

# CUBIC RINGS AND THEIR IDEALS

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ABSTRACT. We give an explicit description of cubic rings over a discrete valuation ring, as well as a description of all ideals of such rings.

## INTRODUCTION

Ideals of local rings have been studied by a lot of authors from quite different viewpoints. One of the questions that arise with this respect is on the *number of parameters*  $\text{par}(\mathbf{C})$  defining the ideals of such a ring  $\mathbf{C}$  up to isomorphism, especially when it is reduced and of Krull dimension 1. Certainly, it makes sense if the residue field  $\mathbf{k}$  is infinite. In [8] it was shown that  $\text{par}(\mathbf{C}) = 0$ , i.e.  $\mathbf{C}$  has a finite number of ideals (up to isomorphism), if and only if  $\mathbf{C}$  is *Cohen–Macaulay finite*, i.e. has a finite number of indecomposable non-isomorphic Cohen–Macaulay modules (in the 1-dimensional reduced case they coincide with torsion free modules). Then Schappert [12] proved that a plane curve singularity has at most 1-parameter families of ideals if and only if it dominates one of the *strictly unimodal* plane curve singularities in the sense of [14], or, the same, *unimodal* and *bimodal* plane curve singularities in the sense of [1]. In [7] this result was generalized to all curve singularities. Note that this time  $\text{par}(\mathbf{C}) = 1$  does not imply that  $\mathbf{C}$  is *Cohen–Macaulay tame*, i.e. has at most 1-dimensional families of indecomposable Cohen–Macaulay modules. Tameness means that  $\mathbf{C}$  dominates a singularity of type  $T_{pq}$  [5]. The case  $\text{par}(\mathbf{C}) > 1$  had not been studied before the second author described the one branch singularities of type  $W$  such that  $\text{par}(\mathbf{C}) \leq 2$  [13].

In this paper we study the *cubic rings*. We describe all such rings, their ideals and, in particular, establish the value  $\text{par}(\mathbf{C})$  for any cubic ring  $\mathbf{C}$ . As a consequence, we show that a cubic ring is Gorenstein if and only if it is a plane curve singularity (i.e. its embedding dimension equals 2).

## 1. GENERALITIES

We denote by  $\mathbf{D}$  a discrete valuation ring with the ring of fractions  $\mathbf{K}$ , the maximal ideal  $\mathfrak{m} = t\mathbf{D}$  and the residue field  $\mathbf{k} = \mathbf{D}/t\mathbf{D}$ . A *cubic ring* over  $\mathbf{D}$  is, by definition, a  $\mathbf{D}$ -subalgebra  $\mathbf{C}$  in a 3-dimensional

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semisimple  $\mathbf{K}$ -algebra  $\mathbf{L}$ , which is a free  $\mathbf{D}$ -module of rank 3. We also denote  $\mathbf{A}$  the integral closure of  $\mathbf{D}$  in  $\mathbf{L}$  and always suppose that  $\mathbf{A}$  is finitely generated as  $\mathbf{C}$ -module. Equivalent condition (see, for instance, [3]): the  $\mathfrak{m}$ -adic completion  $\hat{\mathbf{C}}$  of the ring  $\mathbf{C}$  has no nilpotent elements. It is always the case if the algebra  $\mathbf{L}$  is *separable*, for instance, if  $\text{char } \mathbf{K} = 0$ . We also set  $\mathbf{A}_m = t^m \mathbf{A} + \mathbf{D}$  and  $\mathbf{J}_m = t \mathbf{A}_{m-1} = \text{rad } \mathbf{A}_m$  ( $m > 0$ ).

In what follows, an *ideal* means a *fractional C-ideal* in  $\mathbf{K}$ , i.e. a finitely generated  $\mathbf{C}$ -submodule  $M \subseteq \mathbf{K}$  such that  $\mathbf{K}M = \mathbf{K}$ . Then  $M$  is a free  $\mathbf{D}$ -module of rank 3. We are going to describe all ideals of cubic rings up to isomorphism. It is known (see, for instance, [9]) that there is a one-to-one correspondence between  $\mathbf{C}$ -ideals and  $\hat{\mathbf{C}}$ -ideals, mapping  $M$  to its  $\mathfrak{m}$ -adic completion. This correspondence *reflects isomorphisms*, i.e. maps non-isomorphic ideals to non-isomorphic. So, in what follows we may (and will) suppose that  $\mathbf{D}$  is *complete* with respect to the  $\mathfrak{m}$ -adic topology.

