

# A Poincaré Formula for Differential Forms and Applications

Nicolas GINOUX <sup>a</sup>, Georges HABIB <sup>ab</sup> and Simon RAULOT <sup>c</sup>

<sup>a)</sup> *Université de Lorraine, CNRS, IECL, F-57000 Metz, France*

E-mail: [nicolas.ginoux@univ-lorraine.fr](mailto:nicolas.ginoux@univ-lorraine.fr)

URL: <https://nicolas-ginoux.perso.math.cnrs.fr/>

<sup>b)</sup> *Lebanese University, Faculty of Sciences II, Department of Mathematics, P.O. Box 90656 Fanar-Matn, Lebanon*

E-mail: [ghabib@ul.edu.lb](mailto:ghabib@ul.edu.lb)

URL: <https://iecl.univ-lorraine.fr/membre-iecl/habib-georges/>

<sup>c)</sup> *Université de Rouen Normandie, CNRS, Normandie Univ, LMRS UMR 6085, F-76000 Rouen, France*

E-mail: [simon.raulot@univ-rouen.fr](mailto:simon.raulot@univ-rouen.fr)

URL: <https://lmrs.univ-rouen.fr/persopage/simon-raulot>

Received July 19, 2023, in final form October 26, 2023; Published online November 08, 2023

<https://doi.org/10.3842/SIGMA.2023.088>

**Abstract.** We prove a new general Poincaré-type inequality for differential forms on compact Riemannian manifolds with nonempty boundary. When the boundary is isometrically immersed in Euclidean space, we derive a new inequality involving mean and scalar curvatures of the boundary only and characterize its limiting case in codimension one. A new Ros-type inequality for differential forms is also derived assuming the existence of a nonzero parallel form on the manifold.

*Key words:* manifolds with boundary; boundary value problems; Hodge Laplace operator; rigidity results

*2020 Mathematics Subject Classification:* 53C21; 53C24; 58J32; 58J50

*Dedicated to Christian Bär for his sixtieth birthday*

## 1 Introduction

This article can be seen as a step in understanding the general effect of the curvature operator of the interior of a manifold with boundary on the topology and the geometry of its boundary. To motivate our precise setting, let us recall some previous works in this area. In [18], inspired by questions arising in general relativity such as the positivity of the Brown–York quasi-local mass [2], Shi and Tam shed light on how the scalar curvature affects the total mean curvature of the boundary. Namely, if  $(M^{n+1}, g)$  is a compact Riemannian spin manifold – for basics on spinors and Dirac operators, see, e.g., [1, 5, 8, 10] – with nonnegative scalar curvature such that its boundary can be isometrically embedded into the Euclidean space as a strictly convex hypersurface, then the total mean curvature of the boundary cannot be greater than the one of the Euclidean embedding. More precisely, if  $H$  (resp.  $H_0$ ) denotes the mean curvature of the

---

This paper is a contribution to the Special Issue on Global Analysis on Manifolds in honor of Christian Bär for his 60th birthday. The full collection is available at <https://www.emis.de/journals/SIGMA/Baer.html>

boundary  $\partial M$  in  $M$  (resp. in the Euclidean space) then

$$\int_{\partial M} H \, d\mu_g \leq \int_{\partial M} H_0 \, d\mu_g, \quad (1.1)$$

and the equality is attained if and only if the manifold  $M$  is isometric to a domain in the Euclidean space. This result has a lot of deep and important consequences both in mathematics and physics. In particular, although its proof relies on the positive mass theorem (PMT), it is shown to be actually equivalent to this famous result of mathematical general relativity.

In the same spirit and with an alternative method, Hijazi and Montiel [9] showed that an inequality similar to (1.1) can be deduced from a general integral inequality which holds for any spinor field defined on  $\partial M$ . Such inequalities will be referred to as *Poincaré type inequalities* in the following. More precisely, they proved that if  $(M^{n+1}, g)$  is a compact Riemannian spin manifold with mean convex smooth boundary  $\partial M$  (endowed with the induced Riemannian and spin structures) and if  $D$  denotes the Dirac operator acting on the spinor bundle  $\Sigma\partial M$  over  $\partial M$ , then

$$\frac{n^2}{4} \int_{\partial M} H |\varphi|^2 \, d\mu_g \leq \int_{\partial M} \frac{|D\varphi|^2}{H} \, d\mu_g \quad (1.2)$$

for all  $\varphi \in \Gamma(\Sigma\partial M)$ . When the boundary  $\partial M$  can be isometrically immersed into another Riemannian spin manifold carrying a parallel spinor  $\varphi$  with mean curvature  $H_0$ , the restriction of such a spinor field to  $\partial M$  provides a solution to the Dirac equation

$$D\varphi = \frac{n}{2} H_0 \varphi \quad \text{and} \quad |\varphi| = 1.$$

Using this spinor field in the inequality (1.2) yields

$$\int_{\partial M} H \, d\mu_g \leq \int_{\partial M} \frac{H_0^2}{H} \, d\mu_g \quad (1.3)$$

and equality is characterized by both immersions having the same shape operators. Even if this inequality is a straightforward consequence of (1.1) and the Cauchy–Schwarz inequality, it has interesting counterparts. First, it holds under less stringent conditions since it only requires mean convexity while strict convexity is needed in the Shi–Tam inequality. Moreover, the assumption of existence of an isometric immersion in the Euclidean space is relaxed to allow more general ambient spaces, which include, among others, Calabi–Yau and hyperkähler manifolds. A further interesting property is that, although the proof of the inequality (1.3) does not use the PMT, it still implies this result, at least in the case  $n = 2$ .

Motivated by those results, Miao and Wang [13] considered the same geometric set-up as before but without assuming the spin condition. They showed that inequalities similar to (1.3) could be proved by requiring a lower bound, say  $K$ , on the Ricci curvature of the Riemannian manifold  $(M^{n+1}, g)$  instead of the scalar curvature. The condition on the Ricci curvature comes naturally in this context and, in a first step, a Poincaré type inequality [13, Theorem 2.1] can be established via the Reilly formula and which reads as

$$\int_{\partial M} \langle S\nabla f, \nabla f \rangle \, d\mu_g \leq \int_{\partial M} \frac{1}{H} (\Delta f - tf)^2 \, d\mu_g \quad (1.4)$$

for any smooth function  $f$  on  $\partial M$ , any constant  $t \leq \frac{1}{2}K$ , and where  $\Delta$  is the Laplace operator acting on functions on  $M$  and  $S$  is the second fundamental form of  $\partial M$  in  $M$ . Assuming furthermore the existence of an isometric immersion  $X: \partial M \rightarrow \mathbb{R}^m$  of  $\partial M$  into some Euclidean space  $\mathbb{R}^m$  with  $m \geq n + 1$  and using the components  $x_j$  of this immersion in (1.4) for all  $j = 1, \dots, m$ , Miao and Wang deduced that

$$\int_{\partial M} H \, d\mu_g \leq \int_{\partial M} \frac{|\vec{H}_0|^2}{H} \, d\mu_g,$$

where  $\vec{H}_0$  is the mean curvature vector of the immersion  $X$ . Note that the existence of such an immersion is guaranteed by the Nash embedding theorem. As a corollary of that inequality, Miao and Wang obtain rigidity results for manifolds with boundary and Ricci curvature bounded from below. It is also important to note that the inequality (1.4) has a natural physical interpretation since, as noticed in [11, 12], it appears in the second variation of the Wang–Yau quasi-local mass [19].

In the present article, we generalize (1.4) to differential forms of arbitrary degree, assuming suitable but very general curvature conditions on the interior as well as on the boundary of the manifold, see inequality (3.1) in Theorem 3.1 as well as Theorem 3.5. The special case, where (3.1) is an equality turns out to be very rigid, imposing restrictions on the differential form and the geometry of the underlying manifold. In view of the Shi–Tam inequality, we look at the particular case where the boundary is isometrically immersed in Euclidean space and are able to both simplify the inequality and deduce a rigidity result extending Miao and Wang’s one in case the boundary is one-codimensional in some affine subspace, see Theorem 3.3. When the boundary can be immersed in a round sphere, the corresponding inequality turns out to be strict, see Theorem 3.6. In a next step, we adapt to the differential-form-framework the celebrated Ros inequality [15, Theorem 1] involving the integral of the inverse of the mean curvature over the boundary. Assuming the existence of a nonzero parallel form on the manifold, a new inequality relating the integral of the inverse of some  $\sigma_p$ -curvature on the boundary with the volume of the manifold can be deduced from the so-called Reilly formula, see Theorem 4.1.

The article is structured as follows. After preliminaries about basic formulae and notations in Section 2, the main Poincaré-type inequality (3.1) is presented and proved in Section 3. When the boundary can be isometrically immersed in Euclidean space, the inequality can be simplified as we mentioned above, while the case where the interior curvature condition is relaxed is presented in Theorem 3.5. In Section 4, a differential-form-version of the Ros inequality is established.

## 2 Preliminaries and notations

In this section, we briefly introduce the geometric setting and fix the notations of this paper.

Let  $(M^{n+1}, g)$  be a compact oriented  $(n+1)$ -dimensional Riemannian manifold with smooth nonempty boundary  $\partial M$  and let  $\iota: \partial M \rightarrow M$  be the inclusion map. Let  $d\mu_g$  be the Riemannian measure induced by  $g$  on both  $M$  and  $\partial M$ . In the following, any metric – being  $g$  on  $TM$  or any metric induced by  $g$  on further bundles – will be denoted by  $\langle \cdot, \cdot \rangle$ , with associated pointwise norm  $|\cdot|$ . Let  $\nu$  denote the inward unit normal along  $\partial M$  and  $S := -\nabla\nu$  be the associated Weingarten endomorphism-field, where  $\nabla$  denotes the Levi-Civita connection on  $TM$ . Let  $H := \frac{1}{n} \text{tr}(S)$  denote the mean curvature of  $\partial M$  in  $M$ . For any integer  $p \in \{0, \dots, n+1\}$ , let  $\Omega^p(M) := \Gamma(\Lambda^p T^*M)$  be the space of differential forms of degree  $p$  on  $M$ , that is the space of sections of the exterior bundle  $\Lambda^p T^*M \rightarrow M$ . Let  $\star: \Lambda^p T^*M \rightarrow \Lambda^{n+1-p} T^*M$  denote the pointwise Hodge star operator. For any  $(1,1)$ -tensor  $A$  and  $p$ -form  $\omega$ , let  $A^{[p]}\omega$  be the  $p$ -form that is pointwise defined by the following identity: for all tangent vectors  $X_1, \dots, X_p$ ,

$$(A^{[p]}\omega)(X_1, \dots, X_p) := \sum_{j=1}^p \omega(X_1, \dots, AX_j, \dots, X_p).$$

In the particular case, where  $A = S$ , we denote for each  $k \in \{1, \dots, n\}$  by  $\sigma_k$  the pointwise  $k$ -curvature of  $\partial M$ , that is the sum of the  $k$  smallest principal curvatures (i.e., the eigenvalues of  $S$ ) of  $\partial M$ . Note that, since the eigenvalues of  $S$  are the sums of exactly  $p$  among the  $n$  principal curvatures,

$$\langle S^{[p]}\omega, \omega \rangle \geq \sigma_p |\omega|^2$$

for any  $\omega \in \Lambda^p T^* \partial M$ , with equality if and only if  $S^{[p]} \omega = \sigma_p \omega$ . Moreover, for all  $1 \leq p \leq q \leq n$ , we have  $\frac{\sigma_p}{p} \leq \frac{\sigma_q}{q}$ , with equality when  $p < q$  if and only if the  $q$  smallest principal curvatures are equal.

