[x_1,\ldots,x_n]) \cong \mathbb{K}[S_C][x_1,\ldots,x_n].$$
(3.7)

Manon explained the proof of the following proposition to us. It follows from results in [33].

**Proposition 3.18.** For any maximal cone  $C \in GF(J)$ , the Rees algebra  $\mathcal{R}_C(A)$  has an explicit presentation of form  $\mathbb{K}[S_C][x_1, \ldots, x_n]/\hat{J}$ . More precisely, the ideal  $\hat{J}$  is generated by homogenizations of elements in the reduced Gröbner basis  $\mathcal{G}$ : let  $g = \mathbf{x}^{\gamma} + \sum c_{\alpha} \mathbf{x}^{\alpha} \in \mathcal{G}$  and  $\mathbf{x}^{\gamma} = \operatorname{in}_C(g)$ , then the homogenization of g is

$$\hat{g} = \mathbf{x}^{\gamma} + \sum c_{\alpha} \mathbf{x}^{\alpha} t^{\beta} \qquad with \quad \gamma - \alpha = \beta \in S_C.$$
 (3.8)

**Proof.** Consider  $f \in \mathbb{K}[x_1, \ldots, x_n]$ . It can be written as  $f = \sum_{\mathbf{x}^{\alpha} \in \mathbb{B}_{<}} c_{\alpha} \mathbf{x}^{\alpha} + \sum_{\mathbf{x}^{\beta} \notin \mathbb{B}_{<}} c_{\beta} \mathbf{x}^{\beta}$ . In particular, if  $\mathfrak{w}_C(f) \geq -\cdots m$  in  $\mathcal{O}_C$ , then  $\mathfrak{v}_C(\operatorname{pr}(f)) \geq -\cdots m$ . So the morphism  $\operatorname{pr}: \mathbb{K}[x_1, \ldots, x_n] \to A$  induces a map  $\operatorname{pr}_m: F_m(\mathfrak{w}_C) \to F_m(\mathfrak{v}_C)$  for all m. Further, every element in  $\mathbb{B}_{<} \cap F_m(\mathfrak{v}_C)$  is the image of a monomial in  $F_m(\mathfrak{w}_C)$ . Hence,  $\operatorname{pr}_m$  is surjective and we obtain:

$$\widehat{\mathrm{pr}} := \bigoplus_{m \in (\mathbb{Z}^n)^*} \mathrm{pr}_m \colon \ \mathcal{R}_C(\mathbb{K}[x_1, \dots, x_n]) \to \mathcal{R}_C(A).$$

By (3.7) this implies the first claim. Now let  $\hat{J}$  be the kernel of  $\hat{pr}$ . Then  $\hat{J} = \bigoplus_{m \in M} \hat{J}_m$ , where  $\hat{J}_m = \ker(\mathrm{pr}_m) \subset F_m(\mathfrak{w}_C)$ . Now consider an element  $g \in \mathcal{G}$  of form  $\mathbf{x}^{\gamma} + \sum c_{\alpha} \mathbf{x}^{\alpha}$  with  $\mathbf{x}^{\gamma} = \mathrm{in}_C(g)$ . We want to show that the element  $\hat{g}$  defined in (3.8) lies in  $\hat{J}$  for all  $g \in \mathcal{G}$ . Recall that by the proof of Proposition 3.13,  $\gamma$  is such that  $w \cdot \gamma \leq w \cdot \alpha$  for all  $w \in C$  and all  $\alpha$  with  $c_{\alpha} \neq 0$  in g. Hence,  $w \cdot (\gamma - \alpha) \leq 0$  and so  $\beta = \gamma - \alpha \in -C^{\vee}$ . In particular, this implies that  $\hat{g} \in \hat{J}_{\gamma} \subset F_{\gamma}(\mathfrak{w}_{\sigma})$ .

Lastly, we need to show that  $\hat{J}$  is generated by  $\{\hat{g}: g \in \mathcal{G}\}$ . Consider  $h \in \hat{J}$ . As  $\hat{J} = \bigoplus_{m \in M} \hat{J}_m$ , we may assume that  $h \in \hat{J}_m$ . Further, as  $\hat{J}_m \subset F_m(\mathfrak{w}_C) \subset \mathbb{K}[x_1, \ldots, x_n]$  we can think of h as an element of  $\mathbb{K}[x_1, \ldots, x_n]$  as well as an element of  $\mathcal{R}_C(\mathbb{K}[x_1, \ldots, x_n])$ . Then  $\mathrm{in}_{<}(h) = \mathbf{x}^{\mu} \mathrm{in}_{<}(g)$  for some  $g \in \mathcal{G}$  of form  $g = \mathbf{x}^{\gamma} + \sum c_{\alpha} \mathbf{x}^{\alpha}$  with  $\mathbf{x}^{\gamma} = \mathrm{in}_{<}(g)$ . In particular, similar to the above the exponent of  $\mathrm{in}_{<}(\mathbf{x}^{\mu}g) = \mathbf{x}^{\mu+\gamma}$  has the property that  $w \cdot (\mu+\gamma) \leq w \cdot (\mu+\alpha)$  for all  $w \in C$  and all  $\alpha$  with  $c_{\alpha} \neq 0$  in g. So,  $h - \mathbf{x}^{\mu}g \in F_m(\mathfrak{w}_C)$ . In particular, the division algorithm with respect to  $\mathcal{G}$  takes place inside  $F_m(\mathfrak{w}_C)$  and yields an expression of h in terms of  $\{\hat{g}: g \in \mathcal{G}\}$ .

We now recall the Cox construction [14] for toric varieties and refer to [15, Section 5] for more details. Let  $\Sigma = C \cap \operatorname{Trop}(J)$  and l denote the dimension of  $\mathcal{L}(J)$ . Fix an  $(m \times n)$ -matrix **r** whose rows  $r_1, \ldots, r_m$  are representatives of the primitive ray generators of  $\overline{C} \subset \mathbb{R}^n/\mathcal{L}(J)$ . The rays of  $\Sigma$ , denoted by  $\Sigma(1)$ , form a subset of  $\{r_1, \ldots, r_m\}$ . Recall the definition of the lifted algebra  $\tilde{A}_{\mathbf{r}}$  from (3.2). We can apply the *Cox construction* in two ways, to  $X_C$  and to  $X_{\Sigma}$ : First, let  $X_C$  be the affine toric variety associated to C. Recall that a quasitorus is a product of a torus with a finite abelian group. Then  $X_C$  is isomorphic to the almost geometric quotient  $\mathbb{A}^m//G$ (see, e.g., [15, Theorem 5.1.11]), where the group G by [15, Lemma 5.1.1(b)] is the quasitorus

$$G = \{ (t_1, \dots, t_m) \in (\mathbb{C}^*)^m \colon t_1^{r_1 \cdot e_i} \cdots t_m^{r_m \cdot e_i} = 1 \,\forall \, i \in [n] \}.$$
(3.9)

One can easily check that if  $p, q \in \mathbb{A}^m$  lie in the same *G*-orbit, then  $\pi^{-1}(p) \cong \pi^{-1}(q)$  by Proposition 3.11. Hence, the flat family  $\pi: \operatorname{Spec}(\tilde{A}_{\mathbf{r}}) \to \mathbb{A}^m$  induces the commutative diagram

If  $p, q \in X_C$  lie in the same torus orbit, then  $(p_C \circ \pi)^{-1}(p) \cong (p_C \circ \pi)^{-1}(q)$  by Proposition 3.11. Note that  $p_C \colon \mathbb{A}^m \to X_C$  is indeed a morphism. Namely, it is the *universal torsor* for  $X_C$ . Similarly, we can apply the Cox construction to the toric variety  $X_{\Sigma}$ . For simplicity we assume that  $\Sigma(1) = \{r_1, \ldots, r_m\}$ . In this case the construction has two steps: first we remove the locus of  $\mathbb{A}^m$  that does not correspond to torus orbits in  $X_{\Sigma}$ , denoted by  $Z(\Sigma)$ . Recall that  $\mathcal{C} \subset \{r_1, \ldots, r_m\}$  is a *primitive collection* for  $\Sigma$ , if (i)  $\mathcal{C} \not\subset \sigma(1)$  for all  $\sigma \in \Sigma$ , and (ii) for every  $\mathcal{C}' \subsetneq \mathcal{C}$  there exists  $\sigma \in \Sigma$  with  $\mathcal{C}' \subset \sigma(1)$ . Then by [15, Proposition 5.1.6]

$$Z(\Sigma) = \bigcup_{\mathcal{C} \text{ primitive collection}} V(t_i \colon r_i \in \mathcal{C}) \subset \mathbb{A}^m.$$
(3.11)

Now  $X_{\Sigma}$  is isomorphic to the almost geometric quotient  $(\mathbb{A}^m - Z(\Sigma))//G$ , where G is as in (3.9) (see, e.g., [15, Theorem 5.1.11]). In contrast to the morphism  $p_C \colon \mathbb{A}^m \to X_C$  from (3.10), we obtain a rational map  $p_{\Sigma} \colon \mathbb{A}^m \dashrightarrow X_{\Sigma}$  as  $p_{\Sigma}$  is only defined on  $\mathcal{T}_{\Sigma} := \mathbb{A}^m - Z(\Sigma)$ . Moreover,  $p_{\Sigma} \colon \mathcal{T}_{\Sigma} \to X_{\Sigma}$  is the universal torsor of  $X_{\Sigma}$ . Similarly to the first case, this gives the diagram:

which is commutative. The fibers of the family defined by  $p_{\Sigma} \circ \pi|_{\pi^{-1}(\mathcal{T}_{\Sigma})}$  coincide with the fibers of  $\psi$  defined in (3.5):  $(p_{\Sigma} \circ \pi)^{-1}(x) \cong \psi^{-1}(x)$  for every  $x \in X_{\Sigma}$ , see (3.6).

In the following, we explain how the flat family of Theorem 3.14 is related to Kaveh–Manon's flat family associated to a maximal cone in the Gröbner fan.

**Theorem 3.19.** Let  $J \subseteq \mathbb{K}_{\mathbf{d}}[x_1, \ldots, x_n]$  be a **d**-homogeneous ideal and C a maximal cone in  $\mathrm{GF}(J)$ . Let  $\mathbf{r}$  be an  $(m \times n)$ -matrix whose rows are representatives of primitive ray generators for  $\overline{C}$ . Then the morphism  $\pi$ : Spec  $(\tilde{A}_{\mathbf{r}}) \to \mathbb{A}^m$  fits into a pull-back diagram

Here  $p_C \colon \mathbb{A}^m \to X_C$  is the universal torsor of  $X_C$  obtained from the Cox construction as in (3.10).

**Proof.** We prove equivalently that the corresponding diagram between the algebras is a pushout. Hence, it suffices to show that

$$\mathcal{R}_C \otimes_{\mathbb{K}[S_C]} \mathbb{K}[t_1, \dots, t_m] \cong \tilde{A}.$$

Note that the map  $p_C \colon \mathbb{A}^m \to X_C$  corresponds to  $p_C^{\#} \colon \mathbb{K}[S_C] \hookrightarrow \mathbb{K}[t_1, \ldots, t_m]$ . In particular, for a character  $t^{-r_i^*} \in \mathbb{K}[S_C]$  with  $r_i^* \in C^{\vee} \subset M$  the dual of  $r_i \in C \subset N$  we have  $p_C^{\#}(t^{-r_i^*})$  $= t_i \in \mathbb{K}[t_1, \ldots, t_m]$ . Then under the extension of scalars (i.e., applying the functor  $-\otimes_{\mathbb{K}[S_C]} \mathbb{K}[t_1, \ldots, t_m]$ ) the homogenization  $\hat{g}$  of an element  $g \in \mathcal{G}$  is sent to the lift  $\tilde{g}$ . This can be seen as follows: by Lemma 3.8 we have  $\tilde{g} = \mathbf{x}^{\gamma} + \sum c_{\alpha} \mathbf{x}^{\alpha} \mathbf{t}^{\mathbf{r} \cdot (\alpha - \gamma)}$ . By Lemma 2.11 for every  $\ell \in \mathcal{L}(J)$  we have  $\mathrm{in}_{\ell}(g) = g$ . In particular,  $\ell \cdot \alpha = \ell \cdot \gamma$  and so  $\alpha - \gamma \in (\mathcal{L}(J) \cap N)^{\perp}$ . Let  $\{\ell_1, \ldots, \ell_k\}$  be a basis for  $\mathcal{L}(J) \cap N$ . Then  $\{r_1, \ldots, r_m, \ell_1, \ldots, \ell_k\}$  spans N and  $\{r_1^*, \ldots, r_m^*\}$  spans  $(\mathcal{L}(J) \cap N)^{\perp} \subset M$ . So every  $\alpha - \gamma$  has an expression of form  $\alpha - \gamma = \sum c_i r_i^*$ . We compute

$$t^{-(\alpha-\gamma)} = t^{-c_1 r_1^*} \cdots t^{-c_m r_m^*} \xrightarrow{p_C^{\#}} t_1^{c_1} \cdots t_m^{c_m} = \mathbf{t}^{\mathbf{r} \cdot (\alpha-\gamma)}.$$
(3.13)

Note that  $\gamma$  has the property that  $r_i \cdot \gamma = \min_{c_{\alpha} \neq 0} \{r_i \cdot \alpha\}$ . So,  $r_i \cdot (\alpha - \gamma) \geq 0$  and therefore  $\alpha - \gamma \in C^{\vee}$ . Hence, we have

$$\mathcal{R}_C \otimes_{\mathbb{K}[S_C]} \mathbb{K}[t_1, \dots, t_m] \stackrel{\text{Proposition 3.18}}{\cong} \left( \mathbb{K}[S_C][x_1, \dots, x_n] / \hat{J} \right) \otimes_{\mathbb{K}[S_C]} \mathbb{K}[t_1, \dots, t_m]$$

$$\stackrel{(3.13)}{\cong} \mathbb{K}[t_1, \dots, t_m][x_1, \dots, x_n] / \tilde{J} = \tilde{A}.$$

**Corollary 3.20.** Let  $J \subseteq \mathbb{K}[x_1, \ldots, x_n]$  be a weighted homogeneous ideal as in Definition 2.1 and C a maximal cone in GF(J). Let  $\mathbf{r}$  be the matrix whose rows are representatives of primitive ray generators for  $\overline{C}$  and denote  $\tilde{A}_{\mathbf{r}}$  by  $\tilde{A}$ . Let  $\Sigma$  be the intersection of C with  $\operatorname{Trop}(J)$ . Then the restriction of morphism  $\pi$ : Spec  $(\tilde{A}) \to \mathbb{A}^m$  to  $\pi^{-1}(\mathcal{T}_{\Sigma}) = \operatorname{Spec}(\tilde{A}) - \pi^{-1}(Z(\Sigma))$  fits into a pull-back diagram

Here  $p_{\Sigma} : \mathcal{T}_{\Sigma} \to X_{\Sigma}$  is the universal torsor of  $X_{\Sigma}$  obtained from the Cox construction as in (3.12).

**Proof.** The scheme  $X_{\Sigma}$  and the sheaf  $\mathcal{R}_{\Sigma}$  are defined locally for affine pieces  $U_{\sigma} = \text{Spec}(\mathbb{K}[S_{\sigma}]) \subset X_{\Sigma}$  for  $\sigma \in \Sigma$ . Algebraically, for every  $\sigma \in \Sigma$  the pull-back of  $\psi$  and  $p_{\Sigma}$  corresponds to the following push-out diagram:

Observe that by (3.11) the localization  $\mathbb{K}[t_1, \ldots, t_m]_{\langle t_i : r_i \notin \sigma \rangle}$  corresponds to an affine piece in  $\mathbb{A}^m$  that does not intersect  $Z(\Sigma)$ . So it is in fact an affine piece of  $\mathcal{T}_{\Sigma}$ . We have to show that

$$\mathcal{R}_{\sigma} \otimes_{\mathbb{K}[S_{\sigma}]} \mathbb{K}[t_1, \dots, t_m]_{\langle t_i \colon r_i \notin \sigma \rangle} \cong \tilde{A}_{\langle t_i \colon r_i \notin \sigma \rangle}$$

As  $\sigma$  is a face of the maximal cone C by [33, Proposition 3.13] we have  $\mathcal{R}_{\sigma} \cong \mathcal{R}_C \otimes_{\mathbb{K}[S_C]} \mathbb{K}[S_{\sigma}]$ . Using Theorem 3.19 we compute

$$\mathcal{R}_{\sigma} \otimes_{\mathbb{K}[S_{\sigma}]} \mathbb{K}[t_{1}, \dots, t_{m}]_{\langle t_{i} \colon r_{i} \notin \sigma \rangle} \cong \left( \mathcal{R}_{C} \otimes_{\mathbb{K}[S_{C}]} \mathbb{K}[S_{\sigma}] \right) \otimes_{\mathbb{K}[S_{\sigma}]} \mathbb{K}[t_{1}, \dots, t_{m}]_{\langle t_{i} \colon r_{i} \notin \sigma \rangle} \\ \cong \mathcal{R}_{C} \otimes_{\mathbb{K}[S_{C}]} \mathbb{K}[t_{1}, \dots, t_{m}]_{\langle t_{i} \colon r_{i} \notin \sigma \rangle} \cong \tilde{A}_{\langle t_{i} \colon r_{i} \notin \sigma \rangle}.$$

# 4 Grassmannians and cluster algebras

We now apply the machinery developed in Section 3 to the Grassmannians  $\operatorname{Gr}(2, \mathbb{C}^n)$  and  $\operatorname{Gr}(3, \mathbb{C}^6)$ . Our aim is to make this paper as self-contained as possible and accessible to readers of broad mathematical background. Therefore, we recall background on tropical Grassmannians below, on cluster algebras and the cluster structure of  $\operatorname{Gr}(2, \mathbb{C}^n)$  in Section 4.1 and then in Section 4.2 we translate between toric degenerations obtained from the tropicalizations and from the cluster structure. In Section 4.3, we turn to the application of the flat families introduced in Section 3 and show how to recover the cluster algebra with universal coefficients in this case. Similarly, in Section 4.4 we treat the case of  $\operatorname{Gr}(3, \mathbb{C}^6)$ .

We first fix our notation for  $Gr(2, \mathbb{C}^n)$ . Denote by S the polynomial ring in Plücker variables  $\mathbb{C}[p_{ij}: 1 \leq i < j \leq n]$ . Here, Plücker variables are associated with arcs in an n-gon whose

vertices are labeled by [n] in clockwise order. Hence, we think of  $[n] := \{1, \ldots, n\}$  as a cyclically ordered set. In particular, i < j < k < l indicates that in clockwise order starting at the vertex *i* the other vertices appear in order *j*, *k*, *l*. Note that in general we might not have  $1 \le i < j < k < l \le n$ . We set the convention  $p_{ij} := -p_{ji}$ . In *S* we define for every i < j < k < l the *Plücker relation*:

$$R_{ijkl} := p_{ij}p_{kl} - p_{ik}p_{jl} + p_{il}p_{jk} \in S.$$

The ideal  $I_{2,n} \subset S$  generated by all Plücker relations  $R_{ijkl}$  is called the *Plücker ideal*. The quotient  $A_{2,n} := S/I_{2,n}$  is the *Plücker algebra* which is the homogeneous coordinate ring of the Grassmannian  $\operatorname{Gr}(2, \mathbb{C}^n)$  with respect to its Plücker embedding into  $\mathbb{P}^{\binom{n}{2}-1}$ . The cosets of Plücker variables in the quotient are denoted by  $\bar{p}_{ij} \in A_{2,n}$  and are called *Plücker coordinates*. Denote by d := 2(n-2) the dimension of  $\operatorname{Gr}(2, \mathbb{C}^n)$ , so d+1 is the Krull-dimension of  $A_{2,n}$ .

