

# FUNCTIONAL EQUATION FOR ZETA FUNCTIONS

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ABSTRACT. These are notes of my talk on the seminar on J.Tate's thesis held at MPI in Bonn in Spring 2006.

## 1. HAAR MEASURE ON IDELES

Let  $k$  be a number field,  $A$  be it's ring of adeles. Then  $I = A^\times$  is a group of ideles with multiplication restricted from  $A$ . An element  $(x_p) \in I$  satisfies  $x_p \in o_p^\times = u_p$  for all but finite number of places  $p$ . We define a topology on  $I$  as on restricted product of  $k_p^\times$  with respect to subgroups  $u_p$ . Note that this topology differs from the one restricted from  $A$ : the set  $I^\infty \times \prod u_p$  is a neighbourhood of 1 in  $I$  but it cannot be lifted to a neighbourhood of 1 in  $A$  since such a neighbourhood should contain  $o_p$  at infinitely many places and  $o_p \cap k_p^\times \neq u_p$ .

$k_p^\times$  is an abelian locally compact group, also we have the modular function  $|x|_p : k_p^\times \rightarrow \mathbb{R}_+$ . Recall that for each Haar measure  $dx_p$  on the additive group  $k_p$  we have a corresponding Haar measure on  $k_p^\times$  denoted by  $\frac{dx_p}{|x|_p}$ . This measure is defined by the Haar integral (for  $k_p^\times$  it means "multiplication invariant" !)

$$f \mapsto \int_{k_p - \{0\}} \frac{f(x)}{|x|_p} dx_p$$

for  $f \in C_0(k_p^\times)$  — continuous function with compact support. This integral is well defined since  $f \mapsto \frac{f(x)}{|x|_p}$  is an isomorphism between  $C_0(k_p^\times)$  and  $C_0(k_p - \{0\})$ .

We could define the Haar measure on  $I$  simply by  $\prod_p \frac{dx_p}{|x|_p}$ , but we prefer the following modification by constant:

$$dx_I = \prod_{p \text{ infinite}} \frac{dx_p}{|x|_p} \times \prod_{p \text{ finite}} \frac{Np}{Np-1} \frac{dx_p}{|x|_p}.$$

This modification will be important in Theorems ?? and ??.

## 2. EMBEDDING OF $k^\times$ INTO IDELES

Multiplication by an idele is a continuous automorphism of  $A$ , so we have a modular function  $|\cdot| : I \rightarrow \mathbb{R}_+$ . Obviously  $|(x_p)| = \prod |x_p|_p$  since  $dx = \prod dx_p$  on  $A$ .

**Proposition 1.**  $|x| = 1$  for  $x \in k^\times \subset I$ .

*Proof.* Let  $D \subset A$  be a fundamental domain for  $k$  in  $A$ . For  $x \in k^\times$  we have  $xk = k$ , so  $xD$  is a fundamental domain for  $k$  again. Thus  $D$  and  $xD$  can be divided into countable number of pairwise congruent pieces  $D \cap (y + xD)$  and  $xD \cap (-y + D)$  ( $y \in k$ ). Then measure of  $xD$  equals measure of  $D$ , and by definition of modular function we have  $|x| = 1$ .  $\square$

Let  $J = Ker(|\cdot| : I \rightarrow \mathbb{R}_+)$  be a subset of ideles of norm 1. It is closed, and we have  $k^\times \rightarrow J$  due to the proposition. All ideles now can be considered as a product of two subgroups

$$I = J \times \mathbb{R}_+$$

(layers consist of elements with fixed value of  $|\cdot|$ ). We fix an embedding of  $\mathbb{R}_+$  into  $I$  as follows. Let  $p_\infty$  be an arbitrary chosen infinite place, and we embed  $t$  as  $x(t) = (t, 1, 1, 1, \cdot)$  with  $t$  at  $p_\infty$  if  $p_\infty$  is real and  $x(t) = (\sqrt{t}, 1, 1, 1, \cdot)$  if  $p_\infty$  is complex. Then  $|x(t)| = t$  and any element  $y$  is represented as  $\frac{y}{x(|y|)} \times x(|y|)$  with  $\frac{y}{x(|y|)} \in J$ . We fix a Haar measure on  $\mathbb{R}_+$  as  $\frac{dt}{t}$ . Then a Haar measure  $dx_J$  on  $J$  should exist such that  $dx_I = dx_J \times \frac{dt}{t}$ .