Recall also that the *embedding dimension*  $\text{edim } \mathbf{C}$  of a local noetherian ring  $\mathbf{C}$  with the maximal ideal  $\mathbf{J}$  and the residue field  $\mathbf{k}$  is defined as  $\dim_{\mathbf{k}} \mathbf{J}/\mathbf{J}^2$ . If  $\mathbf{C}$  is of Krull dimension 1 and  $\text{edim } \mathbf{C} = 2$ ,  $\mathbf{C}$  is called a *plane curve singularity*. In the geometric case, when  $\mathbf{C}$  contains a subfield of representatives of  $\mathbf{k}$ , it actually means that there is a plane curve  $C$  such that  $\mathbf{C}$  is the completion of the local ring of a singular point  $x \in C$ .

From the general theory of ramification in finite extensions we see that the following cases can happen:

**One branch, ramified case:**  $\mathbf{L}$  is a field, the maximal ideal of  $\mathbf{A}$  equals  $\tau \mathbf{A}$ ,  $\mathbf{A}/\tau \mathbf{A} \simeq \mathbf{k}$  and  $t \mathbf{A} = \tau^3 \mathbf{A}$ .

**One branch, non-ramified case:**  $\mathbf{L}$  is a field, the maximal ideal of  $\mathbf{A}$  equals  $t \mathbf{A}$  and  $\mathbf{A}/t \mathbf{A} = \mathbf{k}[\bar{\theta}]$  is a cubic extension of the field  $\mathbf{k}$ , where  $\bar{\theta}$  is a root of an irreducible cubic polynomial  $f(x) \in \mathbf{k}[x]$ .

**Two branches, ramified case:**  $\mathbf{L} = \mathbf{K}_1 \times \mathbf{K}$ , where  $\mathbf{K}_1$  is a quadratic extension of  $\mathbf{K}$ ,  $\mathbf{A} = \mathbf{D}_1 \times \mathbf{D}$ , the maximal ideal of  $\mathbf{D}_1$  is  $\tau \mathbf{D}_1$ ,  $\mathbf{D}_1/\tau \mathbf{D}_1 \simeq \mathbf{k}$  and  $t \mathbf{D}_1 = \tau^2 \mathbf{D}_1$ .

**Two branches, non-ramified case:**  $\mathbf{L} = \mathbf{K}_1 \times \mathbf{K}$ , where  $\mathbf{K}_1$  is a quadratic extension of  $\mathbf{K}$ ,  $\mathbf{A} = \mathbf{D}_1 \times \mathbf{D}$ , the maximal ideal of  $\mathbf{D}_1$  is  $t \mathbf{D}_1$  and  $\mathbf{D}_1/\tau \mathbf{D}_1 = \mathbf{k}[\bar{\theta}]$  is a quadratic extension of the field  $\mathbf{k}$ , where  $\bar{\theta}$  is a root of an irreducible quadratic polynomial  $f(x) \in \mathbf{k}[x]$ .

**Three branches case:**  $\mathbf{L} = \mathbf{K}^3$ ,  $\mathbf{A} = \mathbf{D}^3$ .

We recall [10, 2] that, for any cubic ring  $\mathbf{C}$ , every ideal of  $\mathbf{C}$  is isomorphic either to an *over-ring* of  $\mathbf{C}$ , i.e. a cubic ring  $\mathbf{B}$  such that  $\mathbf{C} \subseteq \mathbf{B} \subseteq \mathbf{L}$ , or to the *dual ideal*  $\mathbf{B}^* = \text{Hom}_{\mathbf{D}}(\mathbf{B}, \mathbf{D})$  of such an over-ring. Hence, to describe all ideals of  $\mathbf{C}$ , we only need to describe

over-rings of  $\mathbf{C}$ . Obviously, any cubic ring in  $\mathbf{L}$  contains some  $\mathbf{A}_m$ . Therefore, to describe all cubic rings (so their ideals as well), we have to describe the over-rings of  $\mathbf{A}_m$ . If  $\mathbf{B}$  is an over-ring of  $\mathbf{C}$ , they also say that  $\mathbf{B}$  *dominates*  $\mathbf{C}$ .