Let  $d$  (resp.  $\delta$ ) denote the exterior derivative (resp. codifferential) on  $p$ -forms and  $\nabla$  be the covariant derivative induced by  $\nabla$  on  $\Lambda^p T^* M$ . Recall the so-called *Reilly formula* [14, Theorem 3] for differential  $p$ -forms with  $p \geq 1$ : for any  $\omega \in \Omega^p(M)$

$$\begin{aligned} & \int_M (|\mathrm{d}\omega|^2 + |\delta\omega|^2 - |\nabla\omega|^2 - \langle W^{[p]}\omega, \omega \rangle) \mathrm{d}\mu_g \\ &= \int_{\partial M} (2\langle \nu \lrcorner \omega, \delta^{\partial M}(\iota^* \omega) \rangle + \langle S^{[p]}\iota^* \omega, \iota^* \omega \rangle + \langle S^{[n+1-p]}\iota^*(\star\omega), \iota^*(\star\omega) \rangle) \mathrm{d}\mu_g, \end{aligned} \quad (2.1)$$

where  $\delta^{\partial M}$  denotes the codifferential on  $\partial M$  and  $W^{[p]}$  the curvature term involved in the Weitzenböck formula for  $p$  forms: denoting by  $\Delta := d\delta + \delta d$  the Hodge Laplace operator on  $p$ -forms,

$$\Delta\omega = \nabla^* \nabla \omega + W^{[p]}\omega$$

for any  $p$ -form  $\omega$ . By convention, we let  $W^{[p]} = 0$  and  $S^{[p]} = 0$  for all  $p \leq 0$ . Note that [14, Theorem 3]

$$\langle S^{[n+1-p]}\iota^*(\star\omega), \iota^*(\star\omega) \rangle = nH|\nu \lrcorner \omega|^2 - \langle S^{[p-1]}(\nu \lrcorner \omega), \nu \lrcorner \omega \rangle$$

for any  $p$ -form  $\omega$ .

### 3 A Poincaré-type inequality for $p$ -forms

We first prove a generalized version of the integral inequality for functions obtained by Miao and Wang in [13, equation (1.3)].

**Theorem 3.1.** *Let  $(M^{n+1}, g)$  be any compact oriented  $(n+1)$ -dimensional Riemannian manifold with smooth nonempty boundary  $\partial M$ . Fix  $p \in \{1, \dots, n\}$  and assume that  $W^{[p]} \geq 0$  on  $M$  as well as  $\sigma_{n+1-p} > 0$  along  $\partial M$ . Then, for any exact  $p$ -form  $\omega$  on  $\partial M$ , we have*

$$\int_{\partial M} \frac{|\delta^{\partial M} \omega|^2}{\sigma_{n+1-p}} \mathrm{d}\mu_g \geq \int_{\partial M} \langle S^{[p]}\omega, \omega \rangle \mathrm{d}\mu_g. \quad (3.1)$$

Moreover, if (3.1) is an equality for some non-zero  $\omega$ , then for the  $p$ -form  $\hat{\omega}$  on  $M$  satisfying  $\mathrm{d}\hat{\omega} = 0 = \delta\hat{\omega}$  on  $M$  as well as  $\iota^*\hat{\omega} = \omega$  on  $\partial M$ , the identities  $\delta^{\partial M}\omega = -\sigma_{n+1-p}\nu \lrcorner \hat{\omega}$ ,  $S^{[p-1]}(\nu \lrcorner \hat{\omega}) = (nH - \sigma_{n+1-p})\nu \lrcorner \hat{\omega}$  hold along  $\partial M$  and the  $p$ -form  $\hat{\omega}$  must be parallel – hence  $W^{[p]}\hat{\omega} = 0$  must hold – on  $M$ .

**Proof.** The proof mainly follows that of [14, Theorem 5]. Let  $\omega$  be an exact  $p$ -form on  $\partial M$ , that is  $\omega = \mathrm{d}^{\partial M}\alpha$  for some  $\alpha \in \Omega^{p-1}(\partial M)$ . By [4, Theorem 2], there exists a  $(p-1)$ -form  $\hat{\alpha}$  on  $M$  such that  $\delta\mathrm{d}\hat{\alpha} = 0$  on  $M$  with  $\iota^*\hat{\alpha} = \alpha$  along  $\partial M$ . Let  $\hat{\omega} := \mathrm{d}\hat{\alpha} \in \Omega^p(M)$ , then  $\hat{\omega}$  satisfies  $\mathrm{d}\hat{\omega} = 0$ ,  $\delta\hat{\omega} = \delta\mathrm{d}\hat{\alpha} = 0$  on  $M$  with  $\iota^*\hat{\omega} = \iota^*(\mathrm{d}\hat{\alpha}) = \omega$  along  $\partial M$ . Actually such a  $p$ -form  $\hat{\omega}$  on  $M$  with  $\mathrm{d}\hat{\omega} = 0 = \delta\hat{\omega}$  on  $M$  as well as  $\iota^*\hat{\omega} = \omega$  along  $\partial M$  is uniquely determined by  $\omega$ . Namely, because of  $W^{[p]} \geq 0$  by assumption and the property  $\star W^{[p]}\star^{-1} = W^{[n+1-p]}$ , we know that  $W^{[n+1-p]} \geq 0$ . Together with the assumption  $\sigma_{n+1-p} > 0$  we can deduce from [14, Theorem 4] that  $H_{\text{abs}}^{n+1-p}(M) = 0$  holds, where

$$H_{\text{abs}}^k(M) := \{ \alpha \in \Omega^k(M) \mid \mathrm{d}\alpha = 0 = \delta\alpha \text{ and } \nu \lrcorner \alpha = 0 \}$$

is, for every  $0 \leq k \leq n+1$ , the  $k^{\text{th}}$  absolute de Rham cohomology group. By Poincaré duality,  $H_{\text{abs}}^k(M) \cong H_{\text{rel}}^{n+1-k}(M)$ , where

$$H_{\text{rel}}^k(M) := \{\alpha \in \Omega^k(M) \mid d\alpha = 0 = \delta\alpha \text{ and } \iota^*\alpha = 0\}$$

is the  $k^{\text{th}}$  relative de Rham cohomology group. Therefore,  $H_{\text{rel}}^p(M) = 0$ , so that  $\hat{\omega}$  is uniquely determined by  $\omega$ .

We apply identity (2.1) to  $\hat{\omega}$ : since  $d\hat{\omega} = \delta\hat{\omega} = 0$  by construction of  $\hat{\omega}$  and  $\langle W^{[p]}\hat{\omega}, \hat{\omega} \rangle \geq 0$  by assumption, as well as  $|\nabla\hat{\omega}|^2 \geq 0$ , we have from (2.1) that

$$0 \geq \int_{\partial M} (2\langle \nu \lrcorner \hat{\omega}, \delta^{\partial M} \omega \rangle + \langle S^{[p]}\omega, \omega \rangle + \langle S^{[n+1-p]}\iota^*(\star\hat{\omega}), \iota^*(\star\hat{\omega}) \rangle) d\mu_g.$$

By definition of the  $k$ -curvatures and the identity  $\iota^*(\star\hat{\omega}) = (-1)^p \nu \lrcorner \star(\nu \lrcorner \hat{\omega}) = \star_{\partial M}(\nu \lrcorner \hat{\omega})$ , we have

$$\langle S^{[n+1-p]}\iota^*(\star\hat{\omega}), \iota^*(\star\hat{\omega}) \rangle \geq \sigma_{n+1-p} |\iota^*(\star\hat{\omega})|^2 = \sigma_{n+1-p} |\nu \lrcorner \hat{\omega}|^2 \quad (3.2)$$

on  $\partial M$ . Moreover, because  $\sigma_{n+1-p}$  is assumed to be positive along  $\partial M$ , we write

$$\begin{aligned} 2\langle \nu \lrcorner \hat{\omega}, \delta^{\partial M} \omega \rangle &= \left| \sigma_{n+1-p}^{1/2} \nu \lrcorner \hat{\omega} + \frac{1}{\sigma_{n+1-p}^{1/2}} \delta^{\partial M} \omega \right|^2 - \sigma_{n+1-p} |\nu \lrcorner \hat{\omega}|^2 - \frac{|\delta^{\partial M} \omega|^2}{\sigma_{n+1-p}} \\ &\geq -\sigma_{n+1-p} |\nu \lrcorner \hat{\omega}|^2 - \frac{|\delta^{\partial M} \omega|^2}{\sigma_{n+1-p}}, \end{aligned}$$

so that

$$0 \geq \int_{\partial M} \left( -\frac{|\delta^{\partial M} \omega|^2}{\sigma_{n+1-p}} + \langle S^{[p]}\omega, \omega \rangle \right) d\mu_g$$

which is inequality (3.1).