In S, we fix the notation  $\mathbf{p}^m$  with  $m = (m_{ij})_{ij} \in \mathbb{Z}_{\geq 0}^{\binom{n}{2}}$  denoting the monomial  $\prod_{1 \leq i < j \leq n} p_{ij}^{m_{ij}}$ . The maximal cones in the Gröbner fan  $\mathrm{GF}(I_{2,n})$  are those of full dimension in the ambient space  $\mathbb{R}^{\binom{n}{2}}$  with associated monomial initial ideals. The lineality space  $\mathcal{L}_{2,n} := \mathcal{L}(I_{2,n}) \subset \mathrm{GF}(I_{2,n})$  is n-dimensional and spanned by the elements  $\ell_s$  for  $s \in [n]$  which are defined by

$$(\ell_s)_{ij} := \begin{cases} 1 & \text{if } s = i \text{ or } s = j, \\ 0 & \text{otherwise.} \end{cases}$$

Note that  $\mathbf{1} := (1, \ldots, 1) \in \mathbb{R}^{\binom{n}{2}}$  lies in  $\mathcal{L}_{2,n}$ . The Gröbner fan of the Plücker ideal has a subfan that is particularly interesting when studying toric degenerations of  $\mathrm{Gr}(2, \mathbb{C}^n)$ .

**Definition 4.1.** The tropical Grassmannian is a simplicial (d+1)-dimensional subfan of  $GF(I_{2,n})$  defined as  $Trop(I_{2,n})$ .

Maximal cones in  $\operatorname{Trop}(I_{2,n})$  are called *tropical maximal cones*. They are in correspondence with trivalent trees with n leaves as shown by Speyer and Sturmfels in [49]. To a trivalent tree  $\Upsilon$ one associates a weight vector in the relative interior of the corresponding cone of  $\operatorname{Trop}(I_{2,n})$  by

$$\underline{w}^{\Upsilon}(p_{ij}) := -\#\{\text{edges on the unique path } i \to j \text{ in } \Upsilon\}.$$
(4.1)

To simplify notation we denote  $\operatorname{in}_{\Upsilon}(I) := \operatorname{in}_{w^{\Upsilon}}(I)$ .

**Theorem 4.2** ([49]). For every trivalent tree  $\Upsilon$  with n leaves the ideal  $in_{\Upsilon}(I)$  is toric, i.e., binomial and prime. Moreover, it is generated by  $in_{\Upsilon}(R_{ijkl})$  for all  $1 \le i < j < k < l \le n$ .

**Definition 4.3.** The totally positive tropical Grassmannian is  $\operatorname{Trop}^+(I_{2,n})$ .

In [50, Section 5], it was shown that maximal cones in  $\operatorname{Trop}^+(I_{2,n})$  are in bijection with *planar* trivalent trees, i.e., trivalent trees whose vertices are labeled  $1, \ldots, n$  in a clockwise manner.

#### 4.1 Preliminaries on cluster algebras

The Plücker algebra  $A_{2,n}$  is in fact a cluster algebra (see Definition 4.4) whose cluster structure is closely related to the combinatorics governing the tropical Grassmannian. To exhibit this connection, we recall some facts about skew-symmetric cluster algebras following Fomin and Zelevinsky [23, 25]. Afterwards, we define finite type cluster algebras with frozen variables and universal coefficients.

Let  $m, f \in \mathbb{N}$  be positive integers and  $\mathcal{F} = \mathbb{C}(u_1, \ldots, u_{m+f})$  be the field of rational functions on m + f variables. Here m stands for mutable and f for frozen. A seed in  $\mathcal{F}$  is a pair  $(\tilde{\mathbf{x}}, \tilde{B})$ , where  $\widetilde{\mathbf{x}} = \{x_1, \ldots, x_{m+f}\}$  is a free generating set of  $\mathcal{F}$  and  $\widetilde{B} = (b_{ij})$  is an  $(m+f) \times m$  rectangular matrix with the following property: the top square submatrix of  $\widetilde{B}$  (that is the  $m \times m$  submatrix of  $\widetilde{B}$  formed by its first m rows) is skew-symmetric. We call  $\widetilde{B}$  an *extended exchange matrix* and refer to its top square submatrix B as an *exchange matrix*. We call  $\mathbf{x} = \{x_1, \ldots, x_m\}$  a *cluster*,  $\{x_{m+1}, \ldots, x_{m+f}\}$  the set of *frozen variables* and  $\widetilde{\mathbf{x}}$  an *extended cluster*.

Given  $k \in \{1, \ldots, m\}$ , the mutation in direction k of a seed  $(\tilde{\mathbf{x}}, \tilde{B})$  is the new seed  $\mu_k(\tilde{\mathbf{x}}, \tilde{B}) = (\tilde{\mathbf{x}}', \tilde{B}')$ , defined as follows:

• The extended cluster  $\widetilde{\mathbf{x}}' = \widetilde{\mathbf{x}} \setminus \{x_k\} \cup \{x'_k\}$ , where

$$x_k x'_k = \prod_{i: \ b_{ik} > 0} x_i^{b_{ik}} + \prod_{i: \ b_{ik} < 0} x_i^{-b_{ik}}.$$
(4.2)

We call an expression of the form (4.2) an exchange relation and the monomial  $x_k x'_k$  an exchange monomial.

• The extended exchange matrix  $\widetilde{B}' = (b'_{ij})$  is defined by the following formula

$$b'_{ij} := \begin{cases} -b_{ij} & \text{if } i = k \text{ or } j = k, \\ b_{ij} + \operatorname{sgn}(b_{ik}) \max(b_{ik}b_{kj}, 0) & \text{else} \end{cases}$$

where

$$\operatorname{sgn}(b_{ik}) := \begin{cases} -1 & \text{if } b_{ik} < 0, \\ 0 & \text{if } b_{ik} = 0, \\ 1 & \text{if } b_{ik} > 0. \end{cases}$$

**Definition 4.4.** The cluster algebra  $A(\tilde{\mathbf{x}}, \tilde{B})$  associated to a seed  $(\tilde{\mathbf{x}}, \tilde{B})$  is the  $\mathbb{C}$ -subalgebra of  $\mathcal{F}$  generated by the elements of all the extended clusters that can be obtained from the *initial* seed  $(\tilde{\mathbf{x}}, \tilde{B})$  by a finite sequence of mutations. The elements of the clusters constructed in this way are called the *cluster variables*. If  $f \neq 0$  we say that the cluster algebra  $A(\tilde{\mathbf{x}}, \tilde{B})$  has frozen variables, namely,  $x_{m+1}, \ldots, x_{m+f}$ .

**Remark 4.5.** It can be easily verified that, up to a canonical isomorphism,  $A(\tilde{\mathbf{x}}, \tilde{B})$  is independent of  $\tilde{\mathbf{x}}$ . Therefore, we write  $A(\tilde{B})$  instead of  $A(\tilde{\mathbf{x}}, \tilde{B})$ .

**Remark 4.6.** Fomin and Zelevinsky usually define cluster algebras over  $\mathbb{Q}$ . We recover the construction described above applying tensor product with  $\mathbb{C}$  to Fomin and Zelevinsky's construction.

**Theorem 4.7** ([25, Laurent phenomenon]). The cluster algebra  $A(\widetilde{B})$  is a  $\mathbb{C}[x_{m+1}, \ldots, x_{m+f}]$ -subalgebra of  $\mathbb{C}[x_1^{\pm 1}, \ldots, x_m^{\pm 1}, x_{m+1}, \ldots, x_{m+f}]$ .

**Definition 4.8.** The cluster algebra with *principal coefficients* associated to an  $m \times m$  skewsymmetric matrix B is the cluster algebra  $A(B^{\text{prin}})$ , where  $B^{\text{prin}}$  is the  $2m \times m$  matrix whose top  $m \times m$  square submatrix is B and whose lower  $m \times m$  square submatrix is the identity matrix  $\mathbb{1}_m$ .

The notion of cluster algebras with principal coefficients is central in cluster theory. Its relevance in the framework of toric degenerations of cluster varieties was highlighted in [28]. In particular, in loc. cit. the authors work implicitly with cluster algebras with frozen variables and principal coefficients. This is precisely the notion we need here.

**Definition 4.9.** Let  $\widehat{B}$  be an extended exchange matrix. Let  $\widehat{B}$  be the  $(m + f) \times (m + f)$  skew-symmetric square matrix whose left  $(m + f) \times m$  submatrix is  $\widetilde{B}$  and whose bottom right  $f \times f$  square submatrix is the zero matrix (this information fully determines  $\widehat{B}$  since it is skew-symmetric). Denote by  $t_1, \ldots, t_{m+f}$  the variables of  $A(\widehat{B}^{\text{prin}})$  associated to the last m+f directions, which in this case are the frozen directions. Then the *cluster algebra with principal coefficients and frozen variables*  $A(\widetilde{B}^{\text{prin}})$  associated to  $\widetilde{B}$  is the  $\mathbb{C}[t_1, \ldots, t_{m+f}]$ -subalgebra of  $A(\widehat{B}^{\text{prin}})$  spanned by the cluster variables that can be obtained from the initial extended cluster by iterated mutation in the first m mutable directions.

**Theorem 4.10** ([16, Theorem 1.7]). Let X be a cluster variable associated to  $A(B^{\text{prin}})$ . By Theorem 4.7 we know that  $X \in \mathbb{C}[t_1, \ldots, t_{m+f}][x_1^{\pm 1}, \ldots, x_m^{\pm 1}, x_{m+1}, \ldots, x_{m+f}]$ . Let  $X|_{\mathbf{t}=0}$  be the Laurent polynomial in  $\mathbb{C}[x_1^{\pm 1}, \ldots, x_m^{\pm 1}, x_{m+1}, \ldots, x_{m+f}]$  obtained after the evaluation of X at  $t_1 = 0, \ldots, t_{m+f} = 0$ . Then  $X|_{\mathbf{t}=0}$  is a non-constant Laurent monomial with coefficient 1. In other words, for a non-zero vector  $\mathbf{g}(X) = (g_1^X, \ldots, g_{m+f}^X) \in \mathbb{Z}^{m+f}$  we have that

$$X|_{\mathbf{t}=0} = \prod_{i=1}^{m+f} x_i^{g_i^X}.$$

Moreover, if X and X' are different cluster variables then  $\mathbf{g}(X) \neq \mathbf{g}(X')$ .

**Definition 4.11.** Let X be a cluster variable associated to  $A(\widetilde{B}^{\text{prin}})$ . The vector  $\mathbf{g}(X) \in \mathbb{Z}^{m+f}$  introduced in Theorem 4.10 is the **g**-vector associated to X. We refer to the vector  $(g_1^X, \ldots, g_m^X) \in \mathbb{Z}^m$  as the *truncated* **g**-vector of X. The set of (truncated) **g**-vectors associated to  $\widetilde{B}$  is the set of (truncated) **g**-vectors of all the cluster variables in  $A(\widetilde{B}^{\text{prin}})$ .

**Remark 4.12.** Let  $X|_{t=1}$  be the Laurent polynomial in  $\mathbb{C}[x_1^{\pm 1}, \ldots, x_m^{\pm 1}, x_{m+1}, \ldots, x_{m+f}]$  obtained after the evaluation of X at  $t_1 = 1, \ldots, t_{m+f} = 1$ . Then  $X|_{t=1}$  is a cluster variable of  $A(\widetilde{B})$ . By a slight abuse of terminology we say  $\mathbf{g}(X)$  is the **g**-vector of the cluster variable  $X|_{t=1}$ .

Universal coefficients. We now turn to the definition of finite type cluster algebras with frozen variables and universal coefficients. This notion is a natural (and slight) generalization of the usual notion of universal coefficients for cluster algebras without frozen variables introduced by Fomin and Zelevinsky in [25, Section 12]. The difference between these notions arises from the distinction we make between coefficients and frozen variables, notions that are usually identified. This distinction was first suggested in [9] and is of particular importance in the study of toric degenerations of cluster varieties. It was shown in [25] that finite type cluster algebras can be endowed with universal coefficients. This construction was categorified in [42] and the categorification was used to perform various computations in the following sections. To further clarify the preceding discussion let us first recall the notion of finite type cluster algebras with universal coefficients.

**Definition 4.13.** An *ice quiver* is a pair (Q, F), where Q is a finite quiver with the vertex set V(Q) and  $F \subset V(Q)$  is a set of *frozen vertices*. The vertices in  $V(Q) \setminus F$  are called *mutable*. If (Q, F) is an ice quiver then the corresponding  $|V(Q)| \times |V(Q) \setminus F|$  matrix is  $B_{(Q,F)} := (b_{ij})$ , where

$$b_{ij} := \#\{\operatorname{arrows} i \to j \text{ in } Q\} - \#\{\operatorname{arrows} j \to i \text{ in } Q\}$$

$$(4.3)$$

for  $i \in V(Q)$  and  $j \in V(Q) \setminus F$ . Note that (4.3) can be similarly used to recover (Q, F) from  $B_{(Q,F)}$ . The *quiver mutation* is the operation induced by matrix mutation at the level of quivers. If  $F = \emptyset$  we set  $B_Q := B_{(Q,\emptyset)}$ .

**Theorem-Definition 4.14** ([43, Corollary 8.15]). Let Q be a quiver of finite cluster type, that is Q is mutation equivalent to an orientation of a Dynkin diagram. The cluster algebra with universal coefficients associated to Q is  $A(B_Q^{\text{univ}})$ , where

$$B_Q^{\text{univ}} = \left( \begin{array}{c} B_Q \\ \overline{U}_Q \end{array} \right)$$

and  $U_Q$  is the rectangular matrix whose rows are the **g**-vectors of the opposite quiver  $Q^{\text{op}}$ .

Observe that we have described the rows of  $U_Q$  but did not specify in which order they appear. Any order we choose provides a realization of  $A(B_Q^{\text{univ}})$  since a rearrangement of the rows of  $U_Q$ amounts to reindexing the corresponding coefficients. So any choice gives rise to an isomorphic algebra. It can be verified that the cluster algebra with universal coefficients associated to Qis an invariant of the mutation class of Q. In other words, if Q' is mutation equivalent to Qthen  $A(B_Q^{\text{univ}})$  is canonically isomorphic to  $A(B_{Q'}^{\text{univ}})$ . The canonical isomorphism is completely determined by sending the elements of the initial cluster of  $B_Q^{\text{univ}}$  to those of  $B_{Q'}^{\text{univ}}$ .

**Remark 4.15.** Cluster algebras with universal coefficients as treated by Fomin and Zelevinsky are defined by a universal property [25, Definition 12.1]. We have chosen Reading's equivalent definition since we will not use the universal property here.

If Q is a bipartite orientation of a Dynkin diagram  $\Delta$  then the matrix  $B_Q^{\text{univ}}$  has a particularly nice and explicit description. More precisely, let  $\Phi^{\vee}$  be the root system dual to the root system associated to  $\Delta$ . Fix  $\Phi_+^{\vee} \subset \Phi^{\vee}$  a subset of positive coroots with  $\{\alpha_1, \ldots, \alpha_n\} \subset \Phi_+^{\vee}$  the set of simple coroots. The almost positive coroots are the coroots in the set  $\Phi_{\geq-1}^{\vee} := \Phi_+^{\vee} \cup \{-\alpha_1, \ldots, -\alpha_n\}$ . The cardinality of  $\Phi_{\geq-1}^{\vee}$  coincides with the number of cluster variables in  $A(B_Q^{\text{univ}})$  which is the number of coefficients for  $A(B_Q^{\text{univ}})$ . Hence, we may consider  $\{y_{\alpha} : \alpha \in \Phi_{\geq-1}^{\vee}\}$  as the set of coefficients for  $A(B_Q^{\text{univ}})$ . Next, for a coroot  $\beta = \sum_{i=1}^n c_i \alpha_i$  we define  $[\beta : \alpha_i] := c_i$ . With this notation we have the following description of  $B_Q^{\text{univ}}$  which is a reformulation of [25, Theorem 12.4].

**Proposition 4.16.** The entry in  $B_Q^{\text{univ}}$  in the column *i* and the row corresponding to  $y_\beta$  is  $[\beta : \alpha_i]$  if *i* is a source and  $-[\beta : \alpha_i]$  if *i* is a sink.

**Definition 4.17.** Let (Q, F) be an ice quiver whose mutable part is a quiver  $Q^{\text{mut}}$  of finite cluster type. The associated cluster algebra with universal coefficients is  $A(B_{(Q,F)}^{\text{univ}})$ , where

$$B_{(Q,F)}^{\text{univ}} = \left( -\frac{B_{(Q,F)}}{\bar{U}_{Q^{\text{mut}}}} \right)$$

and  $U_{Q^{\text{mut}}}$  is the matrix whose rows are the truncated **g**-vectors of the opposite quiver  $(Q^{\text{mut}})^{\text{op}}$ .

**Remark 4.18.** The algebra  $A(B_{(Q,F)}^{\text{univ}})$  satisfies a universal property which is a straight forward generalization of the universal property of a cluster algebra without frozen directions and with universal coefficients, see Remark 4.15.

The Grassmannian cluster algebra  $A_{2,n}$ . We now turn to the cluster algebra that is of most interest to us, and use the combinatorics governing the cluster structure of  $A_{2,n}$ : triangulations of an *n*-gon. The vertices of the *n*-gon are labeled by [n] in the clockwise order. An arc connecting two vertices *i* and *j* is denoted by  $\overline{ij}$  and we associate to it the Plücker coordinate  $\overline{p}_{ij} \in A_{2,n}$ .

**Definition 4.19.** A triangulation of the *n*-gon is a maximal collection of non-crossing arcs dividing the *n*-gon into n-2 triangles. To a triangulation T we associate a collection of Plücker coordinates  $\mathbf{x}_T := \{\bar{p}_{ij} : ij \text{ is an arc in } T\}$  which is the associated *cluster*. The elements of  $\mathbf{x}_T$  are the *cluster variables*. The monomials in Plücker coordinates which are all part of one cluster are the *cluster monomials*.

Note that every triangulation T contains the boundary edges  $\overline{12}, \overline{23}, \ldots, \overline{n1}$ . The corresponding cluster variables  $\bar{p}_{12}, \bar{p}_{23}, \ldots, \bar{p}_{1n}$  are *frozen* cluster variables. All other variables  $\bar{p}_{ij}$  with  $i \neq j \pm 1$  are *mutable* cluster variables. To every triangulation T we associated an ice quiver.

**Definition 4.20.** For a triangulation T, we define its associated ice quiver  $(Q_T, F_T)$ : the vertices  $V(Q_T)$  are in correspondence with arcs and boundary edges  $\overline{ij} \in T$ ; Two vertices  $v_{\overline{ij}}$  and  $v_{\overline{kl}}$  are connected by an arrow, if they correspond to arcs in one triangle. Inside every triangle, we orient the arrows clockwise. The set  $F_T$  consists of vertices corresponding to the boundary edges of the *n*-gon, hence F does not depend on T. We neglect arrows between vertices in F, see Figure 1.



