

# A Banach Principle for Semifinite von Neumann Algebras

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**Abstract.** Utilizing the notion of uniform equicontinuity for sequences of functions with the values in the space of measurable operators, we present a non-commutative version of the Banach Principle for  $L^\infty$ .

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## 1 Introduction

Let  $(\Omega, \Sigma, \mu)$  be a probability space. Denote by  $\mathcal{L} = \mathcal{L}(\Omega, \mu)$  the set of all (classes of) complex-valued measurable functions on  $\Omega$ . Let  $\tau_\mu$  stand for the measure topology in  $\mathcal{L}$ . The classical Banach Principle may be stated as follows.

**Classical Banach Principle.** Let  $(X, \|\cdot\|)$  be a Banach space, and let  $a_n : (X, \|\cdot\|) \rightarrow (\mathcal{L}, \tau_\mu)$  be a sequence of continuous linear maps. Consider the following properties:

- (I) the sequence  $\{a_n(x)\}$  converges almost everywhere (a.e.) for every  $x \in X$ ;
- (II)  $a^*(x)(\omega) = \sup_n |a_n(x)(\omega)| < \infty$  a.e. for every  $x \in X$ ;
- (III) (II) holds, and the maximal operator  $a^* : (X, \|\cdot\|) \rightarrow (\mathcal{L}, \tau_\mu)$  is continuous at 0;
- (IV) the set  $\{x \in X : \{a_n(x)\} \text{ converges a.e.}\}$  is closed in  $X$ .

*Implications* (I)  $\Rightarrow$  (II)  $\Rightarrow$  (III)  $\Rightarrow$  (IV) always hold. If, in addition, there is a set  $D \subset X$ ,  $\overline{D} = X$ , such that the sequence  $\{a_n(x)\}$  converges a.e. for every  $x \in D$ , then all four conditions (I)–(IV) are equivalent.

The Banach Principle is most often and successfully applied in the context  $X = (L^p, \|\cdot\|_p)$ ,  $1 \leq p < \infty$ . At the same moment, in the case  $p = \infty$  the uniform topology in  $L^\infty$  appears to be too strong for the “classical” Banach Principle to be effective in  $L^\infty$ . For example, continuous functions are not uniformly dense in  $L^\infty$ .

In [1], employing the fact that the unit ball  $L_1^\infty = \{x \in L^\infty : \|x\|_\infty \leq 1\}$  is complete in  $\tau_\mu$ , the authors suggest to consider the measure topology in  $L^\infty$  replacing  $(X, \|\cdot\|)$  by  $(L_1^\infty, \tau_\mu)$ . Note that, since  $L_1^\infty$  is not a linear space, geometrical complications occur, which in [1] are treated with the help of the following lemma.

**Lemma 1.** If  $N(x, \delta) = \{y \in L_1^\infty : \|y - x\|_1 \leq \delta\}$ ,  $x \in L_1^\infty$ ,  $\delta > 0$ , then  $N(0, \delta) \subset N(x, \delta) - N(x, \delta)$  for any  $x \in L_1^\infty$ ,  $\delta > 0$ .

An application of the Baire category theorem yields the following replacement of (I)  $\Rightarrow$  (II).

**Theorem 1 ([1]).** *Let  $a_n : L^\infty \rightarrow \mathcal{L}$  be a sequence of  $\tau_\mu$ -continuous linear maps such that the sequence  $\{a_n(x)\}$  converges a.e. for all  $x \in L^\infty$ . Then the maximal operator  $a^*(x)(\omega) = \sup_n |a_n(x)(\omega)|$ ,  $x \in L^\infty$ , is  $\tau_\mu$ -continuous at 0 on  $L_1^\infty$ .*

At the same time, as it is known [1], even for a sequence  $a_n : L^\infty \rightarrow L^\infty$  of contractions, in which case condition (II) is clearly satisfied, the maximal operator  $a^* : L_1^\infty \rightarrow L_1^\infty$  may be not  $\tau_\mu$ -continuous at 0, i.e., (II) does not necessarily imply (III), whereas a replacement of the implication (III)  $\Rightarrow$  (IV) does hold:

**Theorem 2 ([1]).** *Assume that each  $a_n : L^\infty \rightarrow \mathcal{L}$  is linear, condition (II) holds with  $X = L^\infty$ , and the maximal operator  $a^* : L^\infty \rightarrow \mathcal{L}$  is  $\tau_\mu$ -continuous at 0 on  $L_1^\infty$ . Then the set  $\{x \in L_1^\infty : \{a_n(x)\} \text{ converges a.e.}\}$  is closed in  $(L_1^\infty, \tau_\mu)$ .*

A non-commutative Banach Principle for measurable operators affiliated with a semifinite von Neumann algebra was established in [5]. Then it was refined and applied in [7, 4, 3]. In [3] the notion of uniform equicontinuity of a sequence of functions into  $L(M, \tau)$  was introduced. The aim of this study is to present a non-commutative extension of the Banach Principle for  $L^\infty$  that was suggested in [1]. We were unable to prove a verbatim operator version of Lemma 1. Instead, we deal with the mentioned geometrical obstacles via essentially non-commutative techniques, which helps us to get rid of some restrictions in [1]. First, proof of Lemma 1 essentially depends on the assumption that the functions in  $\mathcal{L}$  be real-valued while the argument of the present article does not employ this condition. Also, our approach eliminates the assumption of the finiteness of measure.

## 2 Preliminaries

Let  $M$  be a semifinite von Neumann algebra acting on a Hilbert space  $H$ , and let  $B(H)$  denote the algebra of all bounded linear operators on  $H$ . A densely-defined closed operator  $x$  in  $H$  is said to be *affiliated* with  $M$  if  $y'x \subset xy'$  for every  $y' \in B(H)$  with  $y'z = zy'$ ,  $z \in M$ . We denote by  $P(M)$  the complete lattice of all projections in  $M$ . Let  $\tau$  be a faithful normal semifinite trace on  $M$ . If  $I$  is the identity of  $M$ , denote  $e^\perp = I - e$ ,  $e \in P(M)$ . An operator  $x$  affiliated with  $M$  is said to be  $\tau$ -*measurable* if for each  $\epsilon > 0$  there exists a projection  $e \in P(M)$  with  $\tau(e^\perp) \leq \epsilon$  such that  $eH$  lies in the domain of the operator  $x$ . Let  $L = L(M, \tau)$  stand for the set of all  $\tau$ -measurable operators affiliated with  $M$ . Denote  $\|\cdot\|$  the uniform norm in  $B(H)$ . If for any given  $\epsilon > 0$  and  $\delta > 0$  one sets

$$V(\epsilon, \delta) = \{x \in L : \|xe\| \leq \delta \text{ for some } e \in P(M) \text{ with } \tau(e^\perp) \leq \epsilon\},$$

then the topology  $t_\tau$  in  $L$  defined by the family  $\{V(\epsilon, \delta) : \epsilon > 0, \delta > 0\}$  of neighborhoods of zero is called a *measure topology*.

**Theorem 3 ([9], see also [8]).**  *$(L, t_\tau)$  is a complete metrizable topological  $*$ -algebra.*

**Proposition 1.** *For any  $d > 0$ , the sets  $M_d = \{x \in M : \|x\| \leq d\}$  and  $M_d^h = \{x \in M_d : x^* = x\}$  are  $t_\tau$ -complete.*

**Proof.** Because  $(L, t_\tau)$  is a complete metric space, it is enough to show that  $M_d$  and  $M_d^h$  are (sequentially) closed in  $(L, t_\tau)$ . If  $M_d \ni x_n \rightarrow_{t_\tau} x \in L$ , then  $0 \leq x_n^* x_n \leq d \cdot I$  and, due to Theorem 3,  $x_n x_n^* \rightarrow_{t_\tau} x^* x$ . Since  $\{x \in L : x \geq 0\}$  is  $t_\tau$ -complete, we have  $0 \leq x^* x \leq d \cdot I$ , which implies that  $x \in M_d$ . Therefore,  $M_d$  is closed in  $(L, t_\tau)$ . Similarly, it can be checked that  $M_d^h$  is closed in  $(L, t_\tau)$ . ■