Since the unique (up to isomorphism)  $\mathbf{A}$ -ideal is  $\mathbf{A}$  itself, we proceed by induction: supposing that all over-rings of  $\mathbf{A}_m$  are known, we find all over-rings of  $\mathbf{A}_{m+1}$ . If  $\mathbf{C}$  is an over-ring of  $\mathbf{A}_{m+1}$ , then  $\mathbf{B} = \mathbf{C}\mathbf{A}_m$  is an over-ring of  $\mathbf{A}_m$ ,  $t\mathbf{B} \subset \mathbf{C}$  and  $\mathbf{C}/t\mathbf{B}$  is a  $\mathbf{k}$ -subalgebra in  $\mathbf{B}/t\mathbf{B}$ . If  $\mathbf{B} \supseteq \mathbf{A}_{m-1}$ , then  $t\mathbf{B} \supseteq \mathbf{J}_m$ , hence,  $\mathbf{C} \supseteq \mathbf{J}_m + \mathbf{D} = \mathbf{A}_m$ . Therefore, the following procedure gives all over-rings of  $\mathbf{A}_{m+1}$  which are not over-rings of  $\mathbf{A}_m$ :

**Procedure.**

- For every over-ring  $\mathbf{B}$  of  $\mathbf{A}_m$ , which is not an over-ring of  $\mathbf{A}_{m-1}$ , calculate  $\bar{\mathbf{B}} = \mathbf{B}/t\mathbf{B}$ . Set  $\bar{\mathbf{A}} = (\mathbf{A}_m + t\mathbf{B})/t\mathbf{B} \subseteq \bar{\mathbf{B}}$ .
- Find all proper subalgebras  $\mathbf{S} \subset \bar{\mathbf{B}}$  such that  $\bar{\mathbf{A}}\mathbf{S} = \bar{\mathbf{B}}$ . Equivalently, the natural map  $\mathbf{S} \rightarrow \mathbf{B}/\mathbf{B}\mathbf{J}_m$  must be surjective.
- For each such  $\mathbf{S}$  take its preimage in  $\mathbf{B}$ .

## 2. CALCULATIONS

2.1. **One branch, ramified case.** We set

$$\begin{aligned} \mathbf{C}_{2r}(\alpha) &= \mathbf{D} + t^r\alpha\mathbf{D} + t^{2r}\mathbf{A}, \text{ where } v(\alpha) = 1, \\ \mathbf{C}_{2r+1}(\alpha) &= \mathbf{D} + t^r\alpha\mathbf{D} + t^{2r+1}\mathbf{A}, \text{ where } v(\alpha) = 2, \end{aligned}$$

where  $v$  is the discrete valuation related to the ring  $\mathbf{A}$ , i.e.  $v(\alpha) = k$  means that  $\alpha \in \tau^k\mathbf{D} \setminus \tau^{k+1}\mathbf{D}$ . Note that  $\mathbf{C}_0(\alpha) = \mathbf{A}$ . Obviously,  $\alpha$  can be uniquely chosen as  $\tau + a\tau^2$  for  $\mathbf{C}_{2r}$  and as  $\tau^2 + at\tau$  for  $\mathbf{C}_{2r+1}$ , where  $a \in \mathbf{D}$  is defined modulo  $t^r$ .

**Theorem 2.1.** *Every over-ring of  $\mathbf{A}_m$  coincides with  $t^k\mathbf{C}_r(\alpha) + \mathbf{D}$  for some  $k, r$  such that  $r + k \leq m$  and some  $\alpha$ . The rings  $\mathbf{C}_r(\alpha)$  are just all plane curve singularities in this case.*

*Proof.* For  $m = 1$  it is easy and known [8, 11]. So, we use the Procedure for  $m > 1$ , setting  $\mathbf{B} = t^k\mathbf{C}_r(\alpha) + \mathbf{D}$ , where  $k + r = m$ . Then the basis of  $\bar{\mathbf{B}}$  consists of the classes of the elements  $\{1, t^h\alpha, t^m\tau^s\}$ , where  $h = k + [r/2]$ ,  $s \in \{1, 2\}$  and  $s \equiv r \pmod{2}$ . Since  $t^h\alpha \notin \mathbf{J}_m$ , the subalgebra  $\mathbf{S}$  necessarily contains the class of  $t^h\alpha + ct^m\tau^s$  for some  $c \in \mathbf{D}$ . If  $k = 0$ , then  $m = r$  and  $v(t^m\tau^s) = 2v(t^h\alpha)$ . Therefore,  $\bar{\mathbf{B}}$  has no proper subalgebra containing the class of  $t^h\alpha + ct^m\tau^s$ . If  $k > 0$ , the preimage of  $\mathbf{S}$  is  $\mathbf{D} + (t^h\alpha + ct^m\tau^s)\mathbf{D} + t^{m+1}\mathbf{A}$ . It coincides with  $t^{k-1}\mathbf{C}_{r+2}(\alpha') + \mathbf{D}$  where  $\alpha' = \alpha + ct^{m-h}\tau^s$ .