**Figure 1.** On the right a triangulation T of the 8-gon and its corresponding quiver  $Q_T$ . On the left its corresponding extended tree  $\hat{D}_T$  dual to T which is a trivalent tree with 16 leaves.

We first recall some classic results due to Fomin–Zelevinsky and Fomin–Shapiro–Thurston.

**Theorem 4.21** ([23]). For all  $n \geq 3$  the ring  $A_{2,n}$  is a cluster algebra with frozen variables. More precisely, if  $(Q_T, F_T)$  is the ice quiver associated to a triangulation T of the n-gon then  $A_{2,n} \cong A(B_{(Q_T,F_T)})$  as  $\mathbb{C}$ -algebras. Moreover, the cluster variables associated to  $B_{(Q_T,F_T)}$  are precisely the Plücker coordinates and the exchange relations are precisely the Plücker relations.

**Theorem 4.22** ([21]). The set of all cluster monomials is a  $\mathbb{C}$ -vector space basis for the algebra  $A_{2,n}$  called the basis of cluster monomials.

We endow  $A_{2,n}$  with universal coefficients associated to its mutable part. This is an example of a cluster algebra with frozen directions and coefficients in the sense of [9].

**Notation 4.23.** Let  $(Q_T, F_T)$  be the quiver associated to a triangulation of the *n*-gon. We denote by  $Q_T^{\text{mut}}$  the full subquiver of  $Q_T$  supported in the mutable vertices  $V(Q_T) \setminus F_T$ . In particular,  $Q_T^{\text{mut}}$  is mutation equivalent to an orientation of a type A Dynkin diagram.

**Definition 4.24.** Let T be a triangulation of the n-gon. The Plücker algebra with universal coefficients  $A_{2,n}^{\text{univ}}$  is the cluster algebra defined by the extended exchange matrix

$$B_{(Q_T,F_T)}^{\text{univ}} = \left( \begin{array}{c} -\frac{B_{(Q_T,F_T)}}{U_{Q_T^{\text{unit}}}} \end{array} \right).$$

Up to canonical isomorphism,  $A_{2,n}^{\text{univ}} = A(B_{(Q_T,F_T)}^{\text{univ}})$  is independent of T.

The quivers  $Q_T^{\text{mut}}$  and  $(Q_T^{\text{mut}})^{\text{op}}$  define canonically isomorphic cluster algebras whose cluster variables (denoted by  $\bar{p}_{ij}$ ) are both in bijection with arcs  $\bar{ij}$  of the *n*-gon for which  $2 \leq i + 1 < j \leq n$ . Hence, the rows of  $U_{Q_T^{\text{mut}}}$  are also in bijection with these arcs. We write  $y_{ij}$  for the coefficient variable of  $A_{2,n}^{\text{univ}}$  corresponding to the arc  $\bar{ij}$ .



Figure 2. A triangulation of the 5-gon and the associated matrix  $B_{(Q_T, F_T)}^{\text{univ}}$ .

**Example 4.25.** Let  $(Q_T, F_T)$  be the ice quiver associated to the triangulation of the pentagon depicted in Figure 2. The corresponding rectangular matrix for universal coefficients can be found on the right side of Figure 2. Incidentally, in this case the rows corresponding to the universal coefficients coincide with the rows corresponding to the frozen part of  $(Q_T, F_T)$ . However, for polygons with more than 5 sides this will not be the case.

To get a better understanding of the cluster algebra  $A_{2,n}^{\text{univ}}$  we now turn to the combinatorial gadgets that govern its definition: **g**-vectors of Plücker coordinates. Their description involves two more combinatorial objects associated to a triangulation T, namely its dual graph  $D_T$  and its extended dual graph  $\hat{D}_T$ . Recall that non-interior vertices of a tree graph are called *leaves*. Moreover, two leaves that are connected to the same interior vertex form a so-called *cherry*.

**Definition 4.26.** Fix a triangulation T of the *n*-gon. The trivalent tree  $D_T$  is the *dual graph* or *ribbon graph* of T. It has *n* leaves and its interior vertices correspond to triangles in T. Two interior vertices are connected by an edge if their corresponding triangles share an arc. Vertices corresponding to triangles involving a boundary edge i - 1, i are connected to the leaf *i*. The trivalent tree  $\hat{D}_T$  is obtained from  $D_T$  by replacing every leaf *i* by a cherry with leaves *i* and *i'*, where (in the clockwise order) *i'* labels the first leaf. We call  $\hat{D}_T$  the extended tree dual to T.

Note that the tree  $D_T$  is by definition planar. See Figure 1 for an example. Moreover, the extended tree  $\hat{D}_T$  can alternatively be defined as the dual graph of a triangulation  $\hat{T}$  of the 2*n*-gon with vertices  $1', 1, 2', 2, \ldots, n', n$  in the clockwise order. Here  $\hat{T}$  is obtained from T by replacing every boundary edge  $\overline{i-1}, \overline{i}$  by a triangle with a new vertex labeled by i'. Then  $\hat{D}_T = D_{\hat{T}}$ .

We now focus on giving a combinatorial definition of the **g**-vector associated to a Plücker coordinate with respect to a triangulation T. The reader can find an equivalent definition above in Definition 4.11. The **g**-vectors can be read from the extended tree  $\hat{D}_T$ . First, observe that the interior edges of  $\hat{D}_T$  correspond to the arcs and the boundary edges in T and, therefore to the cluster variables of the associated seed.

Sign conventions for g-vectors. Consider a path in the tree  $\hat{D}_T$  with the end points in  $\{1, \ldots, n\}$  (not in  $\{1', \ldots, n'\}$ ). Say the path goes from i to j. For simplicity, we fix an orientation of the path and denote it by  $i \rightsquigarrow j$  (what follows is independent of the choice of orientation). As  $\hat{D}_T$  is trivalent, at every interior vertex the path can either turn *right* or *left*. Denote by  $e_{\overline{ab}}$  the edge in  $\hat{D}_T$  corresponding to an arc  $\overline{ab} \in T$  and let  $v_{abc}$  and  $v_{abd}$  be the vertices in  $\hat{D}_T$  adjacent to  $e_{\overline{ab}}$ . Given the path  $i \rightsquigarrow j$  we associate signs  $\sigma_{i \rightsquigarrow j}^{ab} \in \{-1, 0, +1\}$  to every  $\overline{ab} \in T$  as follows:

1. If the path  $i \rightsquigarrow j$  either does not pass through  $e_{\overline{ab}}$ , or it passes through  $e_{\overline{ab}}$  by turning right at  $v_{abc}$  and turning right at  $v_{abd}$ , or it passes through  $e_{\overline{ab}}$  by turning left at  $v_{abc}$  and turning left at  $v_{abd}$ : then  $\sigma_{i \sim j}^{ab} = 0$ .

- 2. If the path  $i \rightsquigarrow j$  passes through the  $e_{\overline{ab}}$  by turning left at  $v_{abc}$  and turning right at  $v_{abd}$ : then  $\sigma_{i \rightsquigarrow j}^{ab} = +1$ .
- 3. If the path  $i \rightsquigarrow j$  passes through the  $e_{\overline{ab}}$  by turning right at  $v_{abc}$  and turning left at  $v_{abd}$ : then  $\sigma_{i \sim j}^{ab} = -1$ .

Cases 2 and 3 are depicted in Figure 3. We leave it to the reader to verify that  $\sigma_{i \to j}^{ab} = \sigma_{j \to i}^{ab}$ . Later we replace the end points *i* and *j* by the interior vertices of  $\hat{D}_T$  and use the same symbol.



Figure 3. Sign conventions to compute g-vectors.

**Definition 4.27.** Let  $\{f_{ab}\}_{\overline{ab}\in T}$  be the standard basis of  $\mathbb{Z}^{2n-3}$ . For the Plücker coordinate  $\bar{p}_{ij}$  consider the path from *i* to *j* in  $\hat{D}_T$ . The **g**-vector of  $\bar{p}_{ij}$  with respect to *T* is defined as

$$\mathbf{g}_{ij}^{\widehat{T}} := \sum_{\overline{ab} \in T} \sigma_{i \rightsquigarrow j}^{ab} f_{ab}$$

Similarly, we define the **g**-vector of a cluster monomial as the sum of the **g**-vectors of its factors.

Note that for  $ij \in T$  we have  $\mathbf{g}_{ij} = f_{ij}$ . When there is no ambiguity, we write  $\mathbf{g}_{ij}$  instead of  $\mathbf{g}_{ij}^{\hat{T}}$ .



Figure 4. Combinatorial translation between laminations on triangulations (as in [22]) and paths on trees (as in Definition 4.27) to compute truncated **g**-vectors. The red lines corresponds to the simple lamination associated to ij.

**Lemma 4.28.** Let T be a triangulation of the n-gon. The **g**-vector  $\mathbf{g}_{ij}^{\widehat{T}}$  of a Plücker coordinate  $\overline{p}_{ij}$  with respect to T introduced in Definition 4.27 coincides with the **g**-vector  $\mathbf{g}(\overline{p}_{ij})$  (from Definition 4.11) of the cluster variable  $\overline{p}_{ij}$  of  $A(B_{(Q_T,F_T)})$ .

**Proof.** We use some of the terminology of cluster algebras associated to surfaces developed in [22]. The algebra  $A_{2,n}$  is a cluster algebra arising from an orientable surface with marked points, in this case a disc with n marked points in its boundary. As such, the truncated **g**-vectors of its cluster variables can be computed using laminations in the surface as explained in [22, Proposition 17.3]. To be more precise, the truncated **g**-vector of  $\bar{p}_{ij}$  is the vector containing the *shear coordinates* of the internal arcs of T with respect to the simple lamination associated to the arc  $\bar{ij}$ . The key observation is that the combinatorial rule defining shear coordinates translates to our combinatorial rule to compute  $\mathbf{g}_{ij}^{\hat{T}}$ . In Figure 4 we visualize the translation from our combinatorial rule to the one defining shear coordinates from [22, Definition 12.2, Figure 34]. Shear coordinates are only associated to internal arcs and, therefore, can only compute truncated **g**-vectors. However, the extended exchange matrix  $\hat{B}_{(Q_T,F_T)}$  is the submatrix of  $B_{Q_{\hat{T}},F_{\hat{T}}}$  associated to the internal arcs of the triangulation  $\hat{T}$  of the 2*n*-gon. As a consequence  $\mathbf{g}(\bar{p}_{ij}) = \mathbf{g}_{ij}^{\hat{T}}$ .



**Figure 5.** The full subtree of  $\widehat{D}_T$  with leaves i, i', j, k, l and labeling as in the proof of Lemma 4.31 (ignoring i', d, v') and Proposition 4.34. Blue marks refer to certain paths in the tree and the labels  $v_i$ ,  $v_j, v_k, v_l, v, v'$  to the corresponding elements of  $\mathbb{Z}^{2n-3}$ . We follow the convention in Figure 3.

**Example 4.29.** Consider the triangulation T depicted in Figure 1 and its corresponding tree  $\hat{D}_T$ . Then  $\mathbf{g}_{ij} = f_{ij}$  for  $ij \in T$ . We compute the **g**-vectors of the remaining Plücker coordinates:

$\mathbf{g}_{15} = f_{17} - f_{47} + f_{45},$	$\mathbf{g}_{16} = f_{17} - f_{57} + f_{56},$	$\mathbf{g}_{37} = f_{47} - f_{14},$
$\mathbf{g}_{24} = f_{12} - f_{13} + f_{34},$	$\mathbf{g}_{27} = f_{12} - f_{14} + f_{47},$	$\mathbf{g}_{35} = f_{45} - f_{14},$
$\mathbf{g}_{25} = f_{12} - f_{14} + f_{45},$	$\mathbf{g}_{28} = f_{12} - f_{17} + f_{78},$	$\mathbf{g}_{38} = f_{78} - f_{17},$
$\mathbf{g}_{46} = f_{47} - f_{57} + f_{56},$	$\mathbf{g}_{48} = f_{14} - f_{17} + f_{78},$	$\mathbf{g}_{26} = f_{12} - f_{14} + f_{47} - f_{57} + f_{56},$
$\mathbf{g}_{58} = f_{78} - f_{47} + f_{45},$	$\mathbf{g}_{68} = f_{56} - f_{57} + f_{78},$	$\mathbf{g}_{36} = -f_{14} + f_{47} - f_{57} + f_{56}.$

#### 4.2 Toric degenerations

In this section, we use the **g**-vectors from Definition 4.27 to obtain a weight vector for every triangulation of the *n*-gon. The initial ideals of  $I_{2,n}$  arising this way are toric. Throughout this section we fix a triangulation T.

**Definition 4.30.** Consider a quadrilateral with vertices i, j, k, l inside the *n*-gon. The arcs ik and  $j\overline{l}$  are called *crossing arcs*, if when drawn inside the *n*-gon the corresponding arcs cross each other. Let  $M_{2,n}$  be the set of *crossing monomials*  $p_{ik}p_{jl}$ , where in the quadrilateral with vertices i, j, k, l the arcs  $i\overline{k}$  and  $\overline{jl}$  are crossing. Let  $\mathcal{M}_{2,n} \subset S$  be the monomial ideal generated by  $M_{2,n}$ .

**Lemma 4.31.** Given a Plücker relation  $p_{ij}p_{kl} - p_{ik}p_{jl} + p_{il}p_{jk}$  with i < j < k < l the multidegree induced by the **g**-vectors for the triangulation T on the monomials agrees for exactly two terms. Moreover, one of those terms is the crossing monomial  $p_{ik}p_{jl}$ .

**Proof.** Consider the extended tree  $\widehat{D}_T$  and restrict it to the full subtree with leaves i, j, k, l. By definition, the subtree has four leaves and edges of valency three or less. Without loss of generality we may assume the leaves are arranged as in Figure 5. Two vertices of the subtree are trivalent, namely the one where the paths  $i \rightsquigarrow j$  and  $l \rightsquigarrow j$  meet, respectively where the paths  $j \rightsquigarrow i$  and  $k \rightsquigarrow i$  meet. Assume these vertices come from triangles in T labeled by  $a_1, b_1, c_1$ , respectively  $a_2, b_2, c_2$ . Denote the vertex of  $\widehat{D}_T$  corresponding to the triangle  $a_1, b_1, c_1$  (respectively  $a_2, b_2, c_2$ ) by  $\Delta_1$  (respectively  $\Delta_2$ ). We compute the **g**-vectors for all Plücker coordinates whose indices are a subset of  $\{i, j, k, l\}$ . To simplify the notation in the computation we use the following abbreviations

$$v_i := \sum_{\overline{pq} \in T} \sigma_{i \rightsquigarrow \Delta_1}^{pq} f_{pq}, \quad v_j := \sum_{\overline{pq} \in T} \sigma_{j \rightsquigarrow \Delta_2}^{pq} f_{pq}, \quad v_l := \sum_{\overline{pq} \in T} \sigma_{l \rightsquigarrow \Delta_1}^{pq} f_{pq}, \quad v_k := \sum_{\overline{pq} \in T} \sigma_{k \rightsquigarrow \Delta_2}^{pq} f_{pq}.$$

So  $v_i$  is the weighted sum (with respect to the sign convention as in Figure 3) of the edges on the path from *i* to the vertex  $\Delta_1$  excluding  $f_{a_1c_1}$ , etc. Further, let  $v := \sum_{\overline{pq} \in T} \sigma_{\Delta_1 \to \Delta_2}^{pq} f_{pq}$ . Then

$$\begin{aligned} \mathbf{g}_{ij} &= v_i + \sigma_{i \to j}^{a_1 c_1} f_{a_1 c_1} + \sigma_{i \to j}^{a_1 b_1} f_{a_1 b_1} + v + \sigma_{i \to j}^{a_2 c_2} f_{a_2 c_2} + \sigma_{i \to j}^{a_2 b_2} f_{ab} + v_j, \\ \mathbf{g}_{ik} &= v_i + \sigma_{i \to j}^{a_1 c_1} f_{a_1 c_1} + \sigma_{i \to j}^{a_1 b_1} f_{a_1 b_1} + v + \left(1 + \sigma_{i \to j}^{a_2 c_2}\right) f_{a_2 c_2} + \sigma_{i \to k}^{b_2 c_2} f_{b_2 c_2} + v_k, \\ \mathbf{g}_{jl} &= v_l + \sigma_{j \to l}^{b_1 c_1} f_{b_1 c_1} - \left(1 - \sigma_{i \to j}^{a_1 b_1}\right) f_{a_1 b_1} + v + \sigma_{i \to j}^{a_2 c_2} f_{a_2 c_2} + \sigma_{i \to j}^{a_2 b_2} f_{a_2 b_2} + v_j, \\ \mathbf{g}_{kl} &= v_l + \sigma_{j \to l}^{b_1 c_1} f_{b_1 c_1} - \left(1 - \sigma_{i \to j}^{a_1 b_1}\right) f_{a_1 b_1} + v + \left(1 + \sigma_{i \to j}^{a_2 c_2}\right) f_{a_2 c_2} + \sigma_{i \to k}^{b_2 c_2} f_{b_2 c_2} + v_k, \\ \mathbf{g}_{il} &= v_i + \left(1 + \sigma_{i \to j}^{a_1 c_1}\right) f_{a_1 c_1} - \left(1 - \sigma_{j \to l}^{b_1 c_1}\right) f_{b_1 c_1} + v_l, \\ \mathbf{g}_{jk} &= v_j - \left(1 + \sigma_{i \to j}^{a_2 b_2}\right) f_{a_2 b_2} + \left(1 + \sigma_{i \to k}^{b_2 c_2}\right) f_{b_2 c_2} + v_k. \end{aligned}$$

In particular, we have  $\mathbf{g}_{ij} + \mathbf{g}_{kl} = \mathbf{g}_{ik} + \mathbf{g}_{jl} \neq \mathbf{g}_{il} + \mathbf{g}_{jk}$ .

Recall from (4.1) how to obtain a weight vector from a trivalent tree with n leaves in  $\text{Trop}(I_{2,n})$ . The following corollary is a direct consequence of the proof of Lemma 4.31.

**Corollary 4.32.** Without loss of generality by Lemma 4.31 assume that for i < j < k < l,  $\mathbf{g}_{ij} + \mathbf{g}_{kl} = \mathbf{g}_{ik} + \mathbf{g}_{jl} \neq \mathbf{g}_{il} + \mathbf{g}_{jk}$ . Then  $\operatorname{in}_{D_T}(p_{ij}p_{kl} - p_{ik}p_{jl} + p_{il}p_{jk}) = p_{ij}p_{kl} - p_{ik}p_{jl}$ .

**Definition 4.33.** A quadrilateral weight map for a triangulation T is a linear map  $w_T : \mathbb{R}^{2n-3} \to \mathbb{R}$ , such that for every quadrilateral  $\overline{abcd}$  with diagonal  $\overline{ac}$  in T we have:

$$w_T(f_{ad} + f_{bc}) > w_T(f_{ab} + f_{cd}).$$
(4.4)

Note that (4.4) is equivalent to saying that for every mutable vertex  $\overline{ac}$  of the quiver  $Q_T$  we require  $w_T(\sum_{\overline{pq}\to\overline{ac}} \mathbf{g}_{pq}) > w_T(\sum_{\overline{ac}\to\overline{pq}} \mathbf{g}_{pq})$ . Before we show that quadrilateral weight maps do in fact exist (in Lemma 4.39, using Algorithm 1) we proceed by showing how they can be used to construct weight vectors in  $\operatorname{Trop}(I_{2,n})$  from **g**-vectors.