A sequence  $\{y_n\} \subset L$  is said to converge *almost uniformly* (a.u.) to  $y \in L$  if for any given  $\epsilon > 0$  there exists a projection  $e \in P(M)$  with  $\tau(e^\perp) \leq \epsilon$  satisfying  $\|(y - y_n)e\| \rightarrow 0$ .

**Proposition 2.** *If  $\{y_n\} \subset L$ , then the conditions*

- (i)  $\{y_n\}$  converges a.u. in  $L$ ;
- (ii) for every  $\epsilon > 0$  there exists  $e \in P(M)$  with  $\tau(e^\perp) \leq \epsilon$  such that  $\|(y_m - y_n)e\| \rightarrow 0$  as  $m, n \rightarrow \infty$

are equivalent.

**Proof.** Implication (i)  $\Rightarrow$  (ii) is trivial. (ii)  $\Rightarrow$  (i): Condition (ii) implies that the sequence  $\{y_n\}$  is fundamental in measure. Therefore, by Theorem 3, one can find  $y \in L$  such that  $y_n \rightarrow y$  in  $t_\tau$ . Fix  $\epsilon > 0$ , and let  $p \in P(M)$  be such that  $\tau(p^\perp) \leq \epsilon/2$  and  $\|(y_m - y_n)p\| \rightarrow 0$  as  $m, n \rightarrow \infty$ . Because the operators  $y_n$ ,  $n \geq 1$ , are measurable, it is possible to construct such a projection  $q \in P(M)$  with  $\tau(q^\perp) \leq \epsilon/2$  that  $\{y_n q\} \subset M$ . Defining  $e = p \wedge q$ , we obtain  $\tau(e^\perp) \leq \epsilon$ ,  $y_n e = y_n q e \in M$ , and

$$\|y_m e - y_n e\| = \|(y_m - y_n)p e\| \leq \|(y_m - y_n)p\| \rightarrow 0,$$

$m, n \rightarrow \infty$ . Thus, there exists  $y(e) \in M$  satisfying  $\|y_n e - y(e)\| \rightarrow 0$ . In particular,  $y_n e \rightarrow y(e)$  in  $t_\tau$ . On the other hand,  $y_n e \rightarrow y e$  in  $t_\tau$ , which implies that  $y(e) = y e$ . Hence,  $\|(y_n - y)e\| \rightarrow 0$ , i.e.  $y_n \rightarrow y$  a.u.  $\blacksquare$

The following is a non-commutative Riesz's theorem [9]; see also [5].

**Theorem 4.** *If  $\{y_n\} \subset L$  and  $y = t_\tau - \lim_{n \rightarrow \infty} y_n$ , then  $y = a.u. - \lim_{k \rightarrow \infty} y_{n_k}$  for some subsequence  $\{y_{n_k}\} \subset \{y_n\}$ .*

### 3 Uniform equicontinuity for sequences of maps into $L(M, \tau)$

Let  $E$  be any set. If  $a_n : E \rightarrow L$ ,  $x \in E$ , and  $b \in M$  are such that  $\{a_n(x)b\} \subset M$ , then we denote

$$S(x, b) = S(\{a_n\}, x, b) = \sup_n \|a_n(x)b\|.$$

Definition below is in part due to the following fact.