Now one easily checks that  $\text{edim } \mathbf{C}_r(\alpha) = 2$ , while  $\text{edim } \mathbf{C} = 3$  for all other rings. □

**2.2. One branch, non-ramified case.** We set  $\mathbf{C}_r(\alpha) = \mathbf{D} + t^r \alpha \mathbf{D} + t^{2r} \mathbf{A}_0$ , where  $\alpha \in \mathbf{A}^\times \setminus \mathbf{D}$ . Again  $\mathbf{C}_0(\alpha) = \mathbf{A}_0$ . Note that  $\alpha$  can be uniquely chosen as  $\theta + a\theta^2$ , where  $\theta$  is a fixed preimage of  $\bar{\theta}$  in  $\mathbf{D}_1$  and  $a \in \mathbf{D}$  is defined modulo  $t^r$ .

**Theorem 2.2.** *Every over-ring of  $\mathbf{A}_m$  coincides with  $t^k \mathbf{C}_r(\alpha) + \mathbf{D}$  for some  $k, r$  and  $\alpha$  with  $2r + k \leq m$ . The rings  $\mathbf{C}_r(\alpha)$  are just all plane curve singularities in this case.*

*Proof.* For  $m = 1$  it is obvious. So, using the Procedure for  $m > 1$ , we set  $\mathbf{B} = t^k \mathbf{C}_r(\alpha) + \mathbf{D}$  with  $2r + k = m$ . Then a basis of  $\bar{\mathbf{B}}$  consists of the classes of elements  $\{1, t^{r+k} \alpha, t^m \alpha^2\}$  for some  $\alpha^2 \in \mathbf{A}^\times \setminus (\mathbf{D} + \alpha \mathbf{D})$ . Since  $t^{r+k} \alpha \notin \mathbf{J}_m$ ,  $\mathbf{S}$  must contain the class of an element  $t^{r+k} \alpha' = t^{r+k} \alpha + ct^m \alpha^2$  for some  $c \in \mathbf{D}$ . As above, it is impossible if  $k = 0$ . If  $k > 0$ , then the preimage of  $\mathbf{S}$  is  $\mathbf{D} + t^{r+k} \alpha' + t^{m+1} \mathbf{A} = t^{k-1} \mathbf{C}_{r+1}(\alpha') + \mathbf{D}$ .

Now one easily checks that  $\text{edim } \mathbf{C}_r(\alpha) = 2$ , while  $\text{edim } \mathbf{C} = 3$  for all other rings.  $\square$

**2.3. Two branches, ramified case.** We denote by  $v$  the valuation defined by the ring  $\mathbf{D}_1$ , by  $e$  the idempotent in  $\mathbf{A}$  such that  $e\mathbf{A} = \mathbf{D}_1$  and set

$$\begin{aligned} \mathbf{C}_{l,q}(\alpha) &= \mathbf{D} + t^l (e + t^q \alpha) \mathbf{D} + t^r \mathbf{A}, \text{ where } r = 2l + q, \\ \mathbf{C}_r(\alpha) &= \mathbf{D} + t^r \alpha \mathbf{D} + t^{2r+1} \mathbf{A}. \end{aligned}$$

In both cases  $\alpha \in \mathbf{D}_1$  and  $v(\alpha) = 1$ , where  $v$  is the valuation defined by the ring  $\mathbf{D}_1$ . Obviously,  $\alpha$  can be uniquely chosen as  $a\tau$ , where  $a \in \mathbf{D}$  is defined modulo  $r$ . Note that  $\mathbf{C}_{0,q}(\alpha) = \mathbf{D} + e_1 \mathbf{D} + t^q \mathbf{A}$  are just all decomposable rings in this case and  $\mathbf{C}_{0,0}(\alpha) = \mathbf{A}$ .