**Proposition 4.34.** Consider any i < j < k < l with  $\mathbf{g}_{ij} + \mathbf{g}_{kl} = \mathbf{g}_{ik} + \mathbf{g}_{jl} \neq \mathbf{g}_{il} + \mathbf{g}_{jk}$  and any quadrilateral linear map  $w_T \colon \mathbb{R}^{2n-3} \to \mathbb{R}$ . Then  $w_T(\mathbf{g}_{ij} + \mathbf{g}_{kl}) = w_T(\mathbf{g}_{ik} + \mathbf{g}_{jl}) < w_T(\mathbf{g}_{il} + \mathbf{g}_{jk})$ .

**Proof.** Without loss of generality assume that the full subtree of  $\widehat{D}_T$  with leaves i, j, k, l is of form as in Figure 5. For s = 1, 2 let  $\Delta_s$  be the vertex of  $\widehat{D}_T$  corresponding to the triangle  $a_s, b_s, c_s$  in T. We proceed by induction on the number of edges along the unique path from  $\Delta_2$  to  $\Delta_1$ , denote it by p.

p = 1: In this case we have  $a_1 = d = a_2$  and  $b_1 = c_2$  in Figure 5. Note that every quadrilateral linear map  $w_T$  satisfies  $w_T(f_{a_2c_1} + f_{b_2c_2}) > w_T(f_{a_2b_2} + f_{c_2c_1})$ . Using Lemma 4.31 we compute

$$w_T(\mathbf{g}_{il} + \mathbf{g}_{jk}) = w_T(\mathbf{g}_{ij} + \mathbf{g}_{kl} + f_{a_2c_1} + f_{b_2c_2} - f_{a_2b_2} - f_{c_2c_1}) > w_T(\mathbf{g}_{ij} + \mathbf{g}_{kl})$$

 $p \geq 1$ : Assume that the result holds for p. When the number of edges along the path from  $v_{a_2b_2c_2}$  to  $v_{a_1b_1c_1}$  is p + 1 we know there exists at least one leaf of form either i' with i < i' < j or k' with k < k' < l. We treat the first case, where i' exists depicted in Figure 5. The proof of the second case is similar. All expressions of **g**-vectors for Plücker variables in the relation  $R_{ijkl}$  appear in the proof of Lemma 4.31. The **g**-vectors involving i' can be computed similarly. By induction we know that for the relation  $R_{ii'jl}$  we have  $w_T(\mathbf{g}_{ij} + \mathbf{g}_{i'l}) < w_T(\mathbf{g}_{il} + \mathbf{g}_{i'j})$ . Further, by assumption on  $w_T$  we have  $w_T(f_{a_2d} + f_{b_2c_2}) > w_T(f_{a_2b_2} + f_{c_2d})$ . One verifies by direct computation that

$$w_{T}(\mathbf{g}_{il} + \mathbf{g}_{jk}) = w_{T}(\mathbf{g}_{il} + \mathbf{g}_{i'j}) + w_{T}(\mathbf{g}_{jk} - \mathbf{g}_{i'j})$$
  
>  $w_{T}(\mathbf{g}_{ij} + \mathbf{g}_{kl}) + w_{T}(\mathbf{g}_{jk} - \mathbf{g}_{i'j} + \mathbf{g}_{i'l} - \mathbf{g}_{kl})$   
=  $w_{T}(\mathbf{g}_{ij} + \mathbf{g}_{kl}) + w_{T}(f_{a_{2}d} + f_{b_{2}c_{2}} - f_{a_{2}b_{2}} - f_{c_{2}d}) > w_{T}(\mathbf{g}_{ij} + \mathbf{g}_{kl}).$ 

**Definition 4.35.** Fix a quadrilateral linear map  $w_T \colon \mathbb{R}^{2n-3} \to \mathbb{R}$ . We define  $\mathbf{w}_T \in \mathbb{R}^{\binom{n}{2}}$  the weight vector associated to T and  $w_T$  as  $(\mathbf{w}_T)_{ij} := w_T(\mathbf{g}_{ij})$ .

**Proposition 4.36.** For each quadrilateral weight map  $w_T \colon \mathbb{R}^{2n-3} \to \mathbb{R}$ , the initial ideal  $\operatorname{in}_{\mathbf{w}_T}(I_{2,n})$  is toric. Moreover, every binomial in the minimal generating set of  $\operatorname{in}_{\mathbf{w}_T}(I_{2,n})$  corresponds to a quadrilateral and it contains the monomial associated to the crossing in that quadrilateral.

**Proof.** By Proposition 4.34 and Corollary 4.32 we have  $in_{D_T}(R_{ijkl}) = in_{\mathbf{w}_T}(R_{ijkl})$  for every Plücker relation  $R_{ijkl}$ . By Lemma 4.31 these initial forms are binomials and one monomial corresponds to the crossing in the quadrilateral  $\overline{ijkl}$ . By [49, Proof of Theorem 3.4] we know that  $in_{D_T}(I_{2,n})$  is generated by  $in_{D_T}(R_{ijkl})$  for all  $1 \le i < j < k < l \le n$ . Moreover, by [49, Corollary 4.4] the ideal  $in_{D_T}(I_{2,n})$  is binomial and prime.

**Remark 4.37.** As shown in Proposition 4.36, each triangulation of the *n*-gon gives rise to a Gröbner toric degeneration of  $Gr(2, \mathbb{C}^n)$ . For general Grassmannians, there are other examples of combinatorial objects leading to toric degenerations such as plabic graphs [7, 46] and matching fields [12, 13, 39]. All such degenerations can be realized as Gröbner degenerations, nevertheless, this is not true in general; see, e.g., [32] for a family of toric degenerations arising from graphs that cannot be identified as Gröbner degenerations.

**Existence of quadrilateral weight maps:** For every triangulation T we give a weight map obtained from a partition of the cluster  $\mathbf{x}_T$ , which is the output of Algorithm 1. We use it to define a linear map  $v_T \colon \mathbb{R}^{2n-3} \to \mathbb{R}$  and show in Lemma 4.39 that it is quadrilateral for T.

**Input:** An initial cluster  $\mathbf{x}_T$  with its corresponding triangulation T and its quiver  $Q_T$ . **Output:** A partitioning of the initial seed indices. Initialization:  $i \leftarrow 0$ :  $T \leftarrow$  the corresponding triangulation of  $\mathbf{x}_T$ ;  $Q \leftarrow Q_T;$ while T is not empty do  $V_i := \{v : v \text{ is a frozen vertex in } Q \text{ and a sink}\};$ foreach triangle t in T do if t has an edge whose corresponding vertex in Q is in  $V_i$  then  $T \leftarrow T \setminus \{t\}$  (Remove the triangle t from T);  $Q \leftarrow Q_T;$ end end  $i \leftarrow i + 1;$ end  $V_i \leftarrow \mathbf{x}_T \setminus (V_1 \cup \cdots \cup V_{i-1});$ return  $(V_0,\ldots,V_i);$ 

Algorithm 1: Partitioning the cluster  $\mathbf{x}_T$  based on its triangulation T (union of triangles).

**Description of Algorithm 1.** The input of the algorithm is a triangulation T of the *n*-gon. The output is an ordered partition of  $\mathbf{x}_T$ . We repeatedly shrink T to obtain a quiver associated to smaller triangulations. More precisely, in step i we identify the frozen vertices of  $Q_T$  that are sinks, i.e., they have no outgoing arrows. Then we add the corresponding arcs to the set  $V_i$  and remove the corresponding triangles from T. Note that in this process, we might remove edges whose corresponding vertices are not in  $V_i$ , but they are part of a triangle with an edge in  $V_i$ .

Let  $V_0, \ldots, V_i$  be the partition of the initial seed indices obtained by applying Algorithm 1 to the triangulation T associated to the initial seed  $\mathbf{x}_T$ . Refining the output of the algorithm yields an ordering on the variables of our initial seed, or equivalently the arcs of our initial triangulation.

**Example 4.38.** We continue with our running example and compute a partition of the initial seed indices as  $V_0 = \{56, 12, 78\}, V_1 = \{13, 45\}, V_2 = \{17, 14, 47\}$  and  $V_3 = \{57, 34, 23, 67, 18\}$ .

**Lemma 4.39.** Given a triangulation T consider the partition of  $\mathbf{x}_T = V_0 \cup \cdots \cup V_s$  obtained from Algorithm 1. Let  $v_T \colon \mathbb{R}^{2n-3} \to \mathbb{R}$  be the linear map defined by sending basis elements  $f_{ij}$ with  $\overline{ij} \in T$  to q, where  $\overline{ij} \in V_q$ . Then  $v_T$  is a quadrilateral linear map for T.

**Proof.** Consider a quadrilateral i < j < k < l in T with  $\overline{ij}$ ,  $\overline{jk}$ ,  $\overline{kl}$ ,  $\overline{li}$  and  $\overline{ik} \in T$ . As the algorithm ends when there is only one triangle or one arc left, there are two possibilities: either one of the triangles  $\overline{ijk}$ ,  $\overline{kli}$  is cut off first, or both triangles are cut off at the same time. In the first case we may assume the triangle  $\overline{ijk}$  is removed first. Then  $\overline{ij} \in V_p$ ,  $\overline{lk} \in V_q$  and  $\overline{jk}$ ,  $\overline{il} \in V_s$  for some  $p \leq q \leq s$  with p < s. In the first case p and q are different, while in the second they are equal. So in either case, we have  $v_T(f_{ij}+f_{kl}) = p + q < s + s = v_T(f_{jk} + f_{il})$ .

#### 4.3 A distinguished maximal Gröbner cone

In order to apply the construction from Section 3 in this section we identify a particular maximal cone C in  $GF(I_{2,n})$ . We analyze the cone C and apply Theorem 3.14. In the following section we will show how this construction is related to adding universal coefficients to the cluster algebra  $A_{2,n}$ .

**Definition 4.40.** Let  $u \in \mathbb{Q}^{\binom{n}{2}}$  be the weight vector such that the weight of  $p_{ij}$  is:

$$u(p_{ij}) := -\left(j - i - \frac{n}{2}\right)^2$$
 for  $1 \le i < j \le n$ .

**Example 4.41.** For n = 8, the Plücker variables associated to boundary arcs receive the lowest weight, which is -9. Mutable Plücker variables have the following weights:

$$u(p_{ij}) = \begin{cases} 0, & \text{if} \quad \min\{|j-i|, |i-j|\} = 4, \\ -1, & \text{if} \quad \min\{|j-i|, |i-j|\} = 3, \\ -4, & \text{if} \quad \min\{|j-i|, |i-j|\} = 2. \end{cases}$$

**Lemma 4.42.** With u as in Definition 4.40 we have  $in_u(I_{2,n}) = \mathcal{M}_{2,n}$  from Definition 4.30.

**Proof.** Consider the quadrilateral with vertices i < j < k < l and its corresponding Plücker relation  $R_{ijkl} = p_{ik}p_{jl} - p_{ij}p_{kl} - p_{il}p_{jk}$ . The arcs  $\overline{ik}$  and  $\overline{jl}$  are crossing, hence we have to show that (a)  $\operatorname{in}_u(R_{ijkl}) = p_{ik}p_{jl}$  and (b) the crossing monomials generate  $\operatorname{in}_u(I_{2,n})$ . To prove (a) we verify that

$$u(p_{ik}p_{jl}) = u(p_{il}p_{jk}) + 2(j-i)(l-k) > u(p_{il}p_{jk}),$$
  
$$u(p_{ik}p_{jl}) = u(p_{ij}p_{kl}) + 2(k-j)(n+i-l) > u(p_{ij}p_{kl}).$$

To establish (b), we apply Buchberger's criterion and show that all the S-pairs  $S(R_{ijkl}, R_{abcd})$ reduce to 0 modulo  $\{R_{ijkl}\}_{1 \le i < j < k < l \le n}$ . If  $in_u(R_{ijkl})$  and  $in_u(R_{abcd})$  have no common factor, then  $S(R_{ijkl}, R_{abcd})$  reduces to zero (see, for example, [29, Lemma 2.3.1]). Assuming that  $in_u(R_{ijkl})$  and  $in_u(R_{abcd})$  have a common factor we distinguish two cases, in each we underline the crossing monomials. Consider  $R_{ijls}$  and  $R_{iklr}$ , where without loss of generality i < j < k < l < r < s:

$$S(R_{ijls}, R_{iklr}) = \underline{p_{kr}p_{ls}}p_{ij} + \underline{p_{kr}p_{jl}}p_{is} - \underline{p_{js}p_{ik}}p_{lr} - \underline{p_{js}p_{ir}}p_{kl}$$
$$= -p_{ij}R_{klrs} - p_{is}R_{jklr} + p_{lr}R_{ijks} + p_{kl}R_{ijrs}.$$

The second case for  $R_{ijkl}$  and  $R_{jklm}$  with i < j < k < l < m is similar.

Let  $C \in \operatorname{GF}(I_{2,n})$  be the maximal cone corresponding to the monomial initial ideal  $\mathcal{M}_{2,n}$  and let < denote the compatible monomial term order on S. By definition the standard monomial basis  $\mathbb{B}_{<}$  (see Definition 2.5) is the set of monomials that are not contained in the ideal generated by crossing monomials. So we call it the basis of non-crossing monomials.

**Proposition 4.43.** The basis of non-crossing monomials of  $A_{2,n}$  coincides with the basis of cluster monomials (see Theorem 4.22).

**Proof.** For every standard monomial  $\bar{\mathbf{p}}^m$  with  $m \in \mathbb{Z}_{\geq 0}^{\binom{n}{2}}$  we draw all arcs ij in the *n*-gon, for which  $m_{ij} \neq 0$ . By definition there is no pair of crossing arcs. Hence, the set of arcs can be completed to a triangulation and  $\bar{\mathbf{p}}^m$  is a cluster monomial for the corresponding seed. On the other hand, every cluster monomial is contained in  $\mathbb{B}_{<}$  which completes the proof.

**Proposition 4.44.** The monomial ideal  $\mathcal{M}_{2,n}$  is the unique common monomial degeneration of the toric ideals  $\operatorname{in}_T(I_{2,n})$  associated to triangulations T constructed in Proposition 4.36.

**Proof.** For every weight vector  $\mathbf{w}_T$  associated with a triangulation T and a quadrilateral linear map  $w_T$  we have  $\mathrm{in}_u(\mathrm{in}_{\mathbf{w}_T}(I_{2,n})) = \mathrm{in}_{\mathbf{w}_T}(\mathrm{in}_u(I_{2,n}))$  for u as in Definition 4.40. Given i < j < k < l, we choose a triangulation T containing the arcs  $\overline{ij}$ ,  $\overline{jk}$ ,  $\overline{kl}$ ,  $\overline{li}$  and  $\overline{ik}$ . Then  $\mathrm{in}_{\mathbf{w}_T}(R_{ijkl}) = p_{ij}p_{kl} - p_{ik}p_{jl}$  by Proposition 4.34 and Corollary 4.32. Now consider T' obtained from T by flipping the arc  $\overline{ik}$ , so T' contains  $\overline{jl}$ . Then  $\mathrm{in}_{\mathbf{w}_T'}(R_{ijkl}) = -p_{ik}p_{jl} + p_{il}p_{jk}$ . So  $-p_{ik}p_{jl}$  is the only monomial that simultaneously is an initial of  $\mathrm{in}_{\mathbf{w}_T}(R_{ijkl})$  and  $\mathrm{in}_{\mathbf{w}_{T'}}(R_{ijkl})$  for all i < j < k < l.

As mentioned in Remark 4.37 there are various ways to associate a toric degeneration to a triangulation or more generally a seed. The most general construction is the principal coefficient construction introduced by Gross-Hacking-Keel-Kontsevich in [28, Section 8]. We can now relate their construction to the Gröbner toric degenerations for  $Gr(2, \mathbb{C}^n)$  in Proposition 4.36.

**Corollary 4.45.** The quotient  $S/\inf_{T(I_{2,n})}$  is isomorphic to the algebra of the semigroup generated by  $\{\mathbf{g}_{ij}: 1 \leq i < j \leq n\} \subset \mathbb{Z}^{2n-3}$ . In particular, the central fiber of the toric Gröbner degeneration induced by T is isomorphic to that of the degeneration induced by principal coefficients at T.

**Proof.** The quotient  $S/\ln_T(I_{2,n})$  has a vector space basis consisting of standard monomials with respect to C. By Proposition 4.43 this basis is in bijection with cluster monomials which are further in bijection with their **g**-vectors. In particular,  $S/\ln_T(I_{2,n})$  is a direct sum of cluster monomials with multiplication induced by the addition of their **g**-vectors by Proposition 4.34. Hence, it is isomorphic to the algebra of the semigroup generated by  $\{\mathbf{g}_{ij}: 1 \leq i < j \leq n\} \subset \mathbb{Z}^{2n-3}$ .

Moreover, by [28, Theorem 8.30] the central fiber of the toric degeneration induced by adding principal coefficients to the seed corresponding to T is defined by the algebra of the semigroup generated by  $\{\mathbf{g}_{ij}: 1 \leq i < j \leq n\} \subset \mathbb{Z}^{2n-3}$ .

**Theorem 4.46.** In  $\mathbb{R}^{\binom{n}{2}}$  we have  $C \cap \operatorname{Trop}(I_{2,n}) = \operatorname{Trop}^+(I_{2,n})$ . In particular, every (d+1)-dimensional face of C corresponds to a planar trivalent tree and  $\operatorname{Trop}^+(I_{2,n})$  is the closed subfan whose support is the set of (d+1)-dimensional faces of the cone C.

**Proof.** Every planar trivalent tree with *n* leaves arises as the dual graph of a triangulation *T* of the *n*-gon. By Proposition 4.36, every weight vector  $\mathbf{w}_T$  gives a toric initial ideal. Hence,  $\mathbf{w}_T$  lies in the relative interior of a maximal (i.e., (d + 1)-dimensional) cone  $\sigma_T$  in Trop<sup>+</sup>( $I_{2,n}$ ). Furthermore,  $\sigma_T$  is a face of *C* by Proposition 4.44. The opposite direction follows from the following claim.

Claim: Let  $\Upsilon$  be a trivalent tree with n leaves. If  $in_{\Upsilon}(R_{ijkl})$  contains the monomial  $p_{ik}p_{jl}$  for all i < j < k < l then  $\Upsilon$  is planar.

Let  $\Upsilon$  be a non-planar trivalent tree. Without loss of generality we assume that  $1 \leq i < j < k < l \leq n$  are labels of  $\Upsilon$  appearing either in clockwise order: i, k, j, l or in anticlockwise order: i, k, j, l. We prove the claim for the clockwise case, the anticlockwise case is analogous. We consider the full subtree with leaves i, j, k, l (similar to the picture in Figure 5, but ignoring the doubled leaves and exchanging k and j). Then  $in_{\Upsilon}(R_{ijkl}) = p_{ij}p_{kl} + p_{il}p_{jk}$ , a contradiction.

**Corollary 4.47.** The cone C is defined by the lineality space  $\mathcal{L}_{2,n}$  and additional  $\binom{n}{2} - n$  rays  $r_{ij} \in \mathbb{R}^{\binom{n}{2}}$  with  $2 \leq i+1 < j \leq n$  defined by

$$(r_{ij})_{kl} = \begin{cases} -1 & \text{if } k \in [i+1,j] \not\ni l & \text{or } k \notin [i+1,j] \ni l, \\ 0 & \text{otherwise.} \end{cases}$$

Moreover,  $\overline{C}$  is a rational simplicial cone whose faces correspond to collections of arcs in the *n*-gon.