Assume now (3.1) to be an equality for some non-zero  $\omega$ . Let  $\hat{\omega}$  be the  $p$ -form on  $M$  such that  $d\hat{\omega} = 0 = \delta\hat{\omega}$  on  $M$  and  $\iota^*\hat{\omega} = \omega$  on  $\partial M$ ; as mentioned above. By the sequence of pointwise inequalities used in the above proof of inequality (3.1), we can deduce that  $\nabla\hat{\omega} = 0$  on  $M$ , that is,  $\hat{\omega}$  is parallel on  $M$  and, furthermore,  $\delta^{\partial M}\omega = -\sigma_{n+1-p}\nu \lrcorner \hat{\omega}$  and  $S^{[n+1-p]}\iota^*(\star\hat{\omega}) = \sigma_{n+1-p}\iota^*(\star\hat{\omega})$  must hold on  $\partial M$ . As a straightforward consequence,  $W^{[p]}\hat{\omega} = 0$  on  $M$ . Thanks to the identity  $S^{[n+1-p]}(\star_{\partial M}\alpha) = -\star_{\partial M}S^{[p-1]}\alpha + nH\star_{\partial M}\alpha$  which is valid pointwise for all  $(p-1)$ -forms  $\alpha$ , the latter is equivalent to  $S^{[p-1]}(\nu \lrcorner \hat{\omega}) = (nH - \sigma_{n+1-p})\nu \lrcorner \hat{\omega}$  along  $\partial M$ . This concludes the proof of Theorem 3.1.  $\blacksquare$

**Remark 3.2.** Note that, for  $1 \leq p \leq n$ , if the bundle  $\Lambda^p T^*M \rightarrow M$  is trivialized by such parallel  $p$ -forms  $\hat{\omega}$ , then on the one hand the manifold  $M$  is flat and, on the other hand,  $S^{[p-1]} = (nH - \sigma_{n+1-p}) \cdot \text{Id}$  must hold pointwise on  $\Lambda^{p-1} T^* \partial M$ . The first statement is a consequence from the fact that the curvature of the manifold  $M$  vanishes as soon as that of  $\Lambda^p T^*M$  does. The second statement comes from the map  $\Lambda^p T_x^* M \rightarrow \Lambda^p T_x^* \partial M \oplus \Lambda^{p-1} T_x^* \partial M$ ,  $\omega \mapsto (\iota^*\omega, \nu \wedge (\nu \lrcorner \omega))$ , being an isomorphism at any  $x \in \partial M$ . In case  $p \geq 2$  (for  $p = 1$  that identity is trivial because of  $S^{[0]} = 0$  by convention and  $\sigma_n = nH$  by definition), this shows that  $\iota: \partial M \rightarrow M$  must be *totally umbilical*.

Next we turn to the case where the boundary of  $M$  is assumed to be isometrically immersed in some Euclidean space.

**Theorem 3.3.** *Let  $(M^{n+1}, g)$  be any compact oriented  $(n+1)$ -dimensional Riemannian manifold with smooth nonempty boundary  $\partial M$ . Assume that  $W^{[p]} \geq 0$  on  $M$  as well as  $\sigma_{n+1-p} > 0$  along  $\partial M$  for a given  $p \in \{1, \dots, n\}$ . Assume also that there exists an isometric immersion  $\iota_0: \partial M \rightarrow \mathbb{R}^{n+m}$  with mean curvature vector  $H_0$ . Then*

$$\int_{\partial M} \frac{n|H_0|^2 - \frac{p-1}{n(n-1)} \text{Scal}^{\partial M}}{\sigma_{n+1-p}} d\mu_g \geq \int_{\partial M} H d\mu_g, \quad (3.3)$$

where  $\text{Scal}^{\partial M}$  denotes the scalar curvature of  $\partial M$ . Equality holds in (3.3) when  $M$  is the  $(n+1)$ -dimensional flat disk  $\mathbb{D}^{n+1}$  standardly embedded in some  $(n+1)$ -dimensional affine subspace of  $\mathbb{R}^{n+m}$ . Conversely, if (3.3) is an equality,  $\partial M$  is connected,  $p \geq 2$  and  $\iota_0(\partial M)$  is contained in some  $(n+1)$ -dimensional affine subspace of  $\mathbb{R}^{n+m}$ , then, up to rescaling the metrics on  $M$  and on  $\mathbb{R}^{n+m}$ , the manifold  $M$  is isometric to the  $(n+1)$ -dimensional flat disk  $\mathbb{D}^{n+1}$  standardly embedded in that subspace.

**Proof.** We take the standard coordinates  $(x_1, \dots, x_{n+m})$  on  $\mathbb{R}^{n+m}$  and, for any  $i_1, \dots, i_p \in \{1, \dots, n+m\}$ , we denote by  $dx_I := dx_{i_1} \wedge \dots \wedge dx_{i_p} \in \Lambda^p(\mathbb{R}^{n+m})^*$  and by  $\omega_I := \iota_0^* dx_I \in \Omega^p(\partial M)$ . Note that, since  $dx_I$  is exact, so is  $\omega_I$ . Replacing  $\omega$  by  $\omega_I$  in (3.1), we obtain

$$\int_{\partial M} \frac{|\delta^{\partial M} \omega_I|^2}{\sigma_{n+1-p}} d\mu_g \geq \int_{\partial M} \langle S^{[p]} \omega_I, \omega_I \rangle d\mu_g. \quad (3.4)$$

We now want to deduce from (3.4) a more explicit inequality. For this, we sum (3.4) over  $I$ , meaning that we compute the sum  $\sum_{i_1, \dots, i_p=1}^{n+m}$  of both sides; mind that the indices  $i_1, \dots, i_p$  vary independently and hence are repeated. On the one hand, by [16, Lemma 2.2],

$$\sum_I |\delta^{\partial M} \omega_I|^2 = p! \binom{n}{p} p \left( n|H_0|^2 - \frac{p-1}{n(n-1)} \text{Scal}^{\partial M} \right). \quad (3.5)$$

On the other hand, we use the pointwise identity

$$\sum_j e_j \wedge (e_j \lrcorner \alpha) = k\alpha$$

which is valid for any  $k$ -form  $\alpha$  and any pointwise o.n.b.  $(e_j)_j$  of  $TM$  or  $T\partial M$ . As a straightforward consequence,

$$\sum_{j=1}^n \langle e_j \wedge \alpha, e_j \wedge \beta \rangle = (n-k) \langle \alpha, \beta \rangle$$

for any  $k$ -forms  $\alpha, \beta$  on an  $n$ -dimensional space. Thus we may compute the sum of the r.h.s. of (3.4) as follows: Let  $A: T\partial M \rightarrow T\partial M$  be any symmetric endomorphism of  $\partial M$  and denoting by  $dx_i^T := \iota_0^* dx_i$  and by  $(e_j)_{1 \leq j \leq n}$  a pointwise o.n.b. of  $T\partial M$ , we may write

$$\begin{aligned} \sum_I \langle A^{[p]} \omega_I, \omega_I \rangle &= \sum_{i_1, \dots, i_p=1}^{n+m} \langle A^{[p]}(dx_{i_1}^T \wedge \dots \wedge dx_{i_p}^T), dx_{i_1}^T \wedge \dots \wedge dx_{i_p}^T \rangle \\ &= \sum_{i_1=1}^{n+m} \sum_{i_2, \dots, i_p=1}^{n+m} \sum_{j, k=1}^n \langle dx_{i_1}^T, e_j \rangle \langle dx_{i_1}^T, e_k \rangle \langle A^{[p]}(e_j \wedge dx_{i_2, \dots, i_p}^T), e_k \wedge dx_{i_2, \dots, i_p}^T \rangle \\ &= \sum_{j, k=1}^n \underbrace{\left( \sum_{i_1=1}^{n+m} \langle dx_{i_1}^T, e_j \rangle \langle dx_{i_1}^T, e_k \rangle \right)}_{\delta_{jk}} \sum_{i_2, \dots, i_p=1}^{n+m} \langle A^{[p]}(e_j \wedge dx_{i_2, \dots, i_p}^T), e_k \wedge dx_{i_2, \dots, i_p}^T \rangle \end{aligned}$$

$$\begin{aligned}
&= \sum_{j=1}^n \sum_{i_2, \dots, i_p=1}^{n+m} \langle A^{[p]}(e_j \wedge dx_{i_2, \dots, i_p}^T), e_j \wedge dx_{i_2, \dots, i_p}^T \rangle \\
&= \sum_{j_1, \dots, j_p=1}^n \langle A^{[p]}(e_{j_1} \wedge \dots \wedge e_{j_p}), e_{j_1} \wedge \dots \wedge e_{j_p} \rangle \\
&= p \sum_{j_1, \dots, j_p=1}^n \langle Ae_{j_1} \wedge e_{j_2} \wedge \dots \wedge e_{j_p}, e_{j_1} \wedge \dots \wedge e_{j_p} \rangle \\
&= p(n-p+1) \sum_{j_1, \dots, j_{p-1}=1}^n \langle Ae_{j_1} \wedge e_{j_2} \wedge \dots \wedge e_{j_{p-1}}, e_{j_1} \wedge \dots \wedge e_{j_{p-1}} \rangle \\
&= p(n-p+1) \dots (n-1) \sum_{j_1=1}^n \langle Ae_{j_1}, e_{j_1} \rangle \\
&= p! \binom{n}{p} \frac{p}{n} \text{tr}(A). \tag{3.6}
\end{aligned}$$

When  $A = S$  is the associated Weingarten endomorphism-field, we get that

$$\sum_I \langle S^{[p]} \omega_I, \omega_I \rangle = p! \binom{n}{p} p H. \tag{3.7}$$

Integrating both (3.5) (after dividing by  $\sigma_{n+1-p}$ ) and (3.7) over  $\partial M$ , we deduce inequality (3.3) from (3.4).

If  $M = \mathbb{D}^{n+1}$  is the  $(n+1)$ -dimensional flat disk standardly embedded in some  $(n+1)$ -dimensional affine subspace of  $\mathbb{R}^{n+m}$ , then  $|H_0| = 1 = H$ ,  $\sigma_{n+1-p} = n+1-p$  and  $\text{Scal}^{\partial M} = n(n-1)$  along  $\partial M = \mathbb{S}^n$  (the  $n$ -dimensional round sphere of sectional curvature 1), so that (3.3) is an equality.