**Theorem 2.3.** *Every over-ring of  $\mathbf{A}_m$  coincides with either  $t^k \mathbf{C}_{l,r}(\alpha) + \mathbf{D}$  or  $t^k \mathbf{C}_r(\alpha) + \mathbf{D}$ , where  $k + r \leq m$ . The rings  $\mathbf{C}_{l,q}(\alpha)$  and  $\mathbf{C}_r(\alpha)$  are just all plane curve singularities in this case.*

*Proof.* The case  $m = 1$  is obvious. So, using the Procedure, we suppose that  $m > 1$  and  $k + r = m$ . If  $\mathbf{B} = t^k \mathbf{C}_{l,q}(\alpha) + \mathbf{D}$ , a basis of  $\bar{\mathbf{B}}$  consists of the classes of  $\{1, t^{k+l} (e + t^q \alpha), t^m \tau\}$ . Since  $t^{k+l} (e + t^q \alpha) \notin \mathbf{J}_m$ , the subalgebra  $\mathbf{S}$  must contain the class of  $t^{k+l} (e + t^q \alpha')$  for some  $\alpha' \in \mathbf{D}_1$  with  $v(\alpha') = 1$ . Again the case  $k = 0$  is impossible. If  $k > 0$ , the preimage of  $\mathbf{S}$  coincides with  $t^{k-1} \mathbf{C}_{l+1,q} + \mathbf{D}$ . If  $\mathbf{B} = t^k \mathbf{C}_r(\alpha) + \mathbf{D}$ , the calculations are quite similar.

Now one easily checks that  $\text{edim } \mathbf{C}_{l,q}(\alpha) = \text{edim } \mathbf{C}_r(\alpha) = 2$ , while  $\text{edim } \mathbf{C} = 3$  for all other rings.  $\square$

**2.4. Two branches, non-ramified case.** We set

$$\begin{aligned} \mathbf{C}_{l,q}(\alpha) &= \mathbf{D} + t^l (e_1 + t^q \alpha) \mathbf{D} + t^r \mathbf{A}, \text{ where } r = 2l + q \\ &\text{and } \alpha \in \mathbf{D}_1 \setminus (e_1 \mathbf{D} + t \mathbf{D}). \end{aligned}$$

Then  $\alpha$  can be chosen as  $a\theta$ , where  $\theta$  is a fixed preimage of  $\bar{\theta}$  in  $\mathbf{D}_1$  and  $a \in \mathbf{D}$  is uniquely defined modulo  $t^l$ . Again  $\mathbf{C}_{0,q}(\alpha) = \mathbf{D} + e_1\mathbf{D} + t^q\mathbf{A}$  are just all decomposable rings in this case. Especially,  $\mathbf{C}_{0,0}(\alpha) = \mathbf{A}$ .

**Theorem 2.4.** *Every over-ring of  $\mathbf{A}_m$  coincides with one of the rings  $t^k\mathbf{C}_{l,q}(\alpha) + \mathbf{D}$ , where  $k + r \leq m$ . The rings  $\mathbf{C}_{l,q}(\alpha)$  are just all plane curve singularities in this case.*

We omit the proof in this case, since it practically repeats the calculations in the other cases.

**2.5. Three branches case.** We set

$$\mathbf{C}_{l,q}(\alpha) = \mathbf{D} + t^l\alpha\mathbf{D} + t^r\mathbf{A},$$

where  $\alpha = e + t^qae'$ ,  $e \neq e'$  are primitive idempotent in  $\mathbf{A}$ ,  $r = 2l + q$ ,  $a \in \mathbf{D}^\times$  and  $a \not\equiv 1 \pmod{t}$  if  $q = 0$ . Obviously,  $a$  is unique modulo  $t^l$ . Again  $\mathbf{C}_{0,q}(\alpha) = \mathbf{D} + e\mathbf{D} + t^q\mathbf{A}$  are just all decomposable rings in this case and  $\mathbf{C}_{0,0} = \mathbf{A}$ . Note also that if  $\mathbf{C} = \mathbf{D} + t^l\alpha\mathbf{D} + t^r\mathbf{A}$ , where  $\alpha = e + ae'$  as above with  $a \equiv 1 \pmod{t}$ , then, for  $a \equiv 1 \pmod{t^l}$ ,  $\mathbf{C} = t^l\mathbf{C}_{0,q}(1 - e - e') + \mathbf{D}$ , and for  $a \equiv 1 \pmod{t^q}$  with  $0 < q < l$ ,  $\mathbf{C} = \mathbf{C}_{l,q}(\alpha')$  for some  $\alpha'$ .