**Proof.** As we have identified a fan consisting of all (d + 1)-dimensional (closed) faces of C, all the rays of C (i.e., (n + 1)-dimensional faces, where  $n = \dim \mathcal{L}_{2,n}$ ) are also rays of  $\operatorname{Trop}^+(I_{2,n})$ . By the combinatorial description of  $\operatorname{Trop}(I_{2,n})$  from [49], we know that the rays correspond to trees with only one interior edge (corresponding to a partition of [n] into two sets). The rays of the cones corresponding to planar trivalent trees are therefore in correspondence with partitions of [n] into two cyclic intervals. To a (non-trivalent) planar tree we associate a weight vector as in (4.1).

Let **r** denote the matrix whose rows are  $\frac{1}{2}r_{ij}$  for all  $2 \leq i + 1 < j \leq n$  and recall the lifting of elements from Definition 3.2 (and Remark 3.12). In the following we denote  $\tilde{f}_{\mathbf{r}}$  by  $\tilde{f}$  for all  $f \in S$ .

**Proposition 4.48.** For all i < j < k < l we have that  $\tilde{R}_{ijkl} = R_{ijkl}(\mathbf{t})$ , where

$$R_{ijkl}(\mathbf{t}) := -p_{ik}p_{jl} + p_{il}p_{jk} \prod_{a \in [i,j-1], b \in [k,l-1]} t_{ab} + p_{ij}p_{kl} \prod_{a \in [j,k-1], b \in [l,i-1]} t_{ab}.$$

**Proof.** We show that every variable  $t_{ab}$  occurs with the same exponent in  $\tilde{R}_{ijkl}$  and  $R_{ijkl}(\mathbf{t})$ . For simplicity, we adopt the notation  $R_{ijkl} = -\mathbf{p}^{e_{ik}+e_{jl}} + \mathbf{p}^{e_{il}+e_{jk}} + \mathbf{p}^{e_{ij}+e_{kl}}$ . To compute the exponents of a variable  $t_{ab}$  in  $\tilde{R}_{ijkl}$  we have to distinguish several cases. We give the proof for only two of them as all others are similar.

Case 1. Assume that  $i \in [a+1,b] \not\supseteq j,k,l$ . Note that due to symmetries this is equivalent to assuming  $i \not\in [a+1,b] \supseteq j,k,l$ . Then  $\frac{1}{2}r_{ab} \cdot (e_{ik}+e_{jl}) = \frac{1}{2}r_{ab} \cdot (e_{il}+e_{jk}) = \frac{1}{2}r_{ab} \cdot (e_{ij}+e_{kl}) = -\frac{1}{2}$ .

Hence, in  $R_{ijkl}$  the variable  $t_{ab}$  does not appear. One can see that  $t_{ab}$  also does not appear in  $R_{ijkl}(\mathbf{t})$ , since neither  $b \in [l, i-1]$  nor  $b \in [k, l-1]$ .

Case 2. Assume that  $i, j \in [a + 1, b] \not\supseteq k, l$ . Note that this is equivalent to assuming  $i, j \not\in [a + 1, b] \supseteq k, l$ . Then  $\frac{1}{2}r_{ab} \cdot (e_{ik} + e_{jl}) = \frac{1}{2}r_{ab} \cdot (e_{il} + e_{jk}) = -1$  and  $\frac{1}{2}r_{ab} \cdot (e_{ij} + e_{kl}) = 0$ . Hence, in  $\tilde{R}_{ijkl}$  the variable  $t_{ab}$  does only appear with exponent 1 in the coefficients of the monomial  $p_{ij}p_{kl}$ . As we assumed  $i, j \in [a + 1, b] \not\supseteq k, l$  it follows that  $a \in [l, i - 1]$  and  $b \in [j, k - 1]$ . So in  $R_{ijkl}(\mathbf{t})$  the variable  $t_{ab}$  appears also with exponent 1 in the coefficient of the monomial  $p_{ij}p_{kl}$ .

**Corollary 4.49.** The algebra  $\tilde{A}_{2,n} = S[t_{ij}: 2 \le i+1 < j \le n]/\tilde{I}_{2,n}$  is a free  $\mathbb{C}[t_{ij}: 2 \le i+1 < j \le n]$ -module with basis given by the cluster monomials. Moreover, fibers of the flat family  $\pi: \operatorname{Proj}(\tilde{A}_{2,n}) \to \mathbb{A}^{\frac{n(n-3)}{2}}$  are in correspondence with collections of arcs in the n-gon.

**Proof.** The first part is a direct corollary of Proposition 4.48, Theorem 3.14(i) and Proposition 4.43. The second part follows from Theorem 3.14(i) and Corollary 4.47.

We are now prepared to state and prove our main result for  $Gr(2, \mathbb{C}^n)$ .

**Theorem 4.50.** The Plücker algebra with universal coefficients  $A_{2,n}^{\text{univ}}$  is canonically isomorphic to the quotient  $\tilde{A}_{2,n} = S[t_{ij}: 2 \le i+1 < j \le n]/\tilde{I}_{2,n}$ .

**Proof.** Recall that  $A_{2,n}^{\text{univ}}$  has the same set of (frozen and mutable) cluster variables as  $A_{2,n}$ , namely  $\{\bar{p}_{ij}: 1 \leq i < j \leq n\}$ , and additionally coefficients  $\{y_{ij}: 2 \leq i+1 < j \leq n\}$ . We define

$$\begin{split} \Psi \colon & S[t_{ij} \colon 2 \leq i+1 < j \leq n] \to A_{2,n}^{\text{univ}}, \\ & p_{ij} \mapsto \bar{p}_{ij} \quad \text{ for } \quad 1 \leq i < j \leq n, \\ & t_{ij} \mapsto y_{ij} \quad \text{ for } \quad 2 \leq i+1 < j \leq n. \end{split}$$

This morphism of  $\mathbb{C}$ -algebras induces the desired isomorphism between  $A_{2,n}^{\text{univ}}$  and  $\tilde{A}_{2,n}$ . By Proposition 3.9 the lifts of Plücker relations  $\tilde{R}_{ijkl}$  generate the lifted ideal  $\tilde{I}_{2,n}$ . We proceed by showing that  $\Psi(\tilde{R}_{ijkl})$  is the corresponding exchange relations in  $A_{2,n}^{\text{univ}}$ . Since the mutable parts of  $B_{(Q_T,F_T)}^{\text{univ}}$  and  $B_{(Q_T,F_T)}$  coincide for every triangulation T there is a natural bijection between cluster monomials of  $A_{2,n}$  and  $A_{2,n}^{\text{univ}}$ . It is the only bijection that sends the initial cluster variables of  $B_{(Q_T,F_T)}$  to those of  $B_{(Q_T,F_T)}^{\text{univ}}$  and commutes with mutation. Further, it induces a bijection between the exchange relations associated to  $B_{(Q_T,F_T)}$  and  $B_{(Q_T,F_T)}^{\text{univ}}$ , which yields bijections between the sets:

$$\begin{cases} \ddot{R}_{ijkl} \in I_{2,n} \text{ with} \\ i,j,k,l \in [n], \\ i < j < k < l \end{cases} \longleftrightarrow \begin{cases} \text{quadrilaterals with vertices} \\ i < j < k < l \text{ in the } n\text{-gon} \end{cases} \longleftrightarrow \begin{cases} \text{exchange relations} \\ \text{of } A_{2,n}^{\text{univ}} \end{cases} .$$

Consider a quadrilateral with vertices i < j < k < l in the *n*-gon and fix a triangulation T in which this quadrilateral occurs. So without loss of generality we have  $ij, ik, il, jk, kl \in T$  (see, e.g., left side of Figure 6). The exchange relation of  $A_{2,n}^{\text{univ}}$  associated with the quadrilateral i < j < k < l is of form:

$$E_{ijkl}^{\text{univ}} := -\bar{p}_{ik}\bar{p}_{jl} + \bar{p}_{ij}\bar{p}_{kl} \prod_{(\mathbf{g}_{ab}^{\vee})_{ik} = -1} y_{ab} + \bar{p}_{il}\bar{p}_{jk} \prod_{(\mathbf{g}_{ab}^{\vee})_{ik} = +1} y_{ab},$$

where  $\mathbf{g}_{ab}^{\vee}$  is the  $ab^{\text{th}}$  row of  $U_{Q_T^{\text{mut}}}$  and  $(\mathbf{g}_{ab}^{\vee})_{ik}$  is its entry in the column of  $B_{(Q_T,F_T)}^{\text{univ}}$  corresponding to the mutable variable  $\bar{p}_{ik}$ . Hence, we need to compute those rows of  $U_{Q_T^{\text{mut}}}$  with non-zero entries



**Figure 6.** A quadrilateral i < j < k < l in a triangulation T and the reflected triangulation  $T^{\vee}$  from which truncated **g**-vectors with respect to  $(Q_T^{\text{mut}})^{\text{op}}$  (i.e., columns of  $U_{Q_T^{\text{mut}}})$  can be read off.

in the  $ik^{\text{th}}$  column. To do so, we embed T into  $\mathbb{R}^2$  and consider a hyperplane  $H \subset \mathbb{R}^2$  which does not intersect T. Let  $T^{\vee}$  be the image of T under the reflection  $s_H$  with respect to H and denote  $m' := s_H(m)$  for all  $m \in [n]$ . Naturally, we have  $Q_{T^{\vee}} = (Q_T)^{\text{op}}$ , so  $Q_{T^{\vee}}^{\text{mut}} = (Q_T^{\text{mut}})^{\text{op}}$ . Using the right side of Figure 6 we compute the  $ik^{\text{th}}$  entry of truncated **g**-vectors with respect to  $Q_{T^{\vee}}^{\text{mut}}$ :

$$(\mathbf{g}_{ab}^{\vee})_{ik} = \begin{cases} +1 & \text{if } a \in [i, j-1] \text{ and } b \in [k, l-1] \text{ (or vice versa),} \\ -1 & \text{if } a \in [j, k-1] \text{ and } b \in [l, i-1] \text{ (or vice versa),} \\ 0 & \text{otherwise.} \end{cases}$$

$$(4.5)$$

We compute:

$$\Psi(\tilde{R}_{ijkl}) = -\bar{p}_{ik}\bar{p}_{jl} + \bar{p}_{il}\bar{p}_{jk} \prod_{a \in [i,j-1], b \in [k,l-1]} y_{ab} + \bar{p}_{ij}\bar{p}_{kl} \prod_{a \in [j,k-1], b \in [l,i-1]} y_{ab} \stackrel{(4.5)}{=} E_{ijkl}^{\text{univ}}.$$

In particular,  $\Psi$  induces a surjective map  $\overline{\Psi}: \tilde{A}_{2,n} \to A_{2,n}^{\text{univ}}$ . By Corollary 4.49,  $\tilde{A}_{2,n}$  is a free  $\mathbb{C}[t_{ij}]$ -module whose basis are the cluster monomials. Similarly, after identifying  $t_{ij}, 2 \leq i+1 < j \leq n$ , with  $\Psi(t_{ij}) = y_{ij}, A_{2,n}^{\text{univ}}$  is a free  $\mathbb{C}[t_{ij}]$ -module with basis given by the cluster monomials by [28, Theorem 0.3 and p. 502]. Hence,  $\overline{\Psi}$  is also injective and the claim follows.

As  $A_{2,n}^{\text{univ}}$  is by definition a domain the following is now a direct consequence.

Corollary 4.51. The ideal  $\tilde{I}_{2,n} \subset S[\mathbf{t}]$  is prime.

**Example 4.52.** We list the lifted Plücker relations, respectively the exchange relations of  $A_{2,5}^{\text{univ}}$ , associated to our running example. These relations also constitute a Gröbner basis for  $\tilde{I}_{2,5}$ , the crossing monomial of each relation is the first one. As Lemma 3.8 predicts, this is the only term with coefficient in  $\mathbb{C}$ . Plücker variables of frozen cluster variables are marked in blue:

# 4.4 The Grassmannian $Gr(3, \mathbb{C}^6)$

We now turn to the case of Gr  $(3, \mathbb{C}^6)$  and prove an analogue of Theorem 4.50. To highlight various important differences between this case and the case of Gr $(2, \mathbb{C}^n)$ , we focus more on explicit computations. We believe that the explicit computations help to understand the difficulties that may arise when studying other compactifications of finite type cluster varieties such as  $Gr(3, \mathbb{C}^7)$ ,  $Gr(3, \mathbb{C}^8)$  or (skew-)Schubert varieties inside Grassmannians as in [48].

Denote by  $A_{3,6}$  the homogeneous coordinate ring of the Grassmannian Gr  $(3, \mathbb{C}^6)$  with respect to its Plücker embedding. We use the cluster structure on  $A_{3,6}$  to consider Gr  $(3, \mathbb{C}^6)$  as a weighted projective variety as follows. The cluster algebra  $A_{3,6}$  has 22 cluster variables, out of which 20 are the Plücker coordinates and the 2 additional elements are  $\overline{X} = \overline{p}_{145}\overline{p}_{236} - \overline{p}_{123}\overline{p}_{456}$  and  $\overline{Y} = \overline{p}_{125}\overline{p}_{346} - \overline{p}_{126}\overline{p}_{345}$ , see [47, Theorem 6]. We systematically write the frozen Plücker coordinates in blue. We list the 22 cluster variables of  $A_{3,6}$  in the following order and fix this order for later use

$$\bar{p}_{123}, \ \bar{p}_{124}, \ \bar{p}_{125}, \ \bar{p}_{126}, \ \bar{p}_{134}, \ \bar{p}_{135}, \ \bar{p}_{136}, \ \bar{p}_{145}, \ \bar{p}_{146}, \ \bar{p}_{156}, \ \bar{p}_{234}, \ \bar{p}_{235}, \ \bar{p}_{236}, \ \bar{p}_{245},$$

$$\bar{p}_{246}, \ \bar{p}_{256}, \ \bar{p}_{345}, \ \bar{p}_{346}, \ \bar{p}_{356}, \ \bar{p}_{456}, \ \bar{X}, \ \bar{Y}.$$

$$(4.6)$$

Every seed s of  $A_{3,6}$  consists of the six frozen variables  $\bar{p}_{123}$ ,  $\bar{p}_{234}$ ,  $\bar{p}_{345}$ ,  $\bar{p}_{456}$ ,  $\bar{p}_{156}$ ,  $\bar{p}_{126}$  and four additional mutable cluster variables. Consider the following bijection that sends the Plücker variable  $p_{ijk}$  to the Plücker coordinate  $\bar{p}_{ijk} \in A_{3,6}$  and X to  $\bar{X} \in A_{3,6}$  as well as Y to  $\bar{Y} \in A_{3,6}$ :

$$\{p_{123}, \dots, p_{456}, X, Y\} \longleftrightarrow \{\text{cluster variables of } A_{3,6}\}.$$

$$(4.7)$$

Denote by  $\mathbf{d} \in \mathbb{Z}^{22}$  the vector  $(1, \ldots, 1, 2, 2)$ . That is, the first 20 entries of  $\mathbf{d}$  are 1 and the last two are 2. The bijection (4.7) induces a surjective map

$$\psi: \mathbb{C}_{\mathbf{d}}[p_{123}, \dots, p_{456}, X, Y] \twoheadrightarrow A_{3,6}.$$
 (4.8)

Let  $I^{\text{ex}} := \text{ker}(\psi)$ , so we obtain a ring isomorphism  $A := \mathbb{C}_{\mathbf{d}}[p_{123}, \ldots, p_{456}, X, Y]/I^{\text{ex}} \cong A_{3,6}$ . Moreover, the weighted projective variety  $V(I^{\text{ex}}) \subset \mathbb{P}(\mathbf{d})$  is isomorphic to  $\text{Gr}(3, \mathbb{C}^6)$  as a weighted projective variety. Indeed,  $\mathbf{d}$  was chosen so that every exchange relation in the cluster structure of  $A_{3,6}$  is identified with a  $\mathbf{d}$ -homogeneous element of  $\mathbb{C}_{\mathbf{d}}[p_{123}, \ldots, p_{456}, X, Y]$  and  $I^{\text{ex}}$  is prime (hence, radical) since  $A_{3,6}$  is a domain. Therefore,  $I(V(I^{\text{ex}})) = I^{\text{ex}}$  and  $\psi$  induces an isomorphism of  $\mathbb{Z}$ -graded rings  $S(V(I^{\text{ex}})) \to A_{3,6}$ . One can verify (e.g., using Macaulay2 [27]) that the ideal  $I^{\text{ex}}$  is generated by all three-term Plücker relations and the following seven additional relations:

$$p_{145}p_{236} - p_{123}p_{456} - X, \qquad p_{136}p_{245} - p_{126}p_{345} - X, \qquad p_{146}p_{235} - p_{156}p_{234} - X,$$

$$p_{124}p_{356} - p_{123}p_{456} - Y, \qquad p_{125}p_{346} - p_{126}p_{345} - Y, \qquad p_{134}p_{256} - p_{156}p_{234} - Y,$$

$$p_{135}p_{246} - p_{134}p_{256} - p_{126}p_{345} - p_{145}p_{236}. \qquad (4.9)$$

Note that with exception of the last relation all of them correspond to exchange relations in  $A_{3,6}$ . We study the Gröbner fan of  $I^{\text{ex}}$ . It contains the lineality space  $\mathcal{L}(I^{\text{ex}})$  generated by

The order of the entries corresponds to the order on cluster variables of  $A_{3,6}$  in (4.6). Note that  $\mathbf{d} = \frac{1}{3}(\ell_1 + \cdots + \ell_6)$ . In the Gröbner fan  $\mathrm{GF}(I^{\mathrm{ex}})$  we identify a maximal cone C and consider its image  $\overline{C} \subset \mathrm{GF}(I^{\mathrm{ex}})/\mathcal{L}(I^{\mathrm{ex}})$ . The cone  $\overline{C}$  is strongly convex (by Lemma 2.13) and simplicial. We choose the following representatives of the 16 minimal ray generators of  $\overline{C}$ :

 $r_2 = (1, 1, 2, 1, 1, 2, 1, 2, 1, 2, 1, 1, 0, 1, 0, 1, 1, 0, 1, 1, 2, 2),$  $r_3 = (0, 0, 1, 1, 0, 0, 0, 0, 0, 1, 0, 0, 0, 0, 0, 1, 0, 0, 0, 0, 0, 1),$  $r_4 = (1, 0, 1, 2, 0, 1, 2, 0, 1, 2, 1, 2, 2, 1, 1, 2, 1, 1, 2, 1, 3, 2),$  $r_5 = (1, 1, 1, 1, 1, 1, 1, 2, 1, 1, 1, 1, 0, 1, 0, 0, 1, 0, 0, 1, 2, 1),$  $r_6 = (1, 1, 1, 0, 1, 1, 0, 2, 1, 1, 1, 1, 0, 1, 0, 0, 1, 0, 0, 1, 2, 1),$  $r_7 = (0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0),$  $r_8 = (1, 2, 1, 1, 1, 0, 0, 1, 1, 0, 1, 0, 0, 1, 1, 0, 1, 1, 0, 1, 1, 2),$  $r_9 = (2, 3, 2, 1, 3, 2, 1, 3, 2, 1, 2, 1, 0, 2, 1, 0, 2, 1, 0, 2, 3, 3),$  $r_{10} = (2, 3, 2, 1, 3, 2, 1, 3, 2, 2, 2, 1, 0, 2, 1, 1, 2, 1, 1, 2, 3, 4),$  $r_{11} = (2, 3, 3, 2, 3, 2, 1, 3, 2, 2, 2, 1, 0, 2, 1, 1, 2, 1, 1, 2, 3, 4),$  $r_{12} = (1, 1, 1, 2, 0, 0, 1, 0, 1, 1, 1, 1, 2, 1, 2, 2, 1, 1, 1, 1, 2, 2),$  $r_{13} = (1, 1, 0, 1, 1, 0, 1, 1, 1, 0, 1, 0, 1, 1, 1, 0, 1, 1, 0, 1, 2, 1),$  $r_{14} = (1, 0, 0, 1, 0, 0, 1, 0, 1, 1, 1, 1, 2, 1, 1, 1, 1, 1, 1, 1, 2, 1),$  $r_{15} = (1, 1, 0, 0, 1, 0, 0, 1, 1, 0, 1, 0, 0, 1, 1, 0, 1, 1, 0, 1, 1, 1),$  $r_{16} = (1, 2, 1, 1, 1, 0, 0, 1, 1, 1, 1, 0, 0, 1, 1, 1, 1, 1, 0, 1, 1, 2).$ (4.10)

From now on, we denote by **r** the  $(16 \times 22)$ -matrix with rows  $r_1, \ldots, r_{16}$ . Recall that a monomial is called **squarefree** if the exponent of each variable in it is either 0 or 1.