Conversely, if (3.3) is an equality, then for any tuple  $I$ , (3.4) must be an equality. For any  $I$ , we denote by  $\hat{\omega}_I$  the  $p$ -form on  $M$  such that  $d\hat{\omega}_I = 0 = \delta\hat{\omega}_I$  on  $M$  and  $i^*\hat{\omega}_I = \omega_I$  along  $\partial M$ ; the existence and uniqueness of  $\hat{\omega}_I$  is guaranteed by [4, Theorem 2] and the vanishing of  $H_{\text{rel}}^p(M)$ , see proof of Theorem 3.1 above. Then Theorem 3.1 implies that, for any  $I$ , the  $p$ -form  $\hat{\omega}_I$  is parallel on  $M$ , that  $\delta^{\partial M} \omega_I = -\sigma_{n+1-p} \nu \lrcorner \hat{\omega}_I$  and that  $S^{[p-1]}(\nu \lrcorner \hat{\omega}_I) = (nH - \sigma_{n+1-p}) \nu \lrcorner \hat{\omega}_I$  hold along  $\partial M$ . By (3.5), this implies

$$\sigma_{n+1-p} \sum_I |\nu \lrcorner \hat{\omega}_I|^2 = \sum_I \frac{|\delta^{\partial M} \omega_I|^2}{\sigma_{n+1-p}} = p! \binom{n}{p} p \left( \frac{n|H_0|^2 - \frac{p-1}{n(n-1)} \text{Scal}^{\partial M}}{\sigma_{n+1-p}} \right). \tag{3.8}$$

Next we show that  $\sum_I |\nu \lrcorner \hat{\omega}_I|^2$  is constant along  $\partial M$ . Differentiating along any  $X \in T\partial M$  and using the fact that  $\hat{\omega}_I$  is parallel on  $M$ , we have

$$\begin{aligned}
X \left( \frac{1}{2} \sum_I |\nu \lrcorner \hat{\omega}_I|^2 \right) &= \sum_I \langle \nabla_X (\nu \lrcorner \hat{\omega}_I), \nu \lrcorner \hat{\omega}_I \rangle = - \sum_I \langle SX \lrcorner \hat{\omega}_I, \nu \lrcorner \hat{\omega}_I \rangle \\
&= - \sum_I \langle i^*(SX \lrcorner \hat{\omega}_I), \nu \lrcorner \hat{\omega}_I \rangle = - \sum_I \langle SX \lrcorner \omega_I, \nu \lrcorner \hat{\omega}_I \rangle = - \sum_I \langle (SX \lrcorner dx_I)^T, \nu \lrcorner \hat{\omega}_I \rangle.
\end{aligned}$$

In the following, we will prove that the last sum vanishes. For this, we will express the  $(p-1)$ -form  $\nu \lrcorner \hat{\omega}_I$  in terms of the data of the immersion  $\iota_0: \partial M \rightarrow \mathbb{R}^{n+m}$ . That identity will be used at several places in the proof. We denote by  $\mathbb{I}$  the second fundamental form of  $\iota_0$ . Using [16, equation (3.3)], we have that, for each  $p$ -tuple  $I$ ,

$$\delta^{\partial M} \omega_I = \sum_{k=1}^p (-1)^{k+1} \mathbb{I}_{dx_{i_k}^\perp}^{[p-1]} (dx_{i_1}^T \wedge \dots \wedge \widehat{dx_{i_k}^T} \wedge \dots \wedge dx_{i_p}^T)$$

$$\begin{aligned}
& -n \sum_{k=1}^p (-1)^{k+1} \langle H_0, dx_{i_k}^\perp \rangle dx_{i_1}^T \wedge \cdots \wedge \widehat{dx_{i_k}^T} \wedge \cdots \wedge dx_{i_p}^T \\
&= \sum_{k=1}^p \sum_{a=1}^m (-1)^{k+1} \langle dx_{i_k}, \nu_a \rangle \mathbb{I}_{\nu_a}^{[p-1]} (dx_{i_1}^T \wedge \cdots \wedge \widehat{dx_{i_k}^T} \wedge \cdots \wedge dx_{i_p}^T) \\
& \quad -n \sum_{k=1}^p (-1)^{k+1} \langle H_0, dx_{i_k} \rangle dx_{i_1}^T \wedge \cdots \wedge \widehat{dx_{i_k}^T} \wedge \cdots \wedge dx_{i_p}^T \\
&= \sum_{a=1}^m \mathbb{I}_{\nu_a}^{[p-1]} ((\nu_a \lrcorner dx_I)^T) - (nH_0 \lrcorner dx_I)^T, \tag{3.9}
\end{aligned}$$

where  $(\nu_a)_{1 \leq a \leq m}$  is a pointwise o.n.b. of  $T^\perp \partial M$  seen as a subspace of  $\mathbb{R}^{n+m}$ . Because of  $\delta^{\partial M} \omega_I = -\sigma_{n+1-p} \nu \lrcorner \widehat{\omega}_I$ , we obtain

$$\nu \lrcorner \widehat{\omega}_I = -\frac{1}{\sigma_{n+1-p}} \cdot \left( \sum_{a=1}^m \mathbb{I}_{\nu_a}^{[p-1]} ((\nu_a \lrcorner dx_I)^T) - (nH_0 \lrcorner dx_I)^T \right). \tag{3.10}$$

Therefore, in order to show that  $\sum_I \langle (SX \lrcorner dx_I)^T, \nu \lrcorner \widehat{\omega}_I \rangle = 0$ , it is sufficient to show that both sums  $\sum_I \langle (SX \lrcorner dx_I)^T, \sum_{a=1}^m \mathbb{I}_{\nu_a}^{[p-1]} ((\nu_a \lrcorner dx_I)^T) \rangle$  and  $\sum_I \langle (SX \lrcorner dx_I)^T, (nH_0 \lrcorner dx_I)^T \rangle$  vanish. Let us make the computation for the first sum, the second can be done in the same way. We denote by  $e_J = e_{j_1} \wedge \cdots \wedge e_{j_{p-1}}$  with  $j_1 < j_2 < \cdots < j_{p-1}$  the orthonormal frame of  $\Lambda^{p-1} T_x^* \partial M$  induced by a local orthonormal frame  $\{e_1, \dots, e_n\}$  of  $T \partial M$ . For any  $a = 1, \dots, m$ ,

$$\begin{aligned}
\sum_I \langle (SX \lrcorner dx_I)^T, \mathbb{I}_{\nu_a}^{[p-1]} ((\nu_a \lrcorner dx_I)^T) \rangle &= \sum_{I, J} \langle (SX \lrcorner dx_I)^T, e_J \rangle \langle \mathbb{I}_{\nu_a}^{[p-1]} ((\nu_a \lrcorner dx_I)^T), e_J \rangle \\
&= \sum_{I, J} \langle SX \lrcorner dx_I, e_J \rangle \langle \nu_a \lrcorner dx_I, \mathbb{I}_{\nu_a}^{[p-1]} (e_J) \rangle = \sum_{I, J} \langle dx_I, SX \wedge e_J \rangle \langle dx_I, \nu_a \wedge \mathbb{I}_{\nu_a}^{[p-1]} (e_J) \rangle \\
&= \sum_J \langle SX \wedge e_J, \nu_a \wedge \mathbb{I}_{\nu_a}^{[p-1]} (e_J) \rangle = 0,
\end{aligned}$$

because of  $\nu_a \perp T \partial M$ . Similarly,  $\sum_I \langle (SX \lrcorner dx_I)^T, (nH_0 \lrcorner dx_I)^T \rangle = 0$  by  $H_0 \perp T \partial M$ .

If  $\partial M$  is connected, which will be assumed from now on, then  $\sum_I |\nu \lrcorner \widehat{\omega}_I|^2$  is constant along  $\partial M$ . By (3.8) and the assumption that (3.3) is an equality, this constant is given by

$$\begin{aligned}
\sum_I |\nu \lrcorner \widehat{\omega}_I|^2 \int_{\partial M} \sigma_{n+1-p} d\mu_g &= p! \binom{n}{p} p \int_{\partial M} \frac{n|H_0|^2 - \frac{p-1}{n(n-1)} \text{Scal}^{\partial M}}{\sigma_{n+1-p}} d\mu_g \\
&= p! \binom{n}{p} p \int_{\partial M} H d\mu_g,
\end{aligned}$$

that is

$$\sum_I |\nu \lrcorner \widehat{\omega}_I|^2 = p! \binom{n}{p} p \frac{\int_{\partial M} H d\mu_g}{\int_{\partial M} \sigma_{n+1-p} d\mu_g}. \tag{3.11}$$

Injecting (3.11) again into (3.8), we deduce that

$$\frac{n|H_0|^2 - \frac{p-1}{n(n-1)} \text{Scal}^{\partial M}}{\sigma_{n+1-p}} = \frac{\int_{\partial M} H d\mu_g}{\int_{\partial M} \sigma_{n+1-p} d\mu_g} \cdot \sigma_{n+1-p}. \tag{3.12}$$

Note that (3.12) holds in any codimension  $m$ .



Next we look at the space of parallel forms on  $M$ . Let  $\hat{\omega} := (\hat{\omega}_I)_I \in \bigoplus_I \Omega^p(M)$ . Fixing a pointwise o.n.b.  $(e_j)_{1 \leq j \leq n}$  of  $T\partial M$ , the family

$$\{e_J, \nu \wedge e_K \mid 1 \leq j_1 < \dots < j_p \leq n, 1 \leq k_1 < \dots < k_{p-1} \leq n\}$$

is a pointwise o.n.b. of  $\Lambda^p T^*M$ . Decomposing each  $\hat{\omega}_I$  in that pointwise basis and the canonical basis  $(dx_I)_I$  of  $\Lambda^p(\mathbb{R}^{n+m})^*$  (where the  $p$ -tuples  $I$  are ordered) respectively allows us to consider  $\hat{\omega}$  as a pointwise matrix with  $\binom{n+m}{p}$  rows and  $\binom{n+1}{p}$  columns. Note that, because the pointwise linear map  $\iota_0^*: \Lambda^p(\mathbb{R}^{n+m})^* \rightarrow \Lambda^p T^* \partial M$  is surjective, the  $(\omega_I = \iota_0^*(dx_I))_I$  obviously span  $\Lambda^p T^* \partial M$ , which already shows that the  $\binom{n}{p}$  first columns of the matrix  $\hat{\omega}$ , namely  $(\hat{\omega}_I(e_J))_{I,J}$ , must be linearly independent since that matrix has  $\binom{n}{p}$  linearly independent rows. Next we would like to show that the rank of the whole matrix  $\hat{\omega}$  is maximal, i.e., equal to  $\binom{n+1}{p}$ .

This already allows for finding expressions for the inner products of columns of the matrix  $\hat{\omega}$ . Namely, fix  $e_J$  and  $\nu \wedge e_K$  as above, then using equation (3.10), we compute

$$\begin{aligned} \sum_I \hat{\omega}_I(e_J) \hat{\omega}_I(\nu \wedge e_K) &= \sum_I \langle \hat{\omega}_I, e_J \rangle \cdot \langle \nu \lrcorner \hat{\omega}_I, e_K \rangle \\ &= -\frac{1}{\sigma_{n+1-p}} \sum_I \langle dx_I, e_J \rangle \left\langle \sum_{a=1}^m \mathbb{I}_{\nu_a}^{[p-1]} ((\nu_a \lrcorner dx_I)^T) - (nH_0 \lrcorner dx_I)^T, e_K \right\rangle \\ &= -\frac{1}{\sigma_{n+1-p}} \sum_I \langle dx_I, e_J \rangle \left\langle dx_I, \sum_{a=1}^m \nu_a \wedge \mathbb{I}_{\nu_a}^{[p-1]} e_K - nH_0 \wedge e_K \right\rangle \\ &= -\frac{1}{\sigma_{n+1-p}} \left\langle e_J, \sum_{a=1}^m \nu_a \wedge \mathbb{I}_{\nu_a}^{[p-1]} e_K - nH_0 \wedge e_K \right\rangle = 0 \end{aligned}$$

because of both  $\nu_a, H_0 \perp T\partial M$ . This shows that every among the  $\binom{n}{p-1}$  last columns of  $\hat{\omega}$ , corresponding to the matrix  $(\hat{\omega}_I(\nu \wedge e_K))_{I,K}$ , is pointwise orthogonal to any of the  $\binom{n}{p}$  first ones which correspond to the full-ranked matrix  $(\hat{\omega}_I(e_J))_{I,J}$ .