**Lemma 2.** *Let  $(X, +)$  be a semigroup,  $a_n : X \rightarrow L$  be a sequence of additive maps. Assume that  $\bar{x} \in X$  is such that for every  $\epsilon > 0$  there exist a sequence  $\{x_k\} \subset X$  and a projection  $p \in P(M)$  with  $\tau(p^\perp) \leq \epsilon$  such that*

- (i)  $\{a_n(\bar{x} + x_k)\}$  converges a.u. as  $n \rightarrow \infty$  for every  $k$ ;
- (ii)  $S(x_k, p) \rightarrow 0$ ,  $k \rightarrow \infty$ .

Then the sequence  $\{a_n(\bar{x})\}$  converges a.u. in  $L$ .

**Proof.** Fix  $\epsilon > 0$ , and let  $\{x_k\} \subset X$  and  $p \in P(M)$ ,  $\tau(p^\perp) \leq \epsilon/2$ , be such that conditions (i) and (ii) hold. Pick  $\delta > 0$  and let  $k_0 = k_0(\delta)$  be such that  $S(x_{k_0}, p) \leq \delta/3$ . By Proposition 2, there is a projection  $q \in P(M)$  with  $\tau(q^\perp) \leq \epsilon/2$  and a positive integer  $N$  for which the inequality

$$\|(a_m(\bar{x} + x_{k_0}) - a_n(\bar{x} + x_{k_0}))q\| \leq \frac{\delta}{3}$$

holds whenever  $m, n \geq N$ . If one defines  $e = p \wedge q$ , then  $\tau(e^\perp) \leq \epsilon$  and

$$\begin{aligned} \|(a_m(\bar{x}) - a_n(\bar{x}))e\| &\leq \|(a_m(\bar{x} + x_{k_0}) - a_n(\bar{x} + x_{k_0}))e\| \\ &\quad + \|a_m(x_{k_0})e\| + \|a_n(x_{k_0})e\| \leq \delta \end{aligned}$$

for all  $m, n \geq N$ . Therefore, by Proposition 2, the sequence  $\{a_n(\bar{x})\}$  converges a.u. in  $L$ .  $\blacksquare$

Let  $(X, t)$  be a topological space, and let  $a_n : X \rightarrow L$  and  $x_0 \in X$  be such that  $a_n(x_0) = 0$ ,  $n = 1, 2, \dots$ . Recall that the sequence  $\{a_n\}$  is *equicontinuous* at  $x_0$  if, given  $\epsilon > 0$  and  $\delta > 0$ , there is a neighborhood  $U$  of  $x_0$  in  $(X, t)$  such that  $a_n U \subset V(\epsilon, \delta)$ ,  $n = 1, 2, \dots$ , i.e., for every  $x \in U$  and every  $n$  one can find a projection  $e = e(x, n) \in P(M)$  with  $\tau(e^\perp) \leq \epsilon$  satisfying  $\|a_n(x)e\| \leq \delta$ .

**Definition.** Let  $(X, t)$ ,  $a_n : X \rightarrow L$ , and  $x_0 \in X$  be as above. Let  $x_0 \in E \subset X$ . The sequence  $\{a_n\}$  will be called *uniformly equicontinuous* at  $x_0$  on  $E$  if, given  $\epsilon > 0, \delta > 0$ , there is a neighborhood  $U$  of  $x_0$  in  $(X, t)$  such that for every  $x \in E \cap U$  there exists a projection  $e = e(x) \in P(M)$ ,  $\tau(e^\perp) \leq \epsilon$ , satisfying  $S(x, e) \leq \delta$ .

As it can be easily checked, the uniform equicontinuity is a non-commutative generalization of the continuity of the maximal operator, a number of equivalent forms of which are presented in [1].

Let  $\rho$  be an invariant metric in  $L$  compatible with  $t_\tau$  (see Theorem 3).