**Theorem 4.53.** There exists a unique maximal cone  $C \in GF(I^{ex})$  with the following properties:

- (i) the associated initial ideal  $in_C(I^{ex})$  is generated by squarefree monomials of degree 2 and it contains all exchange monomials;
- (ii) the free  $\mathbb{C}[t_1, \ldots, t_{16}]$ -algebra associated to C and **r** defined in Definition 3.2 has the property

$$\tilde{A}_{\mathbf{r}} := \mathbb{C}[t_1, \dots, t_{16}][p_{123}, \dots, p_{456}, X, Y] / \tilde{I}_{\mathbf{r}}^{\mathrm{ex}} \cong A_{3,6}^{\mathrm{univ}};$$

(iii) for every seed s in the cluster structure of  $A_{3,6}$  the cone C has a 10-dimensional face  $\tau_s$ whose associated initial ideal  $in_{\tau_s}(I^{ex})$  is a totally positive binomial prime ideal (hence  $\tau_s \in \text{Trop}^+(I^{ex})$ ). Moreover,  $C \cap \text{Trop}(I^{ex}) = \text{Trop}^+(I^{ex})$ .

Before we prove Theorem 4.53 we explain the conventions we use to describe the exchange relations of  $A_{3,6}^{\text{univ}}$ . The algebra  $A_{3,6}^{\text{univ}}$  is of cluster type  $D_4$ . In particular, as explained in Section 4.1, we label the coefficients of  $A_{3,6}^{\text{univ}}$  with the set of almost positive coroots  $\Phi_{\geq-1}^{\vee}$  in the root system dual to a root system of type  $D_4$ . For this we fix an initial seed for  $A_{3,6}^{\text{univ}}$  such that the mutable part of its quiver is a bipartite orientation of  $D_4$ . We choose the seed that contains the mutable variables  $p_{246}$ ,  $p_{346}$ ,  $p_{124}$  and  $p_{256}$  together with the frozen variables. The part of the quiver that involves only the vertices corresponding to these variables is the following:



The coefficients are labeled by  $\Phi_{\geq -1}^{\vee}$  and can be realized as frozen vertices of the quiver. In order to compute the arrows between the coefficient vertices and the vertices corresponding to cluster variables we identify the mutable vertices of this quiver with  $\{1, 2, 3, 4\}$ : let  $p_{246}$  correspond to 1,  $p_{346}$  to 2,  $p_{124}$  to 3 and  $p_{256}$  to 4. Now Proposition 4.16 contains all the information necessary to compute the arrows. As the resulting quiver is rather complicated, we refrain from visualizing it here. It is available for download on the homepage [4] in a format that can directly be opened in quiver mutation app [35]. Finally, we use the quiver mutation app to compute all exchange relations. They can be found explicitly in the Appendix A.

One more ingredient we need for the proof of Theorem 4.53 is the basis of cluster monomials for  $A_{3,6}^{\text{univ}}$ . By [28, Theorem 0.3 and p. 502] cluster monomials form a  $\mathbb{C}[y_{\alpha}: \alpha \in \Phi_{\geq -1}^{\vee}]$ basis for  $A_{3,6}^{\text{univ}}$ . If x and x' are cluster variables that do not occur together in any seed, then any monomial divisible by xx' cannot be a cluster monomial. In fact, using [36, Theorem 7.12(b)] this gives us the following characterization of cluster monomials. Write  $\bar{\mathbf{x}}^a \in A_{3,6}^{\text{univ}}$ ,  $a \in \mathbb{Z}_{\geq 0}^{22}$ , to denote a monomial in the (mutable and frozen) cluster variables  $\bar{p}_{123}, \ldots, \bar{p}_{456}, \bar{X}, \bar{Y}$ . Then

$$\bar{\mathbf{x}}^a \in A_{3,6}^{\text{univ}}$$
 is a cluster monomial if and only if  $m \not\mid \bar{\mathbf{x}}^a$  for all  $m \in M_{3,6}$ , (4.11)

where  $M_{3,6} = \{ \text{exchange monomials} \} \cup \{ \bar{X}\bar{Y}, \bar{p}_{135}\bar{p}_{246} \}$ . We write  $\mathbb{M}_{3,6}$  to denote the  $\mathbb{C}[y_{\alpha} : \alpha \in \Phi^{\vee}_{>-1}]$ -basis of cluster monomials for  $A_{3,6}^{\text{univ}}$ .

**Proof of Theorem 4.53.** We prove the statements of the theorem in order.

(i) In Macaulay2 [27] we compute the initial ideal of  $I^{\text{ex}}$  with respect to the cone C. For the computation, we fix the weight vector  $w = r_1 + \cdots + r_{16}$  in the relative interior of C:

w = (16, 19, 16, 16, 16, 11, 10, 19, 16, 16, 16, 10, 7, 16, 11, 10, 16, 10, 7, 16, 27, 27).

We compute a minimal generating set of  $in_C(I^{ex})$ : it has 54 elements, 52 of those are exchange monomials, and the other two are  $p_{135}p_{246}$  and XY. This implies the first claim of the Theorem.

(*ii*) We prove this part in three steps: first, we compute the generators of the ideal  $\tilde{I}_{\mathbf{r}}^{\text{ex}}$ , then we define a surjective map  $\tilde{A}_{\mathbf{r}} \to A_{3,6}^{\text{univ}}$ , and lastly, we show that the map is also injective.

Step 1: By Proposition 3.9 the lifted ideal  $\tilde{I}_{\mathbf{r}}^{\text{ex}}$  is generated by the lifts of elements of a Gröbner basis for  $I^{\text{ex}}$  and C. As a Gröbner basis we choose the exchange relations (whose initial forms are the exchange monomials), the four-term relation in (4.9) (whose initial form is  $p_{135}p_{246}$ ) and the following element (whose initial form is XY):

$$S(p_{134}p_{256} - p_{156}p_{234} - Y, p_{134}X - p_{136}p_{145}p_{234} - p_{123}p_{146}p_{345}),$$

which is computed explicitly in Example 4.55 below. By the proof of (i) above, the set of exchange relations together with the four-term relation (4.9) and the above S-pair form a (minimal) Gröbner basis for  $I^{\text{ex}}$  with respect to C. The reduced Gröbner basis  $\mathcal{G}_C(I^{\text{ex}})$  consists of the 52 exchange relations and the additional two elements

$$f := p_{135}p_{246} - p_{156}p_{234} - Y - p_{123}p_{456} - X - p_{126}p_{345},$$
  

$$g := XY - p_{123}(p_{156}p_{246}p_{345} + p_{156}p_{234}p_{456} + p_{126}p_{345}p_{456}) - p_{126}(p_{135}p_{234}p_{456} + p_{156}p_{234}p_{345}).$$
(4.12)

The first monomial in f (and g) is its leading monomial. We now compute the lifts of the elements in  $\mathcal{G}_C(I^{\text{ex}})$  with respect to the matrix  $\mathbf{r}$  in Definition 3.2, which are given explicitly in Appendix A.

Step 2:  $A_{3,6}^{\text{univ}}$  has 22 cluster variables (in one-to-one correspondence with those of  $A_{3,6}$ ) and 16 coefficients labeled by almost positive roots of type  $D_4$ :  $y_{\alpha}$  with  $\alpha \in \Phi_{\geq -1}^{\vee}$ . We extend the morphism  $\psi$  in (4.8) to  $\Psi : \mathbb{C}[t_1, \ldots, t_{16}][p_{123}, \ldots, p_{456}, x, y] \to A_{3,6}^{\text{univ}}$  by sending  $t_i$ 's to  $y_{\alpha}$ 's according to the following identification:

$$\begin{aligned} y_{-\alpha_1} \leftrightarrow t_{16}, & y_{\alpha_1} \leftrightarrow t_{14}, & y_{\alpha_1+\alpha_2} \leftrightarrow t_6, & y_{\alpha_1+\alpha_2+\alpha_4} \leftrightarrow t_9, \\ y_{-\alpha_2} \leftrightarrow t_{12}, & y_{\alpha_2} \leftrightarrow t_{10}, & y_{\alpha_1+\alpha_3} \leftrightarrow t_4, & y_{\alpha_1+\alpha_2+\alpha_3} \leftrightarrow t_2, \\ y_{-\alpha_3} \leftrightarrow t_{15}, & y_{\alpha_3} \leftrightarrow t_3, & y_{\alpha_1+\alpha_4} \leftrightarrow t_{13}, & y_{2\alpha_1+\alpha_2+\alpha_3+\alpha_4} \leftrightarrow t_5, \\ y_{-\alpha_4} \leftrightarrow t_7, & y_{\alpha_4} \leftrightarrow t_8, & y_{\alpha_1+\alpha_3+\alpha_4} \leftrightarrow t_1, & y_{\alpha_1+\alpha_2+\alpha_3+\alpha_4} \leftrightarrow t_{11}. \end{aligned}$$

$$(4.13)$$

We now verify that  $\tilde{I}_{\mathbf{r}}^{\text{ex}} \subseteq \ker(\Psi)$ : the lifts of those elements in  $I^{\text{ex}}$  that correspond to exchange relations in  $A_{3,6}$  are sent to exchange relations in  $A_{3,6}^{\text{univ}}$  by  $\Psi$ , so they lie in  $\ker(\Psi)$ . For the elements  $f, g \in \mathcal{G}_C(I^{\text{ex}})$  in (4.12) note that, for example,  $p_{245}f$  has an expression in terms of exchange relations with coefficients that are Plücker variables (see (A.1) for the precise expression). Hence,

$$p_{245}\mathbf{t}^{\mu(f)}\tilde{f} = p_{245}t_2^2t_4^2t_5t_6t_8t_9^3t_{10}^3t_{11}^3t_{12}^2t_{13}t_{14}t_{15}t_{16}\tilde{f}$$

has an expression in terms of the lifts of those exchange relations with monomial coefficients in t's and Plücker variables. So,  $0 = \Psi(\tilde{f}p_{245}\mathbf{t}^{\mu(f)}) = \Psi(\tilde{f})\bar{p}_{245}\mathbf{y}^{\mu(f)}$ , where  $\mathbf{y}^{\mu(f)} = \Psi(\mathbf{t}^{\mu(f)})$ . As  $A_{3,6}^{\text{univ}}$  is a domain, this implies  $\tilde{f} \in \text{ker}(\Psi)$ . A similar argument implies that  $\tilde{g} \in \text{ker}(\Psi)$ (see (A.2)) and so we obtain the induced morphism  $\bar{\Psi}: \tilde{A}_{\mathbf{r}} \to A_{3,6}^{\text{univ}}$ . Note that the image of  $\bar{\Psi}$ contains all cluster variables and all coefficients of  $A_{3,6}^{\text{univ}}$ , so  $\bar{\Psi}$  is in fact surjective.

Step 3: Lastly, we show that  $\overline{\Psi}$  is injective. By Theorem 3.14(*i*) the standard monomial basis  $\mathbb{B}_{<}$ (for < a monomial term order compatible with *C*) is a  $\mathbb{C}[t_1, \ldots, t_{16}]$ -basis for  $\widetilde{A}_{\mathbf{r}}$ . Similarly,  $A_{3,6}^{\text{univ}}$  has the  $\mathbb{C}[y_{\alpha}: \alpha \in \Phi_{\geq -1}^{\vee}]$ -basis of cluster monomials  $\mathbb{M}_{3,6}$ . The test for membership in  $\mathbb{M}_{3,6}$  is given by  $M_{3,6}$  in (4.11), which is in one-to-one correspondence with the set  $\{\text{in}_{<}(g): g \in \mathcal{G}_{<}(I^{\text{ex}})\}$ . Hence, there is a bijection between the standard monomial basis  $\mathbb{B}_{<}$  for  $\widetilde{A}_{\mathbf{r}}$  (see Theorem 3.14(*i*)) and the cluster monomial basis  $\mathbb{M}_{3,6}$  of  $A_{3,6}^{\text{univ}}$  induced by  $\overline{\Psi}$ . In particular,  $\overline{\Psi}$  is injective and  $\widetilde{A}_{\mathbf{r}} \cong A_{3,6}^{\text{univ}}$ .

(*iii*) To prove this part, we identify the rays  $r_1, \ldots, r_{16}$  with mutable cluster variables. As we have already identified  $y_{\alpha}$ 's with  $t_i$ 's in (4.4) (and by definition  $t_i$ 's correspond to  $r_i$ 's) it is enough to identify the  $y_{\alpha}$ 's with the mutable cluster variables of  $A_{3,6}$ . This can be done by considering the exchange relations obtained by repeatedly mutating our bipartite initial seed at a sink. More precisely, we only consider the mutable part of the initial quiver and mutate at all the vertices with only incoming arrows from mutable vertices, which (by slight abuse of language) we refer to as sinks. The order of the individual mutations in this mutation sequence is irrelevant as they pairwise commute. Every exchange relation produced by mutation at a sink corresponding to a cluster variable x has the property that one of the cluster monomials involves exactly one coefficient  $y_{\alpha}$  (see [25, Lemma 12.7]). When iterating the process of mutating at sinks, every mutable cluster variable appears as a sink at some point. Moreover, [25, Lemma 12.8] implies that the assignment  $x \mapsto y_{\alpha}$  defines a bijection between mutable cluster variables and coefficients. Combining with the identification of  $y_{\alpha}$ 's with  $t_i$ 's in (4.4) we obtain:

$$\begin{array}{lll} r_1 \leftrightarrow \bar{p}_{125}, & r_2 \leftrightarrow \bar{p}_{134}, & r_3 \leftrightarrow \bar{p}_{124}, & r_4 \leftrightarrow \bar{p}_{145} \\ r_5 \leftrightarrow \bar{p}_{135}, & r_6 \leftrightarrow \bar{p}_{136}, & r_7 \leftrightarrow \bar{p}_{146}, & r_8 \leftrightarrow \bar{p}_{256}, \\ r_9 \leftrightarrow \bar{p}_{356}, & r_{10} \leftrightarrow \bar{p}_{346}, & r_{11} \leftrightarrow Y, & r_{12} \leftrightarrow \bar{p}_{245}, \\ r_{13} \leftrightarrow \bar{p}_{235}, & r_{14} \leftrightarrow X, & r_{15} \leftrightarrow \bar{p}_{236}, & r_{16} \leftrightarrow \bar{p}_{246}. \end{array}$$

Next, to every seed we associate a weight vector that is the sum of the rays corresponding to its mutable cluster variables. For example, the weight vector associated to  $s = \{\bar{p}_{124}, \bar{p}_{125}, \bar{p}_{245}, \bar{p}_{256}\}$  is  $w_s = r_1 + r_3 + r_8 + r_{12}$ . Using Macaulay2 [27] we verify that  $in_{w_s}(I^{ex})$  is a totally positive binomial prime ideal for every seed listed above. The initial ideals can be found on [4].

To see that  $C \cap \operatorname{Trop}(I^{ex}) = \operatorname{Trop}^+(I^{ex})$  observe that for every element  $h \in \mathcal{G}_C(I^{ex})$  its initial monomial  $\operatorname{in}_C(h)$  is the unique monomial with positive coefficient (the complete list of  $\mathcal{G}_C(I^{ex})$  can be found in Section A). Hence, a weight vector w lies in  $C \cap \operatorname{Trop}(I^{ex})$  if and only if it lies in  $\operatorname{Trop}^+(I^{ex})$ .

**Remark 4.54.** There are various methods in cluster theory to compute the exchange relations for  $A_{3,6}^{\text{univ}}$  and  $M_{3,6}$ , e.g., one can use the categorification of finite type cluster algebras with universal coefficients introduced in [42]. To compute  $M_{3,6}$  one can use the compatibility degree of cluster variables from [24]. In fact, the elements of  $M_{3,6}$  are exactly those pairs of cluster variables whose compatibility degrees are positive. However, we have presented the case of Gr  $(3, \mathbb{C}^6)$  with as few machinery from the cluster theory as possible to make it more digestible to a broader audience.

**Example 4.55.** Here we demonstrate the need of Lemma 2.12 and that in fact the Lemma is not true for arbitrary elements of the ideal J. To see this, let  $J = I^{\text{ex}}$  and take

$$v := \frac{1}{4} \sum_{\substack{i=1\\i \neq 2,8}}^{16} r_i + \frac{5}{4}r_8$$
 and  $w := r_2 + v.$ 

Note that  $w \in C^{\circ}$ , hence the assumptions of Lemma 2.12 hold. One can explicitly compute

$$v = \frac{1}{4}(19, 26, 18, 19, 19, 9, 9, 21, 19, 14, 19, 9, 7, 19, 15, 9, 19, 14, 6, 19, 29, 33),$$
  
$$w = \frac{1}{4}(23, 30, 26, 23, 23, 17, 13, 29, 23, 22, 23, 13, 7, 23, 15, 13, 23, 14, 10, 23, 37, 41).$$

Now take the S-pair of two exchange relations

$$h := S(p_{134}p_{256} - p_{156}p_{234} - Y, p_{134}x - p_{136}p_{145}p_{234} - p_{123}p_{146}p_{345})$$
  
=  $-XY - p_{156}p_{234}x + p_{136}p_{145}p_{234}p_{256} - p_{123}p_{146}p_{256}p_{345} \in I^{\text{ex}}.$ 

The weights of the non-zero monomials in h with respect to v are (in order)  $\frac{31}{2}, \frac{31}{2}, \frac{29}{2}, \frac{33}{2}$  and with respect to w are  $\frac{39}{2}, \frac{41}{2}, \frac{39}{2}, \frac{41}{2}$ . We compute

$$\operatorname{in}_{v}(h) = \operatorname{in}_{r_{2}}(\operatorname{in}_{v}(h)) = p_{136}p_{145}p_{234}p_{256} \neq -XY + p_{136}p_{145}p_{234}p_{256} = \operatorname{in}_{w}(h).$$

In particular, the statement of Lemma 2.12 does not hold for h, hence it is false in general for arbitrary elements of J. More importantly, the initial form of an arbitrary element  $h \in J$  need not be the same with respect to different weight vectors in the relative interior of a maximal Gröbner cone of J. This may occur when h contains more than one monomial in the monomial initial ideal. Here, the monomials XY,  $p_{136}p_{145}p_{234}p_{256}$  and  $p_{123}p_{146}p_{256}p_{345}$  all lie in  $in_C(I^{ex})$ .