We now look at the rank of the matrix  $(\hat{\omega}_I(\nu \wedge e_K))_{I,K}$ . For any  $(p-1)$ -tuples  $J, K$ , we compute

$$\begin{aligned} \sum_I \hat{\omega}_I(\nu \wedge e_J) \hat{\omega}_I(\nu \wedge e_K) &= \sum_I \langle \nu \lrcorner \hat{\omega}_I, e_J \rangle \langle \nu \lrcorner \hat{\omega}_I, e_K \rangle \\ &= \frac{1}{\sigma_{n+1-p}^2} \sum_I \left\langle dx_I, \sum_{a=1}^m \nu_a \wedge \mathbb{I}_{\nu_a}^{[p-1]} e_J - nH_0 \wedge e_J \right\rangle \cdot \left\langle dx_I, \sum_{a=1}^m \nu_a \wedge \mathbb{I}_{\nu_a}^{[p-1]} e_K - nH_0 \wedge e_K \right\rangle \\ &= \frac{1}{\sigma_{n+1-p}^2} \left\langle \sum_{a=1}^m \nu_a \wedge \mathbb{I}_{\nu_a}^{[p-1]} e_J - nH_0 \wedge e_J, \sum_{a=1}^m \nu_a \wedge \mathbb{I}_{\nu_a}^{[p-1]} e_K - nH_0 \wedge e_K \right\rangle \\ &= \frac{1}{\sigma_{n+1-p}^2} \left( \sum_{a=1}^m \langle \mathbb{I}_{\nu_a}^{[p-1]} e_J, \mathbb{I}_{\nu_a}^{[p-1]} e_K \rangle - 2 \langle \mathbb{I}_{nH_0}^{[p-1]}(e_J), e_K \rangle + n^2 |H_0|^2 \langle e_J, e_K \rangle \right). \end{aligned}$$

Here we notice that, in the particular case, where all  $\mathbb{I}_{\nu_a}$  are simultaneously diagonalizable, i.e., if  $[\mathbb{I}_{\nu_a}, \mathbb{I}_{\nu_b}] = 0$  for all  $a, b$ , we can choose  $(e_j)_{1 \leq j \leq n}$  so as to simultaneously diagonalize all  $\mathbb{I}_{\nu_a}$ . Then it is easy to show that  $\sum_I \hat{\omega}_I(\nu \wedge e_J) \hat{\omega}_I(\nu \wedge e_K) = 0$  for all  $J \neq K$ . However, the  $\sum_I \hat{\omega}_I(\nu \wedge e_J)^2$  cannot be shown to be positive. This means that, even if  $[\mathbb{I}_{\nu_a}, \mathbb{I}_{\nu_b}] = 0$  for all  $a, b$ , one column of the matrix  $(\hat{\omega}_I(\nu \wedge e_K))_{I,K}$  may vanish, in which case  $\hat{\omega}$  will not be of full rank.

From now on we furthermore assume that  $\iota_0(\partial M) \subset V$ , where  $V$  is an  $(n+1)$ -dimensional affine subspace of  $\mathbb{R}^{n+m}$ . Choosing a pointwise o.n.b.  $(\nu_a)_{1 \leq a \leq m}$  of  $T^\perp \partial M \subset \mathbb{R}^{n+m}$  such that  $\nu_1 \in V$  and  $\nu_a \perp V$  for all  $a \geq 2$ , we obviously have  $\mathbb{I}_{\nu_a} = 0$  for all  $a \geq 2$  since  $V \subset \mathbb{R}^{n+m}$

is totally geodesic. Therefore, choosing  $(e_j)_{1 \leq j \leq n}$  as an eigenbasis for the endomorphism  $\mathbb{I}_{\nu_1}$  of  $T\partial M$ , we have  $\mathbb{I}_{\nu_1} e_j = \kappa_j e_j$  for all  $1 \leq j \leq n$  and the sum  $\sum_I \hat{\omega}_I(\nu \wedge e_J) \hat{\omega}_I(\nu \wedge e_K)$  computed above simplifies to

$$\begin{aligned} & \sum_I \hat{\omega}_I(\nu \wedge e_J) \hat{\omega}_I(\nu \wedge e_K) \\ &= \frac{1}{\sigma_{n+1-p}^2} \left( \langle \mathbb{I}_{\nu_1}^{[p-1]} e_J, \mathbb{I}_{\nu_1}^{[p-1]} e_K \rangle - 2 \langle \mathbb{I}_{nH_0}(e_J), e_K \rangle + n^2 |H_0|^2 \langle e_J, e_K \rangle \right) \\ &= \frac{1}{\sigma_{n+1-p}^2} \left( \left( \sum_{j \in J} \kappa_j \right)^2 - 2 \langle nH_0, \nu_1 \rangle \left( \sum_{j \in J} \kappa_j \right) + \langle nH_0, \nu_1 \rangle^2 \right) \delta_{JK} \\ &= \frac{1}{\sigma_{n+1-p}^2} \left( \sum_{j \in J} \kappa_j - \langle nH_0, \nu_1 \rangle \right)^2 \delta_{JK} = \frac{1}{\sigma_{n+1-p}^2} \left( \sum_{j \notin J} \kappa_j \right)^2 \delta_{JK}, \end{aligned}$$

where  $\delta_{JK} = 0$  if  $J \neq K$  and 1 if  $J = K$ . Now since  $\iota_0: \partial M \rightarrow V$  is an isometric immersion of an  $n$ -dimensional manifold into an  $(n+1)$ -dimensional Euclidean space, there exists a point  $x \in \partial M$  for which  $\kappa_j(x) > 0$  for all  $1 \leq j \leq n$  holds, see, e.g., [6, p. 255]. At that point  $x$ , we can conclude that  $\sum_I \hat{\omega}_I(\nu \wedge e_J) \hat{\omega}_I(\nu \wedge e_K) > 0$  if  $J = K$  and vanishes otherwise. Therefore the columns of  $(\hat{\omega}_I(\nu \wedge e_K))_{I,K}$  form an orthogonal system of nonzero vectors at  $x$ , from which can be deduced that the whole matrix  $\hat{\omega}$  has full rank  $\binom{n+1}{p}$  at  $x$ . In turn, this implies that, at  $x$ , there are  $\binom{n+1}{p}$  linearly independent rows in  $\hat{\omega}$ , that is  $\binom{n+1}{p}$  linearly independent  $\hat{\omega}_I$ . Necessarily there must exist  $\binom{n+1}{p}$  linearly independent parallel forms among the  $\hat{\omega}_I$  on  $M$ , which is the maximal number allowed. As a first consequence,  $(M^{n+1}, g)$  must be flat (remember that  $1 \leq p \leq n$ ). As a second consequence, at each point of  $\partial M$ , the family  $(\nu \lrcorner \hat{\omega}_I)_I$  must span  $\Lambda^{p-1} T^* \partial M$ , so that  $\iota: \partial M \rightarrow M$  must be totally umbilical by the identity  $S^{[p-1]}(\nu \lrcorner \hat{\omega}_I) = (nH - \sigma_{n+1-p}) \nu \lrcorner \hat{\omega}_I$  for all  $I$  and the assumption  $p \geq 2$ , see Remark 3.2. Since  $M$  is flat and  $\iota$  is totally umbilical in the Einstein manifold  $M$ , the mean curvature  $H$  must be constant – and positive because of  $(n+1-p)H \geq \sigma_{n+1-p} > 0$ . Up to rescaling  $g$  on  $M$  as well as the Euclidean metric on  $\mathbb{R}^{n+m}$ , it may be assumed that  $H = 1$  along  $\partial M$ . By [14, Theorem 13], because  $(M^{n+1}, g)$  is flat,  $\iota$  is totally umbilical and with constant mean curvature 1, the manifold  $(M^{n+1}, g)$  must be isometric to the  $(n+1)$ -dimensional flat disk. Moreover, identity (3.12) implies that  $|H_0| = 1 = H$  along  $\partial M$ . But then by the proof of the equality case in [13, Theorem 1.2], there exists an isometric immersion  $M \rightarrow V$  extending  $\iota$ . Since  $\partial M \cong \mathbb{S}^n$  has constant sectional curvature 1, the immersion  $\iota_0$  must be an embedding by standard results due to Hadamard and Cohn–Vossen, see, e.g., [3]. Again by the proof of [13, Theorem 1.2], the above isometric immersion  $M \rightarrow V$  extending  $\iota$  is an embedding. This shows that  $(M^{n+1}, g)$  is isometric to the  $(n+1)$ -dimensional flat disk standardly embedded in  $V$ . This concludes the proof of Theorem 3.3. ■

#### Remarks 3.4.

1. For  $p = 1$ , inequality (3.3) reads

$$\int_{\partial M} \frac{|H_0|^2}{H} d\mu_g \geq \int_{\partial M} H d\mu_g \quad (3.13)$$

assuming  $\text{Ric} = W^{[1]} \geq 0$  on  $M$  as well as  $H = \frac{\sigma_n}{n} > 0$  on  $\partial M$ . This is precisely the inequality established by Miao and Wang in [13, Theorem 1.2] when  $H > 0$ . Actually, inequality (3.13) implies (3.3) for all  $1 \leq p \leq n$  if not only  $\sigma_{n+1-p} > 0$  and  $W^{[p]} \geq 0$  are assumed but also  $\text{Ric} \geq 0$ . The Gauß formula for curvature implies  $\text{Scal}^{\partial M} = n^2 |H_0|^2 - |\mathbb{I}|^2$  along  $\partial M$ . The Cauchy–Schwarz inequality yields  $|\mathbb{I}|^2 \geq n |H_0|^2$ , so that  $\text{Scal}^{\partial M} \leq n(n-1) |H_0|^2$ .

Fix now  $p \in \{1, \dots, n\}$  and assume  $\sigma_{n+1-p} > 0$  along  $\partial M$ . Because of  $\frac{\sigma_{n+1-p}}{n+1-p} \leq H$ , we can deduce that  $H > 0$  along  $\partial M$  and that

$$\frac{n|H_0|^2 - \frac{p-1}{n(n-1)}\text{Scal}^{\partial M}}{\sigma_{n+1-p}} \geq \frac{n|H_0|^2 - (p-1)|H_0|^2}{\sigma_{n+1-p}} \geq \frac{|H_0|^2}{H}$$

holds along  $\partial M$ . Therefore, inequality (3.3) can be deduced from inequality (3.13) if  $\text{Ric} \geq 0$  is also assumed. Mind however that our assumption  $W^{[p]} \geq 0$  differs from  $\text{Ric} \geq 0$  for  $2 \leq p \leq n-1$ , so that (3.3) cannot be deduced from [13, Theorem 1.2] in general.