**Theorem 1.** *An embedding  $k^\times \subset J$  is discrete and the quotient  $J/k^\times$  is compact.*

*Proof.* We prove this by constructing explicitly a fundamental domain  $E$  such that

$$J = \bigcup_{x \in k^\times} xE.$$

Consider an infinite part of ideles  $I^\infty = \mathbb{R}^\times \times \dots \times \mathbb{R}^\times \times \mathbb{C}^\times \dots \times \mathbb{C}^\times$  with  $r_1$  factors  $\mathbb{R}^\times$  and  $r_2$  factors  $\mathbb{C}^\times$ . It is mapped onto  $\mathbb{R}^{r_1+r_2}$  by the logarithm

$$\text{Log}(x_1, \dots, x_{r_1}, y_1, \dots, y_{r_2}) = (\log|x_1|, \dots, 2\log|y_{r_2}|),$$

and we have the  $\text{Tr} : \mathbb{R}^{r_1+r_2} \rightarrow \mathbb{R}$  which is simply the sum of coordinates. So, we have maps

$$k^\times \subset I^\infty \xrightarrow{\text{Log}} \mathbb{R}^{r_1+r_2} \xrightarrow{\text{Tr}} \mathbb{R}.$$

Let  $r := r_1 + r_2 - 1$ . Let  $u = o_k^\times$  be global units. Obviously  $u \subset \text{Ker}(\text{Tr} \circ \text{Log})$ , and it is known (Dirichlet unit theorem) that  $u \cap \text{Ker}(\text{Log}) = \mu_k$  (roots of unity in  $k$ ) and  $\text{Log}(u) \cong \mathbb{Z}^r$  is a lattice of maximal rank in  $\mathbb{R}^r \cong \text{Ker}(\text{Tr})$ . We pick  $\varepsilon_1, \dots, \varepsilon_r \in u$  such that  $\text{Log}(\varepsilon_i)$  generate  $\text{Log}(u)$ , and let  $P \subset \text{Ker}(\text{Tr})$  be a parallelopete spanned by  $\text{Log}(\varepsilon_i)$ .  $\mu_k$  is a cyclic group, let  $w = \#(\mu_k)$  be its order.

We again need an arbitrary infinite place  $p_\infty$ . Put

$$E_0 = \{x \in \text{Log}^{-1}(P) \mid 0 \leq \arg(x_{p_\infty}) < \frac{2\pi}{w}\}.$$

Obviously  $E_0$  is bounded (thus relatively compact), and has an interior in sense of usual topology on the subspace of elements of  $I^\infty$  with norm 1. Then  $E_1 = E_0 \times \prod_{p \text{ finite}} u_p$  is also relatively compact and has interior as a subset of  $J$ . Now we show that a finite number of translates of  $E_1$  is a fundamental domain we are looking for.

Let  $I^0 = \prod_{p \text{ finite}} k_p^\times$  be finite part of ideles. Recall the map from  $I^0$  to fractional ideals of  $k$ . Then  $\prod u_p$  is its kernel

$$I^0 / \prod u_p \cong \text{Ideals}(k)$$

and

$$I^0 / k^\times \prod u_p \cong \text{Cl}(k)$$

Let  $h = \#\text{Cl}(k)$  be the class number and  $x_1, \dots, x_h \in I^0$  be ideles which represent all different classes. Obviously we can lift them all to  $J$ . Then

$$E = x_1 E_1 \cup x_2 E_1 \cup \dots \cup x_h E_1$$

is a fundamental domain for  $k^\times$  in  $J$ . Indeed, let  $x = x^\infty \times x^0 \in J$ . Then for exactly one  $i$  we have  $(xx_i^{-1})^0 \in k^\times \prod u_p$ . So, for some  $y \in k^\times$  we have  $(xx_i^{-1}y)^0 \in \prod u_p$  and this  $y$  is defined up to a unit from  $u = o_k^\times$ . Now a unit  $z \in u$  can be chosen so that  $\text{Log}((xx_i^{-1}yz)^\infty) \in P$ , and this unit is defined up to a root of unity in  $\mu_k$ . Now we finally choose a root of unity  $v$  so that  $0 \leq \arg((xx_i^{-1}yzv)_{p_\infty}^\infty) < \frac{2\pi}{w}$ . So we get for our  $x \in I$  that  $x \times (yzv) \in x_i E_1$ , where  $yzv \in k^\times$  is unique by construction.  $\square$

Although a fundamental domain  $E$  we have just constructed depends on a number of choices, its measure is a fixed number which doesn't depend on the choices. In fact

**Theorem 2.** *Let  $E$  be a fundamental domain for  $k^\times$  in  $J$ . Then*

$$\int_E dx_J = \frac{2^{r_1} (2\pi)^{r_2} h R}{w \sqrt{|d|}}$$

where  $R = |\det(\log |\varepsilon_i|_{p_j})|$  is the regulator of the field  $k$  (here  $p_j$  runs over all but one  $r_1 + r_2$  infinite places, and the value of  $R$  doesn't depend on the choice of the place excluded).

*Proof.* We use the fundamental domain  $E$  constructed in the proof of the theorem above.