**Theorem 2.5.** *Every over-ring of  $\mathbf{A}_m$  coincides with  $t^k\mathbf{C}_{l,q}(\alpha) + \mathbf{D}$  for some  $\alpha$  and some  $l, q$  with  $k + r \leq m$ . The rings  $\mathbf{C}_{l,q}(\alpha)$  are just all plane curve singularities in this case.*

We also omit the proof in this case, since it practically repeats the calculations in the other cases.

**2.6. Table of plane curve cubic singularities.** We present in Table 1 below all plane curve cubic singularities. In this table  $s$  is the number of branches, \* marks the unramified cases (related to the residue field extensions, hence impossible if  $\mathbf{k}$  is algebraically closed);  $x, y$  are generators of the maximal ideal,  $v(a)$  denotes the *multivaluation* of an element  $a \in \mathbf{A}$ , i.e. the vector of valuations of its components with respect to the decomposition of  $\mathbf{A}$  into the product of discrete valuation rings. The column “type” shows the correspondence with the Arnold’s classification [1, §15]. If  $\text{char } \mathbf{k} = 0$  and  $\mathbf{A}$  is ramified, it actually shows the place of the rings in this classification. If  $\text{char } \mathbf{k} = 0$  and  $\mathbf{C}$  is non-ramified, it shows the place of the ring in this classification after the natural extension of the field  $\mathbf{k}$ . The validation of this column is given in [7, Section 2.3]. Note that, following [7], we denote by  $E_{l,q}$  the singularities  $J_{l,q}$  in the sense of [1]. Such notations seem more uniform. Note also that the singularities of types  $E_1$  and  $E_2$  are actually not cubic, but quadratic, and coincide with those of types  $A_1$  and  $A_2$  of [1]. Finally, the last column, “par” shows the number of parameters  $p$  from the residue field  $\mathbf{k}$  which define a unique ring of this type. We will consider this value in the last section. It does not coincide with the *modality* in the sense of [1]; the latter equals  $p - 1$ .

TABLE 1.

$s$	name	$v(x)$	$v(y)$	type	par
1	$\mathbf{C}_{2r}(\alpha)$	(3)	$(3r + 1)$	$E_{6r}$	$r$
	$\mathbf{C}_{2r+1}(\alpha)$	(3)	$(3r + 2)$	$E_{6r+2}$	$r$
$1^*$	$\mathbf{C}_r(\alpha)$	(1)	$(r)$	$E_{r,0}^*$	$r$
2	$\mathbf{C}_r(\alpha)$	(2, 1)	$(2r + 1, \infty)$	$E_{6r+1}$	$r$
	$\mathbf{C}_{l,q}(\alpha)$	(2, 1)	$(2l, \infty)$	$E_{l,2q+1}$	$l$
$2^*$	$\mathbf{C}_{l,q}(\alpha)$	(1, 1)	$(l, \infty)$	$E_{l,2q}^*$	$l$
3	$\mathbf{C}_{l,q}(\alpha)$	(1, 1, 1)	$(l, l + q, \infty)$	$E_{l,2q}$	$l$

*Remark.* The *tame* cubic plane curve singularities  $T_{3,q}$  ( $q \geq 6$ ) [4, 5] coincide with those of types  $E_{2,q-6}$ .

### 3. IDEALS

As we have mentioned above, every ideal of a cubic ring  $\mathbf{C}$  is isomorphic either to an over-ring  $\mathbf{B} \supseteq \mathbf{C}$  or to its dual  $\mathbf{B}^* = \text{Hom}_{\mathbf{D}}(\mathbf{B}, \mathbf{D})$ . If  $\mathbf{C}$  is *Gorenstein* (for instance, if it is a plane cubic singularity), then  $\mathbf{C}^* \simeq \mathbf{C}$ , thus  $\mathbf{B}^* \simeq \text{Hom}_{\mathbf{C}}(\mathbf{B}, \mathbf{C})$ . Therefore, to calculate  $\mathbf{B}^*$ , one has to choose a Gorenstein subring  $\mathbf{C} \subseteq \mathbf{B}$  and to calculate

$$\text{Hom}_{\mathbf{C}}(\mathbf{B}, \mathbf{C}) \simeq \{\lambda \in \mathbf{L} \mid \lambda \mathbf{B} \subseteq \mathbf{C}\} = \{\lambda \in \mathbf{C} \mid \lambda \mathbf{B} \subseteq \mathbf{C}\}$$

(the latter equality holds since  $1 \in \mathbf{B}$ ). This remark easily leads to the following result.