2. According to [14, Theorem 9], given a compact Riemannian manifold  $(M^{n+1}, g)$  such that  $W^{[p]} \geq 0$  for some  $1 \leq p \leq \frac{n+1}{2}$  and with boundary  $\partial M$  isometric to the unit round sphere, then  $M$  must be isometric to the Euclidean unit ball as soon as  $\sigma_p \geq p$ . Actually this holds true for an arbitrary  $p \in \{1, \dots, n\}$ . Namely if  $\partial M$  is isometric to the round sphere  $\mathbb{S}^n$ , then by taking  $\iota_0: \mathbb{S}^n \rightarrow \mathbb{R}^{n+1}$  the standard embedding, we get, under the condition  $\sigma_{n+1-p} > 0$  together with the identities  $H_0 = 1$  and  $\text{Scal}^{\partial M} = n(n-1)$

$$\int_{\partial M} \frac{n-p+1}{\sigma_{n-p+1}} d\mu_g \geq \int_{\partial M} H d\mu_g.$$

Therefore, if  $\sigma_{n+1-p} \geq n+1-p$ , then  $H \geq 1$  and the last inequality is an equality, therefore  $M$  must be isometric to flat  $\mathbb{D}^{n+1}$  by Theorem 3.3.

In the following, we consider the case where  $W^{[p]} \geq p(n-p+1)\kappa$  for some nonvanishing real number  $\kappa$ .

**Theorem 3.5.** *Let  $(M^{n+1}, g)$  be any compact oriented  $(n+1)$ -dimensional Riemannian manifold with smooth nonempty boundary  $\partial M$ . Fix  $p \in \{1, \dots, n\}$  and assume that  $W^{[p]} \geq p(n+1-p)\kappa$  on  $M$  for some number  $\kappa \neq 0$  as well as  $\sigma_{n+1-p} > 0$  along  $\partial M$ . Then, for any  $(p-1)$ -form  $\alpha$  on  $\partial M$ , we have*

$$\int_{\partial M} \frac{|\delta^{\partial M} d^{\partial M} \alpha - \frac{p(n+1-p)\kappa}{2} \alpha|^2}{\sigma_{n+1-p}} d\mu_g \geq \int_{\partial M} \langle S^{[p]} d^{\partial M} \alpha, d^{\partial M} \alpha \rangle d\mu_g$$

with equality if and only if  $\alpha = 0$ .

**Proof.** As in Theorem 3.1, we take the exact form  $\omega = d^{\partial M} \alpha$  and consider the extension  $\hat{\alpha}$  on  $M$  such that  $\delta d\hat{\alpha} = 0$  on  $M$  with  $\iota^* \hat{\alpha} = \alpha$  along  $\partial M$ . The form  $\hat{\omega} = d\hat{\alpha}$  satisfies  $d\hat{\omega} = 0$ ,  $\delta \hat{\omega} = 0$  on  $M$  and  $\iota^* \hat{\omega} = \omega$ . We now apply identity (2.1) to  $\hat{\omega}$  to get after using that  $\langle W^{[p]} \hat{\omega}, \hat{\omega} \rangle \geq p(n+1-p)\kappa |\hat{\omega}|^2$ , inequality (3.2) and the fact  $|\nabla \hat{\omega}|^2 \geq 0$ :

$$0 \geq p(n+1-p)\kappa \int_M |\hat{\omega}|^2 d\mu_g + \int_{\partial M} (2\langle \nu \lrcorner \hat{\omega}, \delta^{\partial M} \omega \rangle + \langle S^{[p]} \omega, \omega \rangle + \sigma_{n+1-p} |\nu \lrcorner \hat{\omega}|^2) d\mu_g.$$

Now, by Stokes formula, we have that

$$\int_M |\hat{\omega}|^2 d\mu_g = \int_M \langle \hat{\alpha}, \underbrace{\delta d\hat{\alpha}}_0 \rangle d\mu_g - \int_{\partial M} \langle \iota^* \hat{\alpha}, \nu \lrcorner d\hat{\alpha} \rangle d\mu_g = - \int_{\partial M} \langle \alpha, \nu \lrcorner \hat{\omega} \rangle d\mu_g.$$

Therefore, implementing this last equality into the previous inequality yields

$$0 \geq \int_{\partial M} \left( 2 \left\langle \nu \lrcorner \hat{\omega}, \delta^{\partial M} \omega - \frac{p(n+1-p)\kappa}{2} \alpha \right\rangle + \langle S^{[p]} \omega, \omega \rangle + \sigma_{n+1-p} |\nu \lrcorner \hat{\omega}|^2 \right) d\mu_g.$$

By assumption  $\sigma_{n+1-p} > 0$  along  $\partial M$ , therefore the cross term

$$t(\omega) := 2 \left\langle \nu \lrcorner \hat{\omega}, \delta^{\partial M} \omega - \frac{p(n+1-p)\kappa}{2} \alpha \right\rangle$$

can be written as

$$\begin{aligned} t(\omega) &= \left| \sigma_{n+1-p}^{1/2} \nu \lrcorner \hat{\omega} + \frac{1}{\sigma_{n+1-p}^{1/2}} \left( \delta^{\partial M} \omega - \frac{p(n+1-p)\kappa}{2} \alpha \right) \right|^2 \\ &\quad - \sigma_{n+1-p} |\nu \lrcorner \hat{\omega}|^2 - \frac{|\delta^{\partial M} \omega - \frac{p(n+1-p)\kappa}{2} \alpha|^2}{\sigma_{n+1-p}} \\ &\geq -\sigma_{n+1-p} |\nu \lrcorner \hat{\omega}|^2 - \frac{|\delta^{\partial M} \omega - \frac{p(n+1-p)\kappa}{2} \alpha|^2}{\sigma_{n+1-p}}. \end{aligned}$$

Thus, we deduce after replacing  $\omega$  by  $d^{\partial M} \alpha$  that

$$0 \geq \int_{\partial M} \left( -\frac{|\delta^{\partial M} d^{\partial M} \alpha - \frac{p(n+1-p)\kappa}{2} \alpha|^2}{\sigma_{n+1-p}} + \langle S^{[p]} d^{\partial M} \alpha, d^{\partial M} \alpha \rangle \right) d\mu_g,$$

which is the required inequality. Notice that, if equality in the last inequality occurs, then  $\hat{\omega}$  is parallel, which implies  $0 = \langle W^{[p]} \hat{\omega}, \hat{\omega} \rangle = p(n+1-p)\kappa |\hat{\omega}|^2$  and thus  $\hat{\omega} = 0$ . In turn this yields  $\omega = 0$  and, because of  $p(n+1-p)\kappa \neq 0$ , also  $\alpha = 0$ . This ends the proof.  $\blacksquare$

In the following, we will consider a compact Riemannian manifold  $(M^{n+1}, g)$  and assume furthermore that its boundary is immersed into the round sphere  $\mathbb{S}^{n+m}(\kappa)$  of curvature  $\kappa$ .

**Theorem 3.6.** *Let  $(M^{n+1}, g)$  be any compact oriented  $(n+1)$ -dimensional Riemannian manifold with smooth nonempty boundary  $\partial M$ . Assume that  $W^{[p]} \geq p(n+1-p)\kappa$  on  $M$  for some number  $\kappa > 0$  as well as  $\sigma_{n+1-p} > 0$  along  $\partial M$  for a given  $p \in \{1, \dots, n\}$ . Assume also that there exists an isometric immersion  $\iota_0: \partial M \rightarrow \mathbb{S}^{n+m}(\kappa)$ , with mean curvature vector  $H_0$ . Then*

$$\int_{\partial M} \frac{n|H_0|^2 - \frac{p-1}{n(n-1)} \text{Scal}^{\partial M} + \frac{(np-(p-1)(p-4))\kappa}{4}}{\sigma_{n+1-p}} d\mu_g > \int_{\partial M} H d\mu_g,$$

where, as before,  $\text{Scal}^{\partial M}$  denotes the scalar curvature of  $\partial M$ .

**Proof.** We will follow the same idea as in Theorem 3.3. Let  $\iota_1: \partial M \rightarrow \mathbb{R}^{n+m+1}$  be the isometric immersion with mean curvature vector  $H_1$ , which is the composition of the standard embedding  $\mathbb{S}^{n+m}(\kappa) \hookrightarrow \mathbb{R}^{n+m+1}$  with  $\iota_0$ . Let us denote by  $dx_I := dx_{i_1} \wedge \dots \wedge dx_{i_p} \in \Lambda^p(\mathbb{R}^{n+m+1})^*$  and by  $\omega_I := \iota_1^* dx_I \in \Omega^p(\partial M)$ . Let  $\alpha_I$  the  $(p-1)$ -form on  $\partial M$  given by  $\alpha_I = x_{i_1}|_{\partial M} dx_{i_2}^T \wedge \dots \wedge dx_{i_p}^T$ , where  $dx_i^T = \iota_1^* dx_i$ . Clearly, we have that  $\omega_I = d^{\partial M} \alpha_I$ . Now Theorem 3.5 applied to  $\alpha_I$  gives that

$$\int_{\partial M} \frac{|\delta^{\partial M} \omega_I - \frac{p(n+1-p)\kappa}{2} \alpha_I|^2}{\sigma_{n+1-p}} d\mu_g \geq \int_{\partial M} \langle S^{[p]} \omega_I, \omega_I \rangle d\mu_g. \quad (3.14)$$

Next, we want to sum (3.14) over  $I$ . First the sum of the r.h.s over  $I$  is equal to  $p! \binom{n}{p} pH$  by (3.7). Now, for the l.h.s., we compute the sum

$$s := \sum_I \left| \delta^{\partial M} \omega_I - \frac{p(n+1-p)\kappa}{2} \alpha_I \right|^2$$

as follows:

$$\begin{aligned}
s &= \sum_I \left( |\delta^{\partial M} \omega_I|^2 + \frac{p^2(n+1-p)^2 \kappa^2}{4} |\alpha_I|^2 - p(n+1-p) \kappa \langle \delta^{\partial M} \omega_I, \alpha_I \rangle \right) \\
&\stackrel{(3.5)}{=} p! \binom{n}{p} p \left( n |H_1|^2 - \frac{p-1}{n(n-1)} \text{Scal}^{\partial M} \right) + \frac{p^2(n+1-p)^2 \kappa}{4} \sum_{i_2, \dots, i_p} |dx_{i_2}^T \wedge \dots \wedge dx_{i_p}^T|^2 \\
&\quad - p(n+1-p) \kappa \sum_I \langle \delta^{\partial M} \omega_I, \alpha_I \rangle. \tag{3.15}
\end{aligned}$$