#### 4.5 Stanley–Reisner ideals and the cluster complex

**Definition 4.56.** Let (Q, F) be an ice-quiver and  $\mathcal{V}$  the set of mutable cluster variables of  $A_{(Q,F)}$ . We call x and x' in  $\mathcal{V}$  compatible if there exists a cluster containing both of them. Similarly, a subset of  $\mathcal{V}$  is compatible if it consists of pairwise compatible cluster variables. The *cluster* complex  $\Delta(A_{(Q,F)})$  is the simplicial complex on  $\mathcal{V}$  whose simplices are the compatible subsets. **Remark 4.57.** Note that by definition the cluster complex can be realized by the **g**-fan with simplices corresponding to simplicial cones. It was shown in [28] that the **g**-fan is a simplicial fan in complete generality. This occurred several years after the cluster complex had been defined.

**Definition 4.58.** Let  $\Delta$  be a simplicial complex with vertex set  $V = \{x_1, \ldots, x_n\}$ . The *Stanley-Reisner ideal* of  $\Delta$  is generated by monomials associated to the minimal non-faces of  $\Delta$  as:

$$I_{\Delta} := \langle x_{i_1} \cdots x_{i_s} \colon \{ x_{i_1}, \dots, x_{i_s} \} \notin \Delta \rangle \subseteq \mathbb{K}[x_1, \dots, x_n].$$

Reversely, to every squarefree monomial ideal one can associate its *Stanley–Reisner complex*, whose non-faces are defined by the monomials in the ideal.

**Corollary 4.59.** Let A be  $A_{2,n}$  or  $A_{3,6}$  and  $\Delta(A)$  the associated cluster complex. Similarly, let I be the ideal  $I_{2,n}$  or  $I^{\text{ex}}$  and C the maximal cone in GF(I) whose initial ideal contains all the exchange monomials. Then the Stanley–Reisner ideal  $I_{\Delta(A)}$  coincides with the initial ideal  $\operatorname{in}_{C}(I)$ .

**Proof.** The initial ideal  $in_C(I)$  is squarefree and generated by the monomials in the set M, which is respectively,  $M_{2,n}$  or  $M_{3,6}$ . Observe that M defines the minimal non-faces of  $\Delta(A)$ . Hence,  $\Delta(A)$  is the Stanley–Reisner complex of  $in_C(I)$ .

#### 4.6 Newton–Okounkov bodies and mutations

In this section, we explain how our results relate to Escobar–Harada's work on wall-crossing phenomena for Newton–Okounkov bodies in [19]. Given a homogeneous ideal  $J \subseteq \mathbb{K}[x_1, \ldots, x_n]$ assume there exists a maximal cone  $\sigma$  in Trop(J) whose associated ideal is toric. Then [34, Section 4] provides a recipe to construct a full rank valuation  $\mathfrak{v}_{\sigma} \colon A \setminus \{0\} \to \mathbb{Z}^d$ , where  $A = \mathbb{K}[x_1, \ldots, x_n]/J$  and d is the dimension of the affine variety V(J). Furthermore, [34, Corollary 4.7] shows how to compute the corresponding Newton–Okounkov body  $\Delta(\sigma)$ . Without loss of generality we may assume that the first entry of  $\mathfrak{v}_{\sigma}(f)$  equals the degree of f (with respect to the standard grading). Then the Newton–Okounkov body of  $\mathfrak{v}_{\sigma}$  and  $\mathbb{K}[x_1, \ldots, x_n]/J$  is

$$\Delta(\sigma) := \operatorname{Cone}(\mathfrak{v}_{\sigma}(f)) \colon f \in A \setminus \{0\}) \cap \{1\} \times \mathbb{R}^{d-1}.$$

Escobar and Harada study the relation between the Newton–Okounkov bodies of two maximal prime cones intersecting in a facet. They give two piecewise linear maps, called *flip* and *shift* which send one Newton–Okounkov body to another.

Here, we focus on the case of  $Gr(2, \mathbb{C}^n)$ . We fix a triangulation T of the *n*-gon. The output of Algorithm 1 can be used to define a total order on  $\mathbb{Z}^{2n-3}$  as follows.

**Definition 4.60.** Let  $V_0, \ldots, V_i$  be the output of Algorithm 1 for some triangulation T. To each  $V_j$  we associate a sequence  $\overline{V}_j$  with the same elements as  $V_j$ . Let  $\overline{V}$  be the sequence  $(\overline{V}_0, \ldots, \overline{V}_i)$  and  $\prec$  the associated lexicographic order on  $\mathbb{Z}^{2n-3}$ . Call  $\prec$  a total order compatible with T.

Recall from Section 4.3 the standard monomial basis  $\mathbb{B}_{<}$  consisting of non-crossing monomials (i.e., every monomial in  $\mathbb{B}_{<}$  corresponds to a collection of non-crossing (boundary and internal) arcs, where arcs may appear with multiplicity greater than 1). Then the map

$$\mathbf{g}^{\widehat{T}}$$
:  $\mathbb{B}_{<} \to \mathbb{Z}^{2n-3}$  given by  $\mathbf{\bar{p}}^{a} \mapsto \sum_{ij \in T} a_{ij} \mathbf{g}_{ij}^{\widehat{T}}$ . (4.14)

extends to a full rank valuation on  $A_{2,n} \setminus \{0\}$  as follows. Fix a total order  $\prec$  compatible with T. Every  $0 \neq f \in A_{2,n}$  is a unique linear combination of elements in  $\mathbb{B}_{<}$ , that is  $f = \sum_{\bar{\mathbf{p}}^a \in \mathbb{B}_{<}} c_a \bar{\mathbf{p}}^a$ . We define the valuation of f as  $\mathbf{g}^{\widehat{T}}(f) := \min_{\prec} \{\mathbf{g}^{\widehat{T}}(\bar{\mathbf{p}}^a) : c_a \neq 0\}$ . Denote the associated graded algebra by  $\operatorname{gr}_T(A_{2,n})$  and the corresponding Newton–Okounkov body by  $\Delta(T)$ . By [5, Corollary 2] and Proposition 4.34 we have  $\operatorname{gr}_T(A_{2,n}) \cong S/\operatorname{in}_T(I_{2,n})$  and  $\Delta(T) = \operatorname{conv}(\mathbf{g}_{ij}^{\widehat{T}})_{ij}$ .

**Remark 4.61.** The g-vectors and the corresponding valuation make sense in greater generality. For example, the case of arbitrary Grassmannians is treated in [6], where the authors (among other things) explain how the associated Newton–Okounkov bodies arise in the context of [28]. Even more generally, the algebra  $A_{2,n}$  can be replaced by the *middle cluster algebra* in the sense of [28], the standard monomial basis by the corresponding *theta basis*, and the total order  $\prec$  by a lexicographic refinement of the *dominance order*. Similarly, Fujita and Oya in [26, Section 3] define a valuation on the ambient field of a cluster algebra (whose exchange matrix has full rank). Their valuation recovers Fomin–Zelevinsky's g-vectors for cluster monomials.

Interpreting **g**-vectors as a valuation reveals the necessity for working with *extended* **g**-vectors as opposed to their *truncated* counterparts that are popular in algebraic or representation theoretic applications of cluster algebras. The following example shows that truncated **g**-vectors do not have the desired properties for applications.

**Example 4.62.** Consider for Gr(2, 6) the triangulation T consisting of arcs  $\overline{24}$ ,  $\overline{25}$ ,  $\overline{26}$ . Order the cluster variables corresponding to T in a compatible way using the output of Algorithm 1, e.g.,  $p_{16}$ ,  $p_{23}$ ,  $\underline{p_{24}}$ ,  $p_{56}$ ,  $p_{12}$ ,  $\underline{p_{25}}$ ,  $\underline{p_{26}}$ ,  $p_{34}$ ,  $p_{45}$ . Now compute the **g**-vectors with respect to T and consider the following elements in  $A_{2,n}$  endowed with their **g**-vectors:

$$\begin{array}{rclrcrcrcrcrc} (1,0,\underline{0},0,0,\underline{1},\underline{0},0,0) &=& (1,0,\underline{0},0,0,\underline{1},\underline{0},0,0) &\prec & (0,0,\underline{0},1,1,\underline{0},\underline{0},0,0), \\ & \bar{p}_{15}\bar{p}_{26} &=& \bar{p}_{16}\bar{p}_{25} &+& \bar{p}_{12}\bar{p}_{56}, \\ (0,1,\underline{0},0,0,\underline{0},\underline{0},0,1) &=& (0,1,\underline{0},0,0,\underline{0},\underline{0},0,1) &\prec & (0,0,\underline{0},0,0,\underline{1},\underline{0},1,0), \\ & \bar{p}_{24}\bar{p}_{35} &=& \bar{p}_{23}\bar{p}_{45} &+& \bar{p}_{25}\bar{p}_{34}. \end{array}$$

The total order  $\prec$  is compatible with *T*. The truncated **g**-vectors are the underlined parts of the **g**-vectors above. So if we decided to only consider those we would need to find an order that satisfies  $(0,0,0) \succ (0,1,0)$  and  $(0,1,0) \succ (0,0,0)$ , a contradiction.

Next we describe how the Newton–Okounkov body  $\Delta(T)$  behaves under changes of the triangulation. As all triangulations can be obtained from one another by a sequence of flips of arcs, we focus on performing a single such flip. Let  $T_1$  and  $T_2$  be two triangulations such that there exist a < b < c < d in [n] with  $\overline{ac} \in T_1, \overline{bd} \in T_2$  and  $T_1 \setminus \{\overline{ac}\} = T_2 \setminus \{\overline{bd}\}$ . In other words,  $T_2$  is obtained from  $T_1$  by flipping the arc  $\overline{ac}$ . We denote by  $\mathbb{R}^{T_1}$  the vector space  $\mathbb{R}^{2n-3}$  with standard basis  $\{f_{ij}: ij \in T_1\}$  and similarly,  $\mathbb{R}^{T_2}$  for  $\mathbb{R}^{2n-3}$  with basis  $\{f'_{ij}: ij \in T_2\}$ .

The theory of cluster varieties gives us two distinguished maps from  $\mathbb{R}^{2n-3}$  to itself. The first map is a piecewise linear shear that can be obtained by the *Fock-Goncharov tropicalization of a cluster mutation* (see [28, Definition 1.22]):

$$\zeta_{ac} \colon \mathbb{R}^{T_1} \to \mathbb{R}^{T_1},$$

$$m = \sum_{ij \in T_1} m_{ij} f_{ij} \mapsto \begin{cases} m & \text{if } m_{ac} \leq 0, \\ m + m_{ac} v_{ac} & \text{if } m_{ac} > 0, \end{cases}$$

where  $v_{ac} = -f_{ab} - f_{cd} + f_{ad} + f_{bc}$ . The second map is a  $\operatorname{GL}_{2n-3}(\mathbb{Z})$ -base change from  $\{f_{ij} : \overline{ij} \in T_1\}$  to  $\{f'_{ij} : \overline{ij} \in T_2\}$  corresponding to a seed mutation (see [20, equation (8)]) denoted by  $\mu_{T_2}^{T_1} : \mathbb{R}^{T_1} \to \mathbb{R}^{T_2}$ . It is given by  $f'_{ij} = f_{ij}$  for  $\overline{ij} \in T_1 \cap T_2$  and  $f'_{bd} = -f_{ac} + f_{ab} + f_{cd}$ . The following Lemma follows by combining the results in [28, Sections 1.3 and 5]. For the

The following Lemma follows by combining the results in [28, Sections 1.3 and 5]. For the convenience of the reader, we give a self-contained elementary proof below.

**Lemma 4.63.** Let  $T_1$  and  $T_2$  be two triangulations related by a flip as above. Then  $\Delta(T_2) = \mu_{T_2}^{T_1} \circ \zeta_{ac}(\Delta(T_1))$ . More precisely, for every  $\bar{\mathbf{p}}^a \in \mathbb{B}_{<}$  we have  $\mathbf{g}^{\widehat{T_2}}(\bar{\mathbf{p}}^a) = \mu_{T_2}^{T_1} \circ \zeta_{ac} \circ \mathbf{g}^{\widehat{T_1}}(\bar{\mathbf{p}}^a)$ .

**Proof.** By definition of  $\mathbf{g}^{\widehat{T_p}}$  for p = 1, 2 from (4.14) it is enough to show the second claim for the Plücker coordinates. As  $\Delta(T_p)$  is the convex hull of  $\mathbf{g}_{ij}^{\widehat{T_p}}$  for  $1 \leq i < j \leq n$ , this implies the first claim.

Given  $1 \leq i < j \leq n$ , by Definition 4.27 the coefficient of  $f_{ac}$  in  $\mathbf{g}^{\widehat{T}_1}(\overline{p}_{ij}) = \mathbf{g}_{ij}^{\widehat{T}_1}$  can be 1, -1 or 0. We go through the details of the case when it is 1, the other two cases are similar. Recall the sign conventions for the **g**-vectors from Figure 3. Take  $\mathbf{g}_{ij}^{\widehat{T}_1} = f_{ac} + \sum_{\overline{kl} \in T_1 \setminus \{\overline{ac}\}} \sigma_{i \rightsquigarrow j}^{\overline{kl}} f_{kl}$ . Recall that  $T_1 \setminus \{\overline{ac}\} = T_2 \setminus \{\overline{bd}\}$  and  $f_{kl} = f'_{kl}$  for  $\overline{kl} \in T_1 \setminus \{\overline{ac}\}$ . Now we compute  $\mu_{T_2}^{T_1} \circ \zeta_{ac} \circ \mathbf{g}^{\widehat{T}_1}(p_{ij})$  step-by-step as follows:

$$\mathbf{g}^{\widehat{T}_{1}}(p_{ij}) = f_{ac} + \sum_{\overline{kl} \in T_{1} \setminus \{\overline{ac}\}} \sigma_{i \rightsquigarrow j}^{\overline{kl}} f_{kl} \xrightarrow{\zeta_{ac}} f_{ac} + v_{ac} + \sum_{\overline{kl} \in T_{1} \setminus \{\overline{ac}\}} \sigma_{i \rightsquigarrow j}^{\overline{kl}} f_{kl}$$
$$\xrightarrow{\mu_{T_{2}}^{T_{1}}} -f'_{bd} + f'_{ad} + f'_{bc} + \sum_{\overline{kl} \in T_{2} \setminus \{\overline{bd}\}} \sigma_{i \rightsquigarrow j}^{\overline{kl}} f'_{kl} = \mathbf{g}^{\widehat{T}_{2}}(p_{ij}).$$

Observe that the sign  $\sigma_{i \rightarrow j}^{\overline{kl}}$  depends on the triangulation, but the above equation takes into account the change of signs that might happen when we pass from  $T_1$  to  $T_2$ .

**Figure 7.** Trivalent trees for  $Gr(2, \mathbb{C}^5)$  corresponding to maximal cones in  $Trop(I_{2,n})$  that intersect in a facet ( $\Upsilon_1$  and  $\Upsilon_2$ ) and the tree corresponding to the intersection is  $\Upsilon$ , see Example 4.65.

Note that although in Section 4.3 we are only considering a fixed maximal Gröbner cone C, all other maximal cones can be obtained from C through a symmetric group action (see [38, Second Proof of  $\supseteq$  Theorem 4.3.5]). Moreover, [19, Lemma 5.13] shows that any two maximal cones in Trop $(I_{2,n})$  that intersect in a facet are faces of one maximal Gröbner cone (up to symmetry).

**Corollary 4.64.** Let  $\sigma_1, \sigma_2 \in \text{Trop}(I_{2,n})$  be two maximal prime cones that intersect in a facet. Then  $\Delta(\sigma_1)$  and  $\Delta(\sigma_2)$  are related by the shear map  $\zeta_{ac}$  for appropriate  $a, c \in [n]$ .

**Proof.** The cones  $\sigma_1$  and  $\sigma_2$  are in correspondence with trivalent trees  $\Upsilon_1$ ,  $\Upsilon_2$  by Theorem 4.2. By [19, Lemma 5.13] we can assume that  $\sigma_1$  and  $\sigma_2$  are faces of the same maximal Gröbner cone C. Hence, we may assume that the leaves of  $\Upsilon_1$  and  $\Upsilon_2$  are labeled in the same order. The symmetric group action on  $\text{Trop}(I_{2,n})$  translates to permuting the leaf labeling of trivalent trees. So we can simultaneously move the cones  $\sigma_1$  and  $\sigma_2$  to faces of C. Say these faces correspond to triangulation  $T_1$  and  $T_2$  of the *n*-gon, respectively. One consequence of the symmetric group action is that  $V(\text{in}_{\sigma_i}(I)) \cong V(\text{in}_{T_i}(I))$  for i = 1, 2. In particular, these isomorphisms induce unimodular equivalences  $\Delta(\sigma_i) \cong \Delta(T_i)$  for i = 1, 2. The assumption that  $\sigma_1$  and  $\sigma_2$  intersect in a facet, directly implies that  $T_1$  and  $T_2$  differ by flipping one arc. So there exist a < b < c < din [n] with  $\overline{ac} \in T_1$ ,  $\overline{bd} \in T_2$  and  $T_1 \setminus \{\overline{ac}\} = T_2 \setminus \{\overline{bd}\}$ . Now applying Lemma 4.63 completes the proof.

**Example 4.65.** We illustrate the proof of Corollary 4.64 for  $Gr(2, \mathbb{C}^5)$ . Let  $\Upsilon_1$  and  $\Upsilon_2$  be the trees in Figure 7. They correspond to cones  $\sigma_1$  and  $\sigma_2$  sharing a facet corresponding to the tree  $\Upsilon$ 

from Figure 7. First, we apply [19, Lemma 5.13] and replace  $\Upsilon_2$  by a tree  $\Upsilon'_2$  that gives the same initial ideal as  $\Upsilon_2$ , and its leaves are labeled in the same order as  $\Upsilon_1$ . This implies that  $\sigma_1$  and  $\sigma_2$  are indeed faces of the same maximal Gröbner cone (corresponding to the clockwise labeling of the leaves: 15243). Then we apply the symmetric group element s = (235) to the leaves of  $\Upsilon_1$  and  $\Upsilon'_2$  to obtain the following trees which are both dual to triangulations of the 5-gon. Moreover, their triangulations are related by exchanging the diagonal  $\overline{24}$  for  $\overline{13}$  (this is also called a *flip*):



The symmetric group element s induces an automorphism  $s: S \to S$  given by  $s(p_{ij}) = p_{s^{-1}(i)s^{-1}(j)}$ . It is straight-forward to verify that  $s(in_{s(\Upsilon)}(I)) = in_{\Upsilon}(I)$  for  $\Upsilon \in {\Upsilon_1, \Upsilon'_2}$ .