**Theorem 3.1.** *The duals to the cubic rings are as follows:*

**One branch ramified case:** If  $\mathbf{B} = \mathbf{D} + t^k \mathbf{C}_r(\alpha)$ , then  $\mathbf{B}^* \simeq \mathbf{D} + t^{\lceil r/2 \rceil} \alpha \mathbf{D} + t^{k+r} \mathbf{A}$ .

**One branch non-ramified case:** If  $\mathbf{B} = \mathbf{D} + t^k \mathbf{C}_r(\alpha)$ , then  $\mathbf{B}^* \simeq \mathbf{D} + t^r \alpha \mathbf{D} + t^{k+2r} \mathbf{A}$ .

**Two branches ramified case:** (1) If  $\mathbf{B} = \mathbf{D} + t^k \mathbf{C}_{l,q}(\alpha)$ , then  $\mathbf{B}^* \simeq \mathbf{D} + t^l (e + t^q \alpha) \mathbf{D} + t^{k+2l+q} \mathbf{A}$ .

(2) If  $\mathbf{B} = \mathbf{D} + t^k \mathbf{C}_r(\alpha)$ , then  $\mathbf{B}^* \simeq \mathbf{D} + t^r \alpha \mathbf{D} + t^{k+2r+1} \mathbf{A}$ .

**Two branches non-ramified case:** If  $\mathbf{B} = \mathbf{D} + t^k \mathbf{C}_{l,q}(\alpha)$ , then  $\mathbf{B}^* \simeq \mathbf{D} + t^l(e + t^q \alpha) \mathbf{D} + t^{k+2l+q} \mathbf{A}$ .

**Three branches case:** If  $\mathbf{B} = \mathbf{D} + t^k \mathbf{C}_{l,q}(\alpha)$ , then  $\mathbf{B}^* \simeq \mathbf{D} + t^l \alpha \mathbf{D} + t^{k+2l+q} \mathbf{A}$ .

*Proof.* The proof is immediate if we choose for a Gorenstein subring  $\mathbf{C} \subseteq \mathbf{B}$  the plane curve singularity  $\mathbf{C} = \mathbf{C}_{k+r}(\alpha)$  or  $\mathbf{C}_{k+l,q}(\alpha)$  depending on the shape of  $\mathbf{B}$ . For instance, in two branches ramified case, if  $\mathbf{B} = \mathbf{D} + t^k \mathbf{C}_{l,q}(\alpha)$  and  $\mathbf{C} = \mathbf{D} + \mathbf{C}_{l+k,q}(\alpha)$ , then

$$\begin{aligned} \mathbf{B}^* &\simeq \{\lambda \in \mathbf{C} \mid \lambda \mathbf{B} \subseteq \mathbf{C}\} = t^k \mathbf{D} + t^{k+l}(e + t^q \alpha) \mathbf{D} + t^{2k+2l+q} \mathbf{A} \\ &\simeq \mathbf{D} + t^l(e + t^q \alpha) \mathbf{D} + t^{k+2l+q} \mathbf{A}. \quad \square \end{aligned}$$

**Corollary 3.2.** *If a cubic ring is Gorenstein, it is a plane curve singularity.*

Note that it is no more the case for the extensions of bigger degrees. For instance, the rings  $P_{pq}$  from [5], which are quartic, are Gorenstein (they are complete intersections) but of embedding dimension 3.