Here  $H_1$  is the mean curvature of  $\iota_1$  which is related to the one of  $\iota_0$  by  $|H_1|^2 = |H_0|^2 + \kappa$ . Moreover,

$$\sum_{i_1}^{n+m+1} x_{i_1}^2 = \frac{1}{\kappa}$$

since  $\iota_0(\partial M) \subset \mathbb{S}^{n+m}(\kappa)$ , sphere of radius  $\kappa^{-1/2}$ . In order to compute the last two sums in the above equality, we take  $A = \text{Id}$  in (3.6), and thus,  $A^{[p-1]} = (p-1)\text{Id}$  and  $\text{tr}(A) = n$ , to get that

$$\sum_{i_2, \dots, i_p} |dx_{i_2}^T \wedge \dots \wedge dx_{i_p}^T|^2 = (p-1)! \binom{n}{p-1}.$$

On the other hand, denoting by  $\mathbb{I}$  the second fundamental form of the immersion  $\iota_1: \partial M \rightarrow \mathbb{R}^{n+m+1}$ , we get from equation (3.9) that

$$\begin{aligned}
\sum_I \langle \delta^{\partial M} \omega_I, \alpha_I \rangle &= \sum_{i_1, \dots, i_p} x_{i_1} \left\langle \sum_{a=1}^{m+1} \mathbb{I}_{\nu_a}^{[p-1]} ((\nu_a \lrcorner dx_I)^T) - (nH_1 \lrcorner dx_I)^T, dx_{i_2}^T \wedge \dots \wedge dx_{i_p}^T \right\rangle \\
&= -\kappa^{-1/2} \sum_{i_2, \dots, i_p} \left\langle \sum_{a=1}^{m+1} \mathbb{I}_{\nu_a}^{[p-1]} ((\nu_a \lrcorner (\nu_1 \wedge dx_{i_2} \wedge \dots \wedge dx_{i_p}))^T), dx_{i_2}^T \wedge \dots \wedge dx_{i_p}^T \right\rangle \\
&\quad + \kappa^{-1/2} \langle (nH_1 \lrcorner (\nu_1 \wedge dx_{i_2} \wedge \dots \wedge dx_{i_p}))^T, dx_{i_2}^T \wedge \dots \wedge dx_{i_p}^T \rangle.
\end{aligned}$$

In the second equality, we use the fact that, in the local orthonormal basis  $\{\nu_a\}_{a=1, \dots, m+1}$  of  $T^\perp \partial M$  for the immersion  $\iota_1: \partial M \rightarrow \mathbb{R}^{n+m+1}$ , it may be assumed that  $\nu_1 = -\kappa^{1/2} \sum_{i_1} x_{i_1} dx_{i_1}$  which is the inner unit normal vector field for the standard immersion  $\mathbb{S}^{n+m}(\kappa) \rightarrow \mathbb{R}^{n+m+1}$ . Hence, we proceed

$$\begin{aligned}
\sum_I \langle \delta^{\partial M} \omega_I, \alpha_I \rangle &= -\kappa^{-1/2} \sum_{i_2, \dots, i_p} \langle \mathbb{I}_{\nu_1}^{[p-1]} (dx_{i_2}^T \wedge \dots \wedge dx_{i_p}^T), dx_{i_2}^T \wedge \dots \wedge dx_{i_p}^T \rangle \\
&\quad + \kappa^{-1/2} \langle nH_1, \nu_1 \rangle \sum_{i_2, \dots, i_p} |dx_{i_2}^T \wedge \dots \wedge dx_{i_p}^T|^2 \\
&\stackrel{(3.6)}{=} -\kappa^{-1/2} (p-1)! \binom{n}{p-1} \frac{p-1}{n} \text{tr}(\mathbb{I}_{\nu_1}) + \kappa^{-1/2} \langle nH_1, \nu_1 \rangle (p-1)! \binom{n}{p-1} \\
&= (p-1)! \binom{n}{p-1} \frac{n-p+1}{n} \kappa^{-1/2} \langle nH_1, \nu_1 \rangle.
\end{aligned}$$

Now, the second fundamental form  $\mathbb{I}$  of the immersion  $\iota_1$  is the sum of the one of  $\iota_0$  and the one of the isometric immersion  $\mathbb{S}^{n+m}(\kappa) \rightarrow \mathbb{R}^{n+m+1}$ . Therefore,  $\langle \mathbb{I}(X, Y), \nu_1 \rangle = \kappa^{1/2} g(X, Y)$  for any  $X, Y \in T\partial M$ . Thus, by tracing over an orthonormal frame of  $T\partial M$ , we get  $\langle nH_1, \nu_1 \rangle = n\kappa^{1/2}$ . Then

$$\sum_I \langle \delta^{\partial M} \omega_I, \alpha_I \rangle = (p-1)! \binom{n}{p-1} (n-p+1).$$

Inserting this last computation into (3.15), we finally deduce that

$$\begin{aligned}
s &= p! \binom{n}{p} p \left( n|H_0|^2 + n\kappa - \frac{p-1}{n(n-1)} \text{Scal}^{\partial M} \right) \\
&\quad + \frac{p^2(n-p+1)^2\kappa}{4} (p-1)! \binom{n}{p-1} - p!(n-p+1)^2\kappa \binom{n}{p-1} \\
&= p! \binom{n}{p} p \left( n|H_0|^2 + n\kappa - \frac{p-1}{n(n-1)} \text{Scal}^{\partial M} \right) + (p-1)! \binom{n}{p-1} \frac{p(p-4)(n-p+1)^2\kappa}{4} \\
&= p! \binom{n}{p} p \left( n|H_0|^2 + n\kappa - \frac{p-1}{n(n-1)} \text{Scal}^{\partial M} + \frac{(p-4)(n-p+1)\kappa}{4} \right) \\
&= p! \binom{n}{p} p \left( n|H_0|^2 - \frac{p-1}{n(n-1)} \text{Scal}^{\partial M} + \frac{(np - (p-1)(p-4))\kappa}{4} \right).
\end{aligned}$$

Integrating the last identity and applying inequality (3.14) after simplifying by  $p! \binom{n}{p} p$ , we obtain the desired inequality. If equality holds, then for every multi-index  $I$ , necessarily  $\alpha_I = 0$  must hold by Theorem 3.5. But, pointwise, the  $\alpha_I$ 's span  $\Lambda^{p-1}T^*\partial M$ , therefore we obtain a contradiction. This shows that the inequality we obtained is strict and concludes the proof of Theorem 3.6.  $\blacksquare$

## 4 A Ros-type inequality for differential forms

In this section, we generalize the Ros inequality stated in [15, Theorem 1] to the set-up of differential forms.

**Theorem 4.1.** *Let  $(M^{n+1}, g)$  be any compact oriented  $(n+1)$ -dimensional Riemannian manifold with smooth nonempty boundary  $\partial M$ . Fix  $p \in \{2, \dots, n\}$  and assume that the  $(p-1)^{\text{th}}$  relative de Rham cohomology group is reduced to zero,  $W^{[p]} \geq 0$  on  $M$  as well as  $\sigma_{n+1-p} > 0$  along  $\partial M$ . Assume also the existence of a nonzero parallel  $(p-1)$ -form  $\omega_0$  on  $M$ , whose constant length may be assumed to be 1. Then*

$$(n+2-p)\text{Vol}(M, g) \leq (n+1-p) \int_{\partial M} \frac{|\iota^*\omega_0|^2}{\sigma_{n+1-p}} d\mu_g. \quad (4.1)$$

If equality in (4.1) is realized, then under the assumptions that  $\text{Ric} \geq 0$  (when  $p \geq 3$ ) and the mean curvature  $H$  is constant, then the manifold  $M$  is the  $(n+1)$ -dimensional flat disk  $\mathbb{D}^{n+1}$ .

**Proof.** Since we assume that  $H_{\text{rel}}^{p-1}(M) = 0$ , [17, Theorem 3.2.5] implies the existence of a  $p$ -form  $\omega$  on  $M$  with  $d\omega = 0$ ,  $\delta\omega = \omega_0$  on  $M$  as well as  $\iota^*\omega = 0$  along  $\partial M$ . We apply (2.1) to  $\omega$ . First, because  $d\omega = 0$ , it follows from [7, Lemme 6.8] that

$$|\nabla\omega|^2 \geq \frac{|\delta\omega|^2}{n-p+2}, \quad (4.2)$$

and since  $|\delta\omega|^2 = |\omega_0|^2 = 1$  and  $W^{[p]} \geq 0$  on  $M$ , we have

$$\begin{aligned}
&\int_M (|d\omega|^2 + |\delta\omega|^2 - |\nabla\omega|^2 - \langle W^{[p]}\omega, \omega \rangle) d\mu_g \\
&\leq \int_M \left( 1 - \frac{1}{n+2-p} \right) d\mu_g = \frac{n+1-p}{n+2-p} \text{Vol}(M, g).
\end{aligned}$$

Moreover  $\delta^{\partial M}(\iota^*\omega) = 0$ ,  $S^{[p]}\iota^*\omega = 0$  and

$$\langle S^{[n+1-p]}\iota^*(\star\omega), \iota^*(\star\omega) \rangle \geq \sigma_{n+1-p} |\nu \lrcorner \omega|^2.$$

Therefore, (2.1) yields

$$\frac{n+1-p}{n+2-p} \text{Vol}(M, g) \geq \int_{\partial M} \sigma_{n+1-p} |\nu \lrcorner \omega|^2 d\mu_g.$$

Now partial integration together with Cauchy–Schwarz inequality give

$$\begin{aligned} \text{Vol}(M, g) &= \int_M \langle \delta\omega, \omega_0 \rangle d\mu_g = \int_M \langle \omega, \underbrace{d\omega_0}_0 \rangle d\mu_g + \int_{\partial M} \langle \nu \lrcorner \omega, \iota^* \omega_0 \rangle d\mu_g \\ &= \int_{\partial M} \left\langle \sigma_{n+1-p}^{1/2} \nu \lrcorner \omega, \frac{\iota^* \omega_0}{\sigma_{n+1-p}^{1/2}} \right\rangle d\mu_g \\ &\leq \left( \int_{\partial M} \sigma_{n+1-p} |\nu \lrcorner \omega|^2 d\mu_g \right)^{1/2} \cdot \left( \int_{\partial M} \frac{|\iota^* \omega_0|^2}{\sigma_{n+1-p}} d\mu_g \right)^{1/2}, \end{aligned} \quad (4.3)$$

so that

$$\int_{\partial M} \sigma_{n+1-p} |\nu \lrcorner \omega|^2 d\mu_g \geq \frac{\text{Vol}(M, g)^2}{\int_{\partial M} \frac{|\iota^* \omega_0|^2}{\sigma_{n+1-p}} d\mu_g}.$$