**Lemma 3.** *Let  $d > 0$ . If a sequence  $a_n : M \rightarrow L$  of additive maps is uniformly equicontinuous at 0 on  $M_d^h$ , then it is also uniformly equicontinuous at 0 on  $M_d$ .*

**Proof.** Fix  $\epsilon > 0, \delta > 0$ . Let  $\gamma > 0$  be such that, given  $x \in M_d^h$ ,  $\rho(0, x) < \gamma$ , there is  $e = e(x) \in P(M)$  for which  $\tau(e^\perp) \leq \epsilon/2$  and  $S(x, e) \leq \delta/2$  hold. Pick  $x \in M_d$  with  $\rho(0, x) < \gamma$ . We have  $x = \operatorname{Re}(x) + i \operatorname{Im}(x)$ , where  $\operatorname{Re}(x) = \frac{x+x^*}{2}$ ,  $\operatorname{Im}(x) = \frac{x-x^*}{2i}$ . Clearly,  $\operatorname{Re}(x), \operatorname{Im}(x) \in M_d^h$  and  $\rho(0, \operatorname{Re}(x)) < \gamma, \rho(0, \operatorname{Im}(x)) < \gamma$ . Therefore, one can find such  $p, q \in P(M)$  with  $\tau(p^\perp) \leq \epsilon/2$  and  $\tau(q^\perp) \leq \epsilon/2$  that  $S(\operatorname{Re}(x), p) \leq \delta/2$  and  $S(\operatorname{Im}(x), q) \leq \delta/2$ . Defining  $r = p \wedge q$ , we get  $\tau(r^\perp) \leq \epsilon$  and

$$S(x, r) \leq S(\operatorname{Re}(x), r) + S(\operatorname{Im}(x), r) \leq S(\operatorname{Re}(x), p) + S(\operatorname{Im}(x), q) \leq \delta,$$

implying that the sequence  $\{a_n\}$  is uniformly equicontinuous at 0 on  $M_d$ . ■

**Lemma 4.** *Let a sequence  $a_n : M \rightarrow L$  of additive maps be uniformly equicontinuous at 0 on  $M_d$  for some  $0 < d \in \mathbb{Q}$ . Then  $\{a_n\}$  is also uniformly equicontinuous at 0 on  $M_s$  for every  $0 < s \in \mathbb{Q}$ .*

**Proof.** Pick  $0 < s \in \mathbb{Q}$ , and let  $r = d/s$ . Given  $\epsilon > 0, \delta > 0$ , one can present such  $\gamma > 0$  that for every  $x \in M_d$  with  $\rho(0, x) < \gamma r$  there is a projection  $e = e(x) \in P(M)$ ,  $\tau(e^\perp) \leq \epsilon$ , satisfying  $S(x, e) \leq \delta r$ . Since  $a_n$  is additive and  $d, s \in \mathbb{Q}$ , we have  $a_n(rx) = r a_n(x)$ . Also,  $rx \in M_d$  and  $\rho(0, rx) < \gamma r$  is equivalent to  $x \in M_s$  and  $\rho(0, x) < \gamma$ . Thus, given  $x \in M_s$  with  $\rho(0, x) < \gamma$ , we have

$$\|a_n(x)e\| = \frac{1}{r} \cdot \|a_n(rx)e\| \leq \delta,$$

meaning that the sequence  $\{a_n\}$  is uniformly equicontinuous at 0 on  $M_s$ . ■

## 4 Main results

Let  $0 \in E \subset M$ . For a sequence of functions  $a_n : (M, t_\tau) \rightarrow L$ , consider the following conditions

(CNV( $E$ )) almost uniform convergence of  $\{a_n(x)\}$  for every  $x \in E$ ;

(CNT( $E$ )) uniform equicontinuity at 0 on  $E$ ;

(CLS( $E$ )) closedness in  $(E, t_\tau)$  of the set  $C(E) = \{x \in E : \{a_n(x)\} \text{ converges a.u.}\}$ .

In this section we will study relationships among the conditions  $(\text{CNV}(M_1))$ ,  $(\text{CNT}(M_1))$ , and  $(\text{CLS}(M_1))$ .