#### 4. GEOMETRIC CASE. NUMBER OF PARAMETERS

In this section we suppose that our rings are of *geometric nature*, i.e.  $\mathbf{D} = \mathbf{k}[[t]]$ , where  $\mathbf{k}$  is algebraically closed. Then one can consider the *number of parameters*  $\text{par}(\mathbf{C})$  defining  $\mathbf{C}$ -ideals (see [4, Section 2.2] or [6, Section 3], where it is denoted by  $\text{par}(1; \mathbf{C}, \mathbf{A})$ ). Actually, it coincides with the minimal possible number  $p$  for which there is a finite set of *families of ideals*  $\mathcal{I}_k$  ( $1 \leq k \leq m$ ) of dimensions at most  $p$  such that every  $\mathbf{C}$ -ideal is isomorphic to one belonging to some family  $\mathcal{I}_k$ . Equivalently, it is the maximal possible  $p$  such that is a  $p$ -dimensional family of ideals  $\mathcal{I}$  where every isomorphism class of ideals only occurs finitely many times. In [7] a criterion was established in order that  $\text{par}(\mathbf{C}) \leq 1$ . For cubic rings it means that  $\mathbf{C}$  dominates a singularity of type  $E_m$  ( $18 \leq m \leq 20$ ) or  $E_{3,i}$ . The following results give the exact value of  $\text{par}(\mathbf{C})$  for all cubic rings of geometric nature. (Note that no unramified case can occur for such rings.)

**Theorem 4.1.** *If  $\mathbf{C}$  is a cubic ring of geometric nature,  $\text{par}(\mathbf{C}) \leq n$  if and only if  $\mathbf{C}$  dominates one of the singularities of type  $E_{12n+i}$  ( $6 \leq i \leq 8$ ) or  $E_{2n+1,q}$  ( $q \geq 0$ ).*

*Proof.* Certainly, we have to prove that

- (1) every ring of one of the listed types have at most  $n$ -parameter families of ideals;
- (2) if  $\mathbf{C}$  dominates no ring of the listed types, it has  $(n + 1)$ -parameter families of ideals.

Since the calculations in all cases are similar, we only consider the one branch ramified case. Note first that the rings  $\mathbf{C}_{2r}(\alpha)$  as well as

$\mathbf{C}_{2r+1}(\alpha)$  form a  $r$ -parametric family. Indeed, we can choose in the first case  $\alpha = \tau + a\tau^2$ , and in the second one  $\alpha = \tau^2 + a\tau^4$ , where  $a \in \mathbf{D}$  is defined modulo  $t^r$ , and such a presentation is unique. The same is true also for  $t^k\mathbf{C}_{2r}(\alpha) + \mathbf{D}$  and  $t^k\mathbf{C}_{2r+1}(\alpha) + \mathbf{D}$  for any  $k$ . Since  $\mathbf{C}_{2r}(\alpha) \supseteq \mathbf{A}_{2r}$  for all  $\alpha$ , we get  $\text{par}(\mathbf{A}_{2r}) \geq r$ .

Let  $\mathbf{C}$  dominate neither a ring of type  $E_{12n+6}$ , i.e.  $\mathbf{C}_{4n+2}(\alpha)$ , nor a ring of type  $E_{12n+8}$ , i.e.  $\mathbf{C}_{4n+3}(\alpha)$ . Then it contains no element of valuation smaller than  $6n + 6$ , so  $\mathbf{C} \subseteq \mathbf{A}_{2n+2}$ . Hence,  $\text{par}(\mathbf{C}) \geq n + 1$ .

On the other hand, consider the ring  $\mathbf{C}_{2r+q}(\alpha)$ , where  $q \in \{0, 1\}$ . Its over-rings are of the kind  $\mathbf{D} + t^k\mathbf{C}_{2m+q}(\beta)$ , where  $k + m \leq r$  and  $k + 2m \leq 2r$ . Moreover, let  $\alpha = \tau^{q+1} + a\tau^{2q+2}$  and  $\beta = \tau^{q+1} + b\tau^{2q+2}$ . Then  $b$  is defined modulo  $t^m$  and  $b \equiv a \pmod{t^{r-m-k}}$ . Therefore, the over-rings with the fixed  $m, k$  form a  $p$ -parameter family, where  $p = \min(m, r - m - k)$ . Hence,  $2p \leq r$  and  $p \leq [r/2]$ . If we set  $r = 2n + 1$ , we get that  $\text{par}(\mathbf{C}_{4n+2}(\alpha)) \leq n$  and  $\text{par}(\mathbf{C}_{4n+3}(\alpha)) \leq n$  for all possible  $\alpha$ . It accomplishes the proof.  $\square$

Obvious considerations give the number of parameters for special rings.

#### Corollary 4.2.

$$\begin{aligned}\text{par}(\mathbf{C}_r(\alpha)) &= [r/2], \\ \text{par}(\mathbf{C}_{l,q}(\alpha)) &= [l/2], \\ \text{par}(\mathbf{A}_m) &= [m/2].\end{aligned}$$

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