Injecting that inequality in the last one involving the volume of  $M$ , we obtain (4.1). Assume now equality in (4.1) is attained, then equality holds in (4.2) so that

$$\nabla_X \omega = -\frac{1}{n-p+2} X \wedge \delta\omega$$

for all  $X \in TM$ . Also, we have that  $S^{[n+1-p]} \iota^*(\star\omega) = \sigma_{n+1-p} \iota^*(\star\omega)$ , which from the identity  $*_{\partial M} S^{[p-1]} + S^{[n-p+1]} *_{\partial M} = nH *_{\partial M}$  valid on  $(p-1)$ -forms on  $\partial M$  [14, p. 624], is equivalent to saying that  $S^{[p-1]}(\nu \lrcorner \omega) = (nH - \sigma_{n+1-p}) \nu \lrcorner \omega$ . The Cauchy–Schwarz inequality in (4.3) is an equality and, thus, we get that  $\iota^* \omega_0 = c \sigma_{n+1-p} \nu \lrcorner \omega$  for some constant  $c$ . The constant  $c$  can be determined by just replacing the last identity into (4.1) and the second equality in (4.3) to deduce that  $c = \frac{n+2-p}{n+1-p}$ . Now, from [14, Lemma 18], we get

$$d^{\partial M}(\nu \lrcorner \omega) = -\nu \lrcorner d\omega + \iota^*(\nabla_\nu \omega) - S^{[p]} \iota^* \omega = 0. \quad (4.4)$$

Here, we use that  $d\omega = 0$ ,  $S^{[p]} \iota^* \omega = 0$  and that the tangential part of  $\nabla_\nu \omega = -\frac{1}{n-p+2} \nu \wedge \delta\omega$  is zero as well. On the other hand, we have that  $\delta^{\partial M}(\nu \lrcorner \omega) = -\nu \lrcorner \delta\omega = -\nu \lrcorner \omega_0$ . Hence, using (4.4), we get that

$$\begin{aligned} \Delta^{\partial M}(\nu \lrcorner \omega) &= -d^{\partial M}(\nu \lrcorner \omega_0) = \nu \lrcorner d\omega_0 - \iota^*(\nabla_\nu \omega_0) + S^{[p-1]} \iota^* \omega_0 \\ &= c \sigma_{n+1-p} (nH - \sigma_{n+1-p}) \nu \lrcorner \omega. \end{aligned}$$

Taking now the  $L^2$ -scalar product of the last identity with  $\nu \lrcorner \omega$  yields the following

$$\begin{aligned} c \int_{\partial M} \sigma_{n+1-p} (nH - \sigma_{n+1-p}) |\nu \lrcorner \omega|^2 &= \int_{\partial M} |\delta^{\partial M}(\nu \lrcorner \omega)|^2 d\mu_g = \int_{\partial M} |\nu \lrcorner \omega_0|^2 d\mu_g \\ &= \text{Vol}(\partial M, g) - \int_{\partial M} |\iota^* \omega_0|^2 d\mu_g \\ &= \text{Vol}(\partial M, g) - c^2 \int_{\partial M} \sigma_{n+1-p}^2 |\nu \lrcorner \omega|^2 d\mu_g. \end{aligned}$$

Hence, we deduce that

$$\text{Vol}(\partial M, g) = c \int_{\partial M} \sigma_{n+1-p} (nH + (c-1)\sigma_{n+1-p}) |\nu \lrcorner \omega|^2 d\mu_g.$$

Now, the second equality in (4.3) gives that

$$\text{Vol}(M, g) = c \int_{\partial M} \sigma_{n+1-p} |\nu \lrcorner \omega|^2 d\mu_g.$$

Hence, after replacing  $c$  by  $\frac{n+2-p}{n+1-p}$ , we deduce that

$$\begin{aligned} \frac{\text{Vol}(\partial M, g)}{\text{Vol}(M, g)} &= \frac{\int_{\partial M} \sigma_{n+1-p} (nH + \frac{\sigma_{n+1-p}}{n+1-p}) |\nu \lrcorner \omega|^2 d\mu_g}{\int_{\partial M} \sigma_{n+1-p} |\nu \lrcorner \omega|^2 d\mu_g} \\ &\leq \frac{\int_{\partial M} \sigma_{n+1-p} (nH + H) |\nu \lrcorner \omega|^2 d\mu_g}{\int_{\partial M} \sigma_{n+1-p} |\nu \lrcorner \omega|^2 d\mu_g} = (n+1)H. \end{aligned}$$

The last equality uses the assumption that the mean curvature  $H$  is constant. Therefore, we are in the equality case of Ros inequality [15, Theorem 1]. This allows to conclude the proof. ■

Note that, when  $p = 1$ , the assumptions of Theorem 4.1 reduce to  $\text{Ric} \geq 0$  on  $M$  as well as  $H > 0$  along  $\partial M$ , in which case (4.1) reads

$$\int_{\partial M} \frac{1}{H} d\mu_g \geq (n+1)\text{Vol}(M, g)$$

which is exactly the Ros inequality from [15, Theorem 1].

**Remark 4.2.** Let us consider the particular case where  $M^{n+1}$  is a domain in the Euclidean space  $\mathbb{R}^{n+1}$ . For any  $p$ , we take  $i_1, \dots, i_{p-1} \in \{1, \dots, n+1\}$  such that  $i_1 < i_2 < \dots < i_{p-1}$  and denote by  $\omega_0 := dx_{i_1} \wedge \dots \wedge dx_{i_{p-1}}$  the parallel  $(p-1)$ -form in  $\Lambda^{p-1}(\mathbb{R}^{n+1})^*$  which is of norm 1. By Theorem 4.1, we have

$$(n+2-p)\text{Vol}(M, g) \leq (n+1-p) \int_{\partial M} \frac{|dx_{i_1}^T \wedge \dots \wedge dx_{i_{p-1}}^T|^2}{\sigma_{n+1-p}} d\mu_g.$$

Summing over  $i_1 < i_2 < \dots < i_{p-1}$ , we get that

$$\binom{n+1}{p-1} (n+2-p)\text{Vol}(M, g) \leq (n+1-p) \int_{\partial M} \frac{\binom{n}{p-1}}{\sigma_{n+1-p}} d\mu_g.$$

Here, we use that, by equation (3.6) for  $A = \text{Id}$ ,

$$\sum_{i_1 < i_2 < \dots < i_{p-1}} |dx_{i_1}^T \wedge \dots \wedge dx_{i_{p-1}}^T|^2 = \frac{1}{(p-1)!} \sum_{i_1, i_2, \dots, i_{p-1}} |dx_{i_1}^T \wedge \dots \wedge dx_{i_{p-1}}^T|^2 = \binom{n}{p-1}.$$

Hence, we deduce that

$$(n+1)\text{Vol}(M, g) \leq (n+1-p) \int_{\partial M} \frac{1}{\sigma_{n+1-p}} d\mu_g$$

which actually can be deduced from Ros inequality using  $\frac{\sigma_{n+1-p}}{n+1-p} \leq H$ .

## Acknowledgements

We are very grateful to the *Mathematisches Forschungsinstitut Oberwolfach* (MFO) and the *Centre International de Rencontres Mathématiques* (CIRM, Luminy) where most of the work was carried out. The second-named author also thanks the *Alfried Krupp Wissenschaftskolleg* for its support. *Last but not the least* we are grateful to the referees for their constructive comments.



## References

- [1] Bourguignon J.-P., Hijazi O., Milhorat J.-L., Moroianu A., Moroianu S., A spinorial approach to Riemannian and conformal geometry, *EMS Monogr. Math.*, [European Mathematical Society](#) (EMS), Zürich, 2015.
- [2] Brown J.D., York Jr. J.W., Quasilocal energy and conserved charges derived from the gravitational action, *Phys. Rev. D* **47** (1993), 1407–1419, [arXiv:gr-qc/9209012](#).
- [3] do Carmo M.P., Warner F.W., Rigidity and convexity of hypersurfaces in spheres, *J. Differential Geometry* **4** (1970), 133–144.
- [4] Duff G.F.D., Spencer D.C., Harmonic tensors on Riemannian manifolds with boundary, *Ann. of Math.* **56** (1952), 128–156.
- [5] Friedrich T., Dirac operators in Riemannian geometry, *Grad. Stud. Math.*, Vol. 25, [American Mathematical Society](#), Providence, RI, 2000.
- [6] Gallot S., Hulin D., Lafontaine J., Riemannian geometry, 3rd ed., *Universitext*, [Springer](#), Berlin, 2004.
- [7] Gallot S., Meyer D., Opérateur de courbure et laplacien des formes différentielles d’une variété riemannienne, *J. Math. Pures Appl.* **54** (1975), 259–284.
- [8] Ginoux N., The Dirac spectrum, *Lecture Notes in Math.*, Vol. 1976, [Springer](#), Berlin, 2009.
- [9] Hijazi O., Montiel S., A holographic principle for the existence of parallel spinor fields and an inequality of Shi–Tam type, *Asian J. Math.* **18** (2014), 489–506, [arXiv:1502.04859](#).
- [10] Lawson Jr. H.B., Michelsohn M.-L., Spin geometry, *Princeton Math. Ser.*, Vol. 38, Princeton University Press, Princeton, NJ, 1989.
- [11] Miao P., Tam L.-F., On second variation of Wang–Yau quasi-local energy, *Ann. Henri Poincaré* **15** (2014), 1367–1402, [arXiv:1301.4656](#).
- [12] Miao P., Tam L.-F., Xie N., Critical points of Wang–Yau quasi-local energy, *Ann. Henri Poincaré* **12** (2011), 987–1017, [arXiv:1003.5048](#).
- [13] Miao P., Wang X., Boundary effect of Ricci curvature, *J. Differential Geom.* **103** (2016), 59–82, [arXiv:1408.2711](#).
- [14] Raulot S., Savo A., A Reilly formula and eigenvalue estimates for differential forms, *J. Geom. Anal.* **21** (2011), 620–640, [arXiv:1003.0817](#).
- [15] Ros A., Compact hypersurfaces with constant higher order mean curvatures, *Rev. Mat. Iberoamericana* **3** (1987), 447–453.
- [16] Savo A., On the first Hodge eigenvalue of isometric immersions, *Proc. Amer. Math. Soc.* **133** (2005), 587–594.
- [17] Schwarz G., Hodge decomposition – A method for solving boundary value problems, *Lecture Notes in Math.*, Vol. 1607, [Springer](#), Berlin, 1995.
- [18] Shi Y., Tam L.-F., Positive mass theorem and the boundary behaviors of compact manifolds with nonnegative scalar curvature, *J. Differential Geom.* **62** (2002), 79–125, [arXiv:math.DG/0301047](#).
- [19] Wang M.-T., Yau S.-T., Isometric embeddings into the Minkowski space and new quasi-local mass, *Comm. Math. Phys.* **288** (2009), 919–942, [arXiv:0805.1370](#).