**Remarks.** 1. Following the classical scheme (see Introduction), one more condition can be added to this list, namely, a non-commutative counterpart of the existence of the maximal operator, which can be stated as [5]:

$$(\text{BND}(E)) \text{ given } x \in E \text{ and } \epsilon > 0, \text{ there is } e \in P(M), \tau(e^\perp) \leq \epsilon, \text{ with } S(x, e) < \infty.$$

This condition can be called a *pointwise uniform boundedness* of  $\{a_n\}$  on  $E$ . It can be easily verified that  $(\text{CNV}(E))$  implies  $(\text{BND}(E))$ . But, as it was mentioned in Introduction, even in the commutative setting,  $(\text{BND}(M_1))$  does not guarantee  $(\text{CNT}(M_1))$ .

2. If  $a_n$  is additive for every  $n$ , then  $(\text{CNV}(M))$  follows from  $(\text{CNV}(M_1))$ .

3. If  $E$  is closed in  $(M, t_\tau)$  (for instance, if  $E = M_d$ , or  $E = M_d^h$ ; see Proposition 1), then  $(\text{CLS}(E))$  is equivalent to the closedness of  $C(E)$  in  $(L, t_\tau)$ .

In order to show that  $(\text{CNV}(M_1))$  entails  $(\text{CNT}(M_1))$ , we will provide some auxiliary facts.

**Lemma 5.** *For any  $0 \leq x \in L$  and  $e \in P(M)$ ,  $x \leq 2(exe + e^\perp x e^\perp)$ .*

**Proof.** If  $a = e - e^\perp$ , then  $a^* = a$ , which implies that

$$0 \leq axa = exe - exe^\perp - e^\perp x e + e^\perp x e^\perp.$$

Therefore,  $exe^\perp + e^\perp x e \leq exe + e^\perp x e^\perp$ , and we obtain

$$x = (e + e^\perp)x(e + e^\perp) \leq 2(exe + e^\perp x e^\perp). \quad \blacksquare$$

For  $y \in M$ , denote  $l(y)$  the projection on  $\overline{yH}$ , and let  $r(y) = I - n(y)$ , where  $n(y)$  denotes the projection on  $\{\xi \in H : y\xi = 0\}$ . It is easily checked that  $l(y^*) = r(y)$ , so, if  $y^* = y$ , one can define  $s(y) = l(y) = r(y)$ . The projections  $l(y)$ ,  $r(y)$ , and  $s(y)$  are called, respectively, a *left support* of  $y$ , a *right support* of  $y$ , and a *support* of  $y = y^*$ . It is well-known that  $l(y)$  and  $r(y)$  are equivalent projections, in which case one writes  $l(y) \sim r(y)$ . In particular,  $\tau(l(y)) = \tau(r(y))$ ,  $y \in M$ . If  $y^* = y \in M$ ,  $y_+ = \int_0^\infty \lambda dE_\lambda$ , and  $y_- = -\int_{-\infty}^0 \lambda dE_\lambda$ , where  $\{E_\lambda\}$  is the spectral family of  $y$ , then we have  $y = y_+ - y_-$ ,  $y_+ = s(y_+)y_+s(y_+)$ , and  $y_- = -s(y_+)^\perp y_- s(y_+)^\perp$ .

The next lemma is, in a sense, a non-commutative replacement of Lemma 0.1.

**Lemma 6.** *Let  $y^* = y \in M$ ,  $-I \leq y \leq I$ . Denote  $e_+ = s(y_+)$ . If  $x \in M$  is such that  $0 \leq x \leq I$ , then*

$$-I \leq y - e_+ x e_+ \leq I \quad \text{and} \quad -I \leq y + e_+^\perp x e_+^\perp \leq I.$$