Let  $\mu_\infty = \prod_{p \text{ infinite}} \frac{dx_p}{|x|_p}$  be the measure in multiplicative Minkovsky space  $I^\infty$ ,  $\mu_p = \frac{Np}{Np-1} \frac{dx_p}{|x|_p}$  be the measure in  $k_p^\times$  for finite places. Then

$$\mu_p(u_p) = \frac{Np}{Np-1} \int_{u_p} \frac{dx_p}{|x|_p} = \frac{Np}{Np-1} \int_{u_p} dx_p = \int_{o_p} dx_p = [o_p : \delta_p]^{-\frac{1}{2}},$$

so

$$\frac{1}{\sqrt{|d|}} = (N\delta)^{-\frac{1}{2}} = \prod_p [o_p : \delta_p]^{-\frac{1}{2}} = \prod_p \mu_p(u_p).$$

Obviously,

$$\begin{aligned} \int_E dx_J &= h \int_{E_1} dx_J = h \frac{\int_{[1,t] \times E_1} dx_I}{\log t} = h \frac{\mu_\infty([1,t] \times E_0)}{\log t} \prod_{p \text{ finite}} \mu_p(u_p) \\ &= \frac{h}{\sqrt{|d|}} \frac{\mu_\infty([1,t] \times E_0)}{\log t} = \frac{h}{w \sqrt{|d|}} \frac{\mu_\infty([1,t] \times \text{Log}^{-1}(P))}{\log t} \end{aligned}$$

because  $\text{Log}^{-1}(P)$  is a disjoint union of translates of  $E_0$  by roots of unity in  $k$ .

It is easy to check (separately for each infinite place) that for Lebesgue measure  $\lambda$  in  $\mathbb{R}^{r_1+r_2}$  we have  $\mu_\infty(\text{Log}^{-1}X) = 2^{r_1} (2\pi)^{r_2} \lambda(X)$  for measurable  $X \subset \mathbb{R}^{r_1+r_2}$ . Then,  $P$  is a subset of the hyperplane  $\text{Tr} = 0$ , image of  $[1, t]$  is the interval  $[0, \log t]$  along some axis in  $\mathbb{R}^{r_1+r_2}$ . Since all axes are under the same angle  $\alpha$  to  $\text{Tr} = 0$ , the "volume"  $\lambda([0, \log t] \times P)$  doesn't depend on the choices, and obviously equals  $\log t \sin(\alpha)$  times the "area" of  $P$ . Since  $\sin(\alpha) = \cos(\frac{\pi}{2} - \alpha)$ , this volume is  $\log t$  times the area of the projection of  $P$  onto the hyperplane orthogonal to our chosen axis. This area is obviously  $R = |\det(\log |\varepsilon_i|_{p_j})|$ .  $\square$

### 3. MULTIPLICATIVE CHARACTERS

The quasi-character  $c$  on  $I$  is a continuous homomorphism to  $\mathbb{C}^\times$ , so it is of the form

$$c(x) = \prod c_p(x_p)$$

where  $c_p$  are quasi-characters on  $k_p$  and all but finite number of them are trivial on  $u_p$ .

We consider only those quasi-characters  $c$ , which are trivial on  $k^\times$ . For them:

1)  $c$  restricted to  $J$  is a character. Indeed, since  $J/k^\times$  is compact  $|c(x)| = 1$  for  $x \in J$ .

2) If  $c$  is trivial on  $J$  then  $c(y) = |y|^s$  for some  $s \in \mathbb{C}$  uniquely defined by  $c$ .

3) For given  $c$  there exist a number  $\sigma \in \mathbb{R}$  such that  $|c(y)| = |y|^\sigma$ . Indeed,  $|c(\cdot)|$  is a quasi-character trivial on  $J$ , so in is  $|\cdot|^\sigma$  for some  $\sigma \in \mathbb{C}$ . And  $\sigma \in \mathbb{R}$  because this quasi-character takes values in  $\mathbb{R}_+$ .

The number  $\sigma$  is called an exponent of  $c$ . Quasi-character is a character if and only if its exponent is 0.

#### 4. ZETA FUNCTIONS

The function  $f : A \rightarrow \mathbb{C}$  is "good" if

- (i)  $f$  is continuous and in  $L_1(A)$
- (ii)  $f(x) \Big|_I |x|^\sigma \in L_1(I)$  for  $\sigma > 1$ .
- (iii)  $\sum_{\xi \in k} f(x(y + \xi))$  is convergent for each idele  $x$  and each adele  $y$ , uniformly in  $(x, y)$  ranging over  $D$  times any fixed compact subset of  $I$

**Definition 2.** Suppose  $f$  and it's Fourier transform  $\hat{f}$  are both "good". Then the following function of quasi-characters of exponent greater then 1

$$\zeta(f, c) = \int_I f(x)c(x)dx_I$$

is called zeta function of the field  $k$ .