Consider  $\sigma_1$  and  $\sigma_2$  as in Corollary 4.64 and assume they lie in the same maximal Gröbner cone C. Then the standard monomial basis of C induces a bijective map between the value semigroups  $\operatorname{im}(\mathfrak{v}_{\sigma_1})$  and  $\operatorname{im}(\mathfrak{v}_{\sigma_2})$  (see [19, Section 4.2], where this is called an *algebraic wall-crossing*). As seen in Lemma 4.63 the map  $\mu_{T_2}^{T_1} \circ \zeta_{ac}$  extends to a map between the value semigroups  $\operatorname{im}(\mathbf{g}^{\widehat{T}_1})$ and  $\operatorname{im}(\mathbf{g}^{\widehat{T}_2})$ . In [19, Theorem 5.15] the authors show that their piecewise linear flip map induces the algebraic wall-crossing for  $\operatorname{Gr}(2, \mathbb{C}^n)$ . Hence, Corollary 4.64 implies that the flip map for  $\operatorname{Gr}(2, \mathbb{C}^n)$  is of cluster nature in the sense that (up to unimodular equivalence) it is given by the Fock–Goncharov tropicalization of a cluster mutation.

**Remark 4.66.** Cluster mutations are a very special class of automorphisms of a complex algebraic torus preserving its canonical volume form. Automorphisms preserving this form have various names in the literature such as *Laurent polynomial mutations* [31], elements of the *special Cremona group* [52] or *combinatorial mutations* [2]. Ilten in [19, Appendix] related the wall-crossing formulas to the theory of polyhedral divisors for complexity-one T-varieties [3] and outlined how this relates to combinatorial mutations in the sense of [2].

# A Computational data

Here, we present data on the ideal  $I^{\text{ex}}$ . Recall that the weighted homogeneous coordinate ring of Gr  $(3, \mathbb{C}^6)$  with respect to this embedding is  $A_{3,6} \cong \mathbb{C}[p_{123}, \ldots, p_{456}, X, Y]/I^{\text{ex}}$ . We frequently identify the variables  $p_{123}, \ldots, p_{456}, X, Y$  with their cosets  $\bar{p}_{123}, \ldots, \bar{p}_{456}, \bar{X}, \bar{Y}$  in  $A_{3,6}$ , as well as elements of the ideal  $I^{\text{ex}}$  with the corresponding relations in  $A_{3,6}$ ; that is, we identify, for example

 $p_{145}p_{236} - p_{123}p_{456} - X \in I^{\text{ex}}$  and  $\bar{p}_{145}\bar{p}_{236} = \bar{p}_{123}\bar{p}_{456} + \bar{X}$  in  $A_{3,6}$ .

A minimal generating set for  $I^{\text{ex}}$ . We now list a minimal generating set for  $I^{\text{ex}}$  consisting of elements of the reduced Gröbner basis  $\mathcal{G}_C(I^{\text{ex}})$ . Note that except the last polynomial f, these are the exchange relations of  $A_{3,6}$  with the first monomial being the exchange monomial.

$p_{145}p_{236} - p_{123}p_{456} - X,$	$p_{124}p_{356} - p_{123}p_{456} - Y,$
$p_{136}p_{245} - p_{126}p_{345} - X,$	$p_{125}p_{346} - p_{126}p_{345} - Y,$
$p_{146}p_{235} - p_{156}p_{234} - X,$	$p_{134}p_{256} - p_{156}p_{234} - Y,$
$p_{246}p_{356} - p_{346}p_{256} - p_{236}p_{456},$	$p_{245}p_{356} - p_{345}p_{256} - p_{235}p_{456}$

$p_{146}p_{356} - p_{346}p_{156} - p_{136}p_{456},$	$p_{145}p_{356} - p_{345}p_{156} - p_{135}p_{456},$	
$p_{245}p_{346} - p_{345}p_{246} - p_{234}p_{456},$	$p_{235}p_{346} - p_{345}p_{236} - p_{234}p_{356},$	
$p_{145}p_{346} - p_{345}p_{146} - p_{134}p_{456},$	$p_{135}p_{346} - p_{345}p_{136} - p_{134}p_{356},$	
$p_{146}p_{256} - p_{246}p_{156} - p_{126}p_{456},$	$p_{145}p_{256} - p_{245}p_{156} - p_{125}p_{456},$	
$p_{136}p_{256} - p_{236}p_{156} - p_{126}p_{356},$	$p_{135}p_{256} - p_{235}p_{156} - p_{125}p_{356},$	
$p_{235}p_{246} - p_{245}p_{236} - p_{234}p_{256},$	$p_{145}p_{246} - p_{245}p_{146} - p_{124}p_{456},$	
$p_{136}p_{246} - p_{236}p_{146} - p_{126}p_{346},$	$p_{134}p_{246} - p_{234}p_{146} - p_{124}p_{346},$	
$p_{125}p_{246} - p_{245}p_{126} - p_{124}p_{256},$	$p_{134}p_{245} - p_{234}p_{145} - p_{124}p_{345},$	
$p_{135}p_{245} - p_{235}p_{145} - p_{125}p_{345},$	$p_{135}p_{236} - p_{235}p_{136} - p_{123}p_{356},$	
$p_{134}p_{236} - p_{234}p_{136} - p_{123}p_{346},$	$p_{125}p_{236} - p_{235}p_{126} - p_{123}p_{256},$	
$p_{124}p_{236} - p_{234}p_{126} - p_{123}p_{246},$	$p_{134}p_{235} - p_{234}p_{135} - p_{123}p_{345},$	
$p_{124}p_{235} - p_{234}p_{125} - p_{123}p_{245},$	$p_{135}p_{146} - p_{145}p_{136} - p_{134}p_{156},$	
$p_{125}p_{146} - p_{145}p_{126} - p_{124}p_{156},$	$p_{125}p_{136} - p_{135}p_{126} - p_{123}p_{156},$	
$p_{124}p_{136} - p_{134}p_{126} - p_{123}p_{146},$	$p_{124}p_{135} - p_{134}p_{125} - p_{123}p_{145},$	
$f = p_{135}p_{246} - p_{156}p_{234} - Y - p_{123}p_{456} - X - p_{126}p_{345}.$		

The reduced Gröbner basis  $\mathcal{G}_C(I^{\text{ex}})$ . Let C be the maximal cone in the Gröbner fan of  $I^{\text{ex}}$  whose rays are given in (4.10). Recall from Theorem 4.53(i) that the associated monomial initial ideal of C is generated by the exchange monomials, together with the monomials  $p_{135}p_{246}$  and XY. To obtain the reduced Gröbner basis  $\mathcal{G}_C(I^{\text{ex}})$  we need to add the missing exchange relations:

$p_{235}Y - p_{125}p_{234}p_{356} - p_{123}p_{256}p_{345},$	$p_{134}X - p_{136}p_{145}p_{234} - p_{123}p_{146}p_{345},$
$p_{146}Y - p_{124}p_{156}p_{346} - p_{126}p_{134}p_{456},$	$p_{256}X - p_{156}p_{236}p_{245} - p_{126}p_{235}p_{456},$
$p_{136}Y - p_{123}p_{156}p_{346} - p_{126}p_{134}p_{356},$	$p_{346}X - p_{136}p_{234}p_{456} - p_{146}p_{236}p_{345},$
$p_{245}Y - p_{125}p_{234}p_{456} - p_{124}p_{256}p_{345},$	$p_{125}X - p_{123}p_{156}p_{245} - p_{126}p_{145}p_{235},$
$p_{145}Y - p_{125}p_{134}p_{456} - p_{124}p_{156}p_{345},$	$p_{124}X - p_{126}p_{145}p_{234} - p_{123}p_{146}p_{245},$
$p_{236}Y - p_{126}p_{234}p_{356} - p_{123}p_{256}p_{346},$	$p_{356}X - p_{136}p_{235}p_{456} - p_{156}p_{236}p_{345},$
$p_{135}Y - p_{125}p_{134}p_{356} - p_{123}p_{156}p_{345},$	$p_{135}X - p_{136}p_{145}p_{235} - p_{123}p_{156}p_{345},$
$p_{246}Y - p_{124}p_{256}p_{346} - p_{126}p_{234}p_{456},$	$p_{246}X - p_{146}p_{236}p_{245} - p_{126}p_{234}p_{456}.$

Further, we need to add the following additional element to the generating list above (the first monomial is its leading monomial in  $in_C(I^{ex})$ ):

# $g = XY - p_{123}p_{156}p_{246}p_{345} - p_{126}p_{135}p_{234}p_{456} - p_{126}p_{156}p_{234}p_{345} - p_{123}p_{156}p_{234}p_{456} - p_{123}p_{126}p_{345}p_{456}.$

Here, we list the identities used in the proof of Theorem 4.53:

$$p_{245}f = p_{246}(p_{135}p_{245} - p_{235}p_{145} - p_{125}p_{345}) + p_{145}(p_{235}p_{246} - p_{245}p_{236} - p_{234}p_{256}) + p_{245}(p_{145}p_{236} - p_{123}p_{456} - X) + p_{234}(p_{145}p_{256} - p_{245}p_{156} - p_{125}p_{456}) - p_{125}(p_{245}p_{346} - p_{345}p_{246} - p_{234}p_{456}) + p_{245}(p_{125}p_{346} - p_{126}p_{345} - Y).$$
(A.1)  
$$g = p_{256}(p_{134}X - p_{136}p_{145}p_{234} - p_{123}p_{146}p_{345}) - X(p_{134}p_{256} - p_{156}p_{234} - Y) - p_{145}p_{234}(p_{136}p_{256} - p_{236}p_{156} - p_{126}p_{356}) - p_{156}p_{234}(p_{145}p_{236} - p_{123}p_{456} - X) - p_{126}p_{234}(p_{145}p_{356} - p_{345}p_{156} - p_{135}p_{456}) + p_{123}p_{345}(p_{146}p_{256} - p_{246}p_{156} - p_{126}p_{456}).$$
(A.2)

The exchange relations of  $A_{3,6}^{\text{univ}}$  (or equivalently the lifts of the elements of  $\mathcal{G}_C(I^{\text{ex}})$ ) are:

 $p_{245}p_{356} - t_3t_{12}t_{16}p_{345}p_{256} - t_5t_6t_9p_{235}p_{456},$  $p_{146}p_{356} - t_3t_7t_{16}p_{346}p_{156} - t_5t_9t_{13}p_{136}p_{456},$  $p_{145}p_{256} - t_4t_7t_{14}p_{245}p_{156} - t_8t_9t_{11}p_{125}p_{456},$  $p_{136}p_{256} - t_6t_7t_{14}p_{236}p_{156} - t_1t_8t_{11}p_{126}p_{356},$  $p_{134}p_{245} - t_2 t_5 t_6 p_{234} p_{145} - t_8 t_{12} t_{16} p_{124} p_{345},$  $p_{134}p_{236} - t_2t_4t_5p_{234}p_{136} - t_8t_{15}t_{16}p_{123}p_{346},$  $p_{125}p_{146} - t_1t_5t_{13}p_{145}p_{126} - t_7t_{10}t_{16}p_{124}p_{156},$  $p_{124}p_{136} - t_1t_3t_{11}p_{134}p_{126} - t_6t_{14}t_{15}p_{123}p_{146},$  $p_{125}p_{236} - t_1 t_4 t_5 p_{235} p_{126} - t_{10} t_{15} t_{16} p_{123} p_{256},$  $p_{124}p_{235} - t_2t_3t_{11}p_{234}p_{125} - t_{13}t_{14}t_{15}p_{123}p_{245},$  $p_{235}p_{346} - t_{12}t_{13}t_{14}p_{345}p_{236} - t_2t_{10}t_{11}p_{234}p_{356},$  $p_{145}p_{346} - t_4t_{12}t_{14}p_{345}p_{146} - t_9t_{10}t_{11}p_{134}p_{456},$  $p_{146}p_{256} - t_7p_{246}p_{156} - t_1t_5t_8t_9t_{11}t_{13}p_{126}p_{456},$  $p_{124}p_{236} - t_{15}p_{123}p_{246} - t_1t_2t_3t_4t_5t_{11}p_{234}p_{126},$  $p_{125}p_{136} - t_1p_{135}p_{126} - t_6t_7t_{10}t_{14}t_{15}t_{16}p_{123}p_{156},$  $p_{145}p_{236} - t_4X - t_8t_9t_{10}t_{11}t_{15}t_{16}p_{123}p_{456},$  $p_{136}p_{245} - t_6X - t_1t_3t_8t_{11}t_{12}t_{16}p_{126}p_{345},$  $p_{146}p_{235} - t_{13}X - t_2t_3t_7t_{10}t_{11}t_{16}p_{156}p_{234},$  $p_{135}p_{256} - t_8t_{11}p_{125}p_{356} - t_4t_5t_6t_7t_{14}p_{235}p_{156},$  $p_{134}p_{246} - t_8t_{16}p_{124}p_{346} - t_2t_4t_5t_6t_{14}p_{234}p_{146},$  $p_{246}p_{356} - t_3t_{16}p_{346}p_{256} - t_5t_6t_9t_{13}t_{14}p_{236}p_{456},$  $p_{135}p_{245} - t_5t_6p_{235}p_{145} - t_3t_8t_{11}t_{12}t_{16}p_{125}p_{345},$  $p_{136}p_{246} - t_6 t_{14} p_{236} p_{146} - t_1 t_3 t_8 t_{11} t_{16} p_{126} p_{346},$  $p_{145}p_{246} - t_4t_{14}p_{245}p_{146} - t_8t_9t_{10}t_{11}t_{16}p_{124}p_{456},$  $p_{125}p_{246} - t_{10}t_{16}p_{124}p_{256} - t_1t_4t_5t_{13}t_{14}p_{245}p_{126},$  $p_{135}p_{236} - t_4t_5p_{235}p_{136} - t_8t_{10}t_{11}t_{15}t_{16}p_{123}p_{356},$  $p_{135}p_{146} - t_5t_{13}p_{145}p_{136} - t_3t_7t_{10}t_{11}t_{16}p_{134}p_{156},$  $p_{124}p_{135} - t_3t_{11}p_{134}p_{125} - t_5t_6t_{13}t_{14}t_{15}p_{123}p_{145},$  $p_{135}p_{346} - t_{10}t_{11}p_{134}p_{356} - t_4t_5t_{12}t_{13}t_{14}p_{345}p_{136},$  $p_{235}p_{246} - t_{13}t_{14}p_{245}p_{236} - t_2t_3t_{10}t_{11}t_{16}p_{234}p_{256},$  $p_{245}p_{346} - t_{12}p_{345}p_{246} - t_2t_5t_6t_9t_{10}t_{11}p_{234}p_{456},$  $p_{145}p_{356} - t_9p_{135}p_{456} - t_3t_4t_7t_{12}t_{14}t_{16}p_{345}p_{156},$  $p_{134}p_{235} - t_2p_{234}p_{135} - t_8t_{12}t_{13}t_{14}t_{15}t_{16}p_{123}p_{345},$  $p_{124}p_{356} - t_3Y - t_5t_6t_9t_{13}t_{14}t_{15}p_{123}p_{456},$  $p_{134}p_{256} - t_8Y - t_2t_4t_5t_6t_7t_{14}p_{156}p_{234},$  $p_{346}p_{125} - t_{10}Y - t_1t_4t_5t_{12}t_{13}t_{14}p_{126}p_{345},$  $p_{125}X - t_1t_5p_{126}p_{145}p_{235} - t_7t_{10}t_{14}t_{15}t_{16}p_{123}p_{156}p_{245},$  $p_{145}Y - t_9t_{11}p_{125}p_{134}p_{456} - t_4t_7t_{12}t_{14}t_{16}p_{124}p_{156}p_{345},$ 

 $p_{124}X - t_{14}t_{15}p_{123}p_{146}p_{245} - t_1t_2t_3t_5t_{11}p_{126}p_{145}p_{234},$ 

- $p_{236}Y t_{15}t_{16}p_{123}p_{256}p_{346} t_1t_2t_4t_5t_{11}p_{126}p_{234}p_{356},$
- $p_{134}X t_2t_5p_{136}p_{145}p_{234} t_8t_{12}t_{14}t_{15}t_{16}p_{123}p_{146}p_{345},$
- $p_{235}Y t_2t_{11}p_{125}p_{234}p_{356} t_{12}t_{13}t_{14}t_{15}t_{16}p_{123}p_{256}p_{345},$
- $p_{246}X t_{14}p_{146}p_{236}p_{245} t_1t_2t_3t_5t_8t_9t_{10}t_{11}^2t_{16}p_{126}p_{234}p_{456},$
- $p_{246}Y t_{16}p_{124}p_{256}p_{346} t_1t_2t_4t_5^2t_6t_9t_{11}t_{13}t_{14}p_{126}p_{234}p_{456},$
- $p_{256}X t_7 t_{14} p_{156} p_{236} p_{245} t_1 t_5 t_8 t_9 t_{11} p_{126} p_{235} p_{456},$
- $p_{146}Y t_7 t_{16} p_{124} p_{156} p_{346} t_1 t_5 t_9 t_{11} t_{13} p_{126} p_{134} p_{456},$
- $p_{346}X t_{12}t_{14}p_{146}p_{236}p_{345} t_2t_5t_9t_{10}t_{11}p_{136}p_{234}p_{456},$
- $p_{136}Y t_1t_{11}p_{126}p_{134}p_{356} t_6t_7t_{14}t_{15}t_{16}p_{123}p_{156}p_{346},$
- $p_{356}X t_5 t_9 p_{136} p_{235} p_{456} t_3 t_7 t_{12} t_{14} t_{16} p_{156} p_{236} p_{345},$
- $p_{245}Y t_{12}t_{16}p_{124}p_{256}p_{345} t_2t_5t_6t_9t_{11}p_{125}p_{234}p_{456},$
- $p_{135}X t_5p_{136}p_{145}p_{235} t_3t_7t_8t_{10}t_{11}t_{12}t_{14}t_{15}t_{16}^2p_{123}p_{156}p_{345},$
- $p_{135}Y t_{11}p_{125}p_{134}p_{356} t_4t_5t_6t_7t_{12}t_{13}t_{14}^2t_{15}t_{16}p_{123}p_{156}p_{345}.$

The lifts of f, g (the elements of  $\mathcal{G}_C(I^{\text{ex}})$  that do not correspond to exchange relations) are:

$$\begin{split} \tilde{f} &= p_{135}p_{246} - t_2 t_3 t_4 t_5 t_6 t_7 t_{10} t_{11} t_{14} t_{16} p_{156} p_{234} - t_3 t_8 t_{10} t_{11} t_{16} Y \\ &- t_5 t_6 t_8 t_9 t_{10} t_{11} t_{13} t_{14} t_{15} t_{16} p_{123} p_{456} - t_4 t_5 t_6 t_{13} t_{14} X - t_1 t_3 t_4 t_5 t_8 t_{11} t_{12} t_{13} t_{14} t_{16} p_{126} p_{345}, \\ \tilde{g} &= XY - t_7 t_{12} t_{14} t_{15} t_{16} p_{123} p_{156} p_{246} p_{345} - t_1 t_2 t_5 t_9 t_{11} p_{126} p_{135} p_{234} p_{456} \\ &- t_1 t_2 t_3 t_4 t_5 t_7 t_{11} t_{12} t_{14} t_{16} p_{126} p_{156} p_{234} p_{345} - t_2 t_5 t_6 t_7 t_9 t_{10} t_{11} t_{14} t_{15} t_{16} p_{123} p_{156} p_{234} p_{456} \\ &- t_1 t_5 t_8 t_9 t_{11} t_{12} t_{13} t_{14} t_{15} t_{16} p_{123} p_{126} p_{345} p_{456}. \end{split}$$

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