Let us call two quasi-characters equivalent if they are equal on  $J$ . Then equivalence class is  $c_0(\cdot) \cdot |\cdot|^s$ ,  $s \in \mathbb{C}$  where  $c_0$  is any representative of the class. So, each equivalence class is a complex plane.

For a quasi-character  $c$  we define  $\hat{c}(\cdot) = \frac{1}{c(\cdot)}$ . If  $\sigma$  is an exponent of  $c$  then  $1 - \sigma$  is an exponent of  $\hat{c}$ .

**Theorem 3.** We can extend  $\zeta(f, \cdot)$  to the domain of all quasi-characters so that an extension is analytic on each equivalence class except the trivial one, where it has poles at  $c = 1$  and  $c = |\cdot|$  with residues  $-\kappa f(0)$  and  $+\kappa \hat{f}(0)$  correspondingly with  $\kappa = \int_E dx_J$ . Moreover,

$$\zeta(f, c) = \zeta(\hat{f}, \hat{c}).$$

*Proof.*

$$\int_I f(x)c(x)dx_I = \int_0^\infty \left( \int_J f(tx)c(tx)dx_J \right) \frac{dt}{t},$$

so we consider  $\zeta_t(f, c) = \int_J f(tx)c(tx)dx_J$ . Then due to (iii)

$$\begin{aligned} \zeta_t(f, c) + f(0)c(t) \int_E c(x)dx_J &= \sum_{\xi \in k^\times} \int_{\xi E} f(tx)c(tx)dx_J + f(0)c(t) \int_E c(x)dx_J \\ &= \sum_{\xi \in k} \int_E f(\xi tx)c(tx)dx_J = \int_E \left( \sum_{\xi \in k} f(\xi tx) \right) c(tx)dx_J, \end{aligned}$$

and applying Poisson summation formula to expression in brackets we get

$$\begin{aligned} &= \int_E \left( \frac{1}{|tx|} \sum_{\xi \in k} \hat{f}\left(\frac{\xi}{tx}\right) \right) c(tx)dx_J = \int_E \left( \sum_{\xi \in k} \hat{f}\left(\frac{\xi}{tx}\right) \right) \hat{c}\left(\frac{1}{tx}\right)dx_J \\ &= \int_E \left( \sum_{\xi \in k} \hat{f}\left(\frac{\xi}{t}x\right) \right) \hat{c}\left(\frac{1}{t}x\right)dx_J \end{aligned}$$

since modular function of  $x \mapsto \frac{1}{x}$  is 1, and expression which we integrate is periodic under  $x \mapsto \xi x$  for  $\xi \in k^\times$ . Analogously we get

$$\zeta_t(f, c) + f(0)c(t) \int_E c(x)dx_J = \zeta_{\frac{1}{t}}(\hat{f}, \hat{c}) + \hat{f}(0)\hat{c}\left(\frac{1}{t}\right) \int_E \hat{c}(x)dx_J$$

If  $c$  is nontrivial on  $J$  we have  $\int_E c(x)dx_J = 0$ , otherwise it equals  $\kappa$  and  $c(x) = |x|^s$ . So we write

$$\zeta_t(f, c) = \zeta_{\frac{1}{t}}(\hat{f}, \hat{c}) + \{\{\hat{f}(0)\kappa t^{s-1} - f(0)\kappa t^s\}\}$$

where expression in brackets is present only for characters of trivial class. So

$$\zeta(f, c) = \int_1^\infty \zeta_t(f, c) \frac{dt}{t} + \int_0^1 \zeta_t(f, c) \frac{dt}{t}$$

where expression under the first integral is convergent for characters of any exponent. Indeed,  $\frac{|c_1(x)|}{|c_2(x)|} = |x|^{\sigma_1 - \sigma_2} \geq 1$  when  $|x| \geq 1$  and  $\sigma_1 \geq \sigma_2$ . Then

$$\begin{aligned} \zeta_t(f, c) &= \int_1^\infty \zeta_t(f, c) \frac{dt}{t} + \int_1^\infty \zeta_t(\hat{f}, \hat{c}) \frac{dt}{t} + \{\{\hat{f}(0)\kappa \int_0^1 t^{s-2} dt - f(0)\kappa \int_0^1 t^{s-1} dt\}\} \\ &\quad \int_1^\infty \zeta_t(f, c) \frac{dt}{t} + \int_1^\infty \zeta_t(\hat{f}, \hat{c}) \frac{dt}{t} + \{\{\frac{\hat{f}(0)\kappa}{s-1} - \frac{f(0)\kappa}{s}\}\}. \end{aligned}$$

□