**Proof.** Because  $e_+ x e_+ \geq 0$ , we have  $y - e_+ x e_+ \leq y \leq I$ ; analogously,  $-I \leq y + e_+^\perp x e_+^\perp$ . On the other hand, since we obviously have  $e_+ x e_+ \leq e_+$ ,  $e_+^\perp x e_+^\perp \leq e_+^\perp$ ,  $e_+ y e_+ \leq e_+$ , and  $e_+^\perp y e_+^\perp \geq -e_+^\perp$ , one can write

$$y - e_+ x e_+ = y_+ - y_- - e_+ x e_+ = y_+ + e_+^\perp y e_+^\perp - e_+ x e_+ \geq y_+ - e_+^\perp - e_+ = y_+ - I \geq -I$$

and

$$y + e_+^\perp x e_+^\perp = e_+ y e_+ - y_- + e_+^\perp x e_+^\perp \leq e_+ - y_- + e_+^\perp = I - y_- \leq I,$$

which finishes the proof. \blacksquare

**Lemma 7.**  *$aV(\epsilon, \delta)b \subset V(2\epsilon, \delta)$  for all  $\epsilon > 0$ ,  $\delta > 0$ , and  $a, b \in M_1$ .*

**Proof.** Let  $x \in V(\epsilon, \delta)$ . There exists  $e \in P(M)$  such that  $\tau(e^\perp) \leq \epsilon$  and  $\|xe\| \leq \delta$ . If we denote  $q = n(e^\perp b)$ , then

$$bq = (e + e^\perp)bq = ebq + e^\perp bn(e^\perp b) = ebq.$$

Besides, we have  $q^\perp = r(e^\perp b) \sim l(e^\perp b) \leq e^\perp$ , which implies that  $\tau(q^\perp) \leq \epsilon$ . Now, if one defines  $p = e \wedge q$ , then  $\tau(p^\perp) \leq 2\epsilon$  and

$$\|axbp\| = \|axbqp\| = \|axebqp\| \leq \|axeb\| \leq \|a\| \cdot \|xe\| \cdot \|b\| \leq \delta.$$

Therefore,  $axb \in V(2\epsilon, \delta)$ . ■

**Lemma 8 ([5]).** *Let  $f$  be the spectral projection of  $b \in M$ ,  $0 \leq b \leq I$ , corresponding to the interval  $[1/2, 1]$ . Then*

- (i)  $\tau(f^\perp) \leq 2 \cdot \tau(I - b)$ ;
- (ii)  $f = bc$  for some  $c \in M$  with  $0 \leq c \leq 2 \cdot I$ .

We will also need the following fundamental result.

**Theorem 5 ([6]).** *Let  $a : M \rightarrow M$  be a positive linear map such that  $a(I) \leq I$ . Then  $a(x)^2 \leq a(x^2)$  for every  $x^* = x \in M$ .*

The next theorem represents a non-commutative extension of Theorem 1.

**Theorem 6.** *Let  $a_n : M \rightarrow L$  be a  $(CNV(M_1))$  sequence of positive  $t_\tau$ -continuous linear maps such that  $a_n(I) \leq I$ ,  $n = 1, 2, \dots$ . Then the sequence  $\{a_n\}$  is also  $(CNT(M_1))$ .*

**Proof.** Fix  $\epsilon > 0$  and  $\delta > 0$ . For  $N \in \mathbb{N}$  define

$$F_N = \left\{ x \in M_1^h : \sup_{n \geq N} \|(a_N(x) - a_n(x))b\| \leq \delta \text{ for some } b \in M, 0 \leq b \leq I, \tau(I - b) \leq \epsilon \right\}.$$

Show that the set  $F_N$  is closed in  $(M_1^h, \rho)$ . Let  $\{y_m\} \subset F_N$  and  $\rho(y_m, \bar{x}) \rightarrow 0$  for some  $\bar{x} \in L$ . It follows from Proposition 1 that  $\bar{x} \in M_1^h$ . We have  $a_1(y_m) \rightarrow a_1(\bar{x})$  in  $t_\tau$ , which, by Theorems 3 and 4, implies that there is a subsequence  $\{y_m^{(1)}\} \subset \{y_m\}$  such that  $a_1(y_m^{(1)})^* \rightarrow a_1(\bar{x})^*$  a.u. Similarly, there is a subsequence  $\{y_m^{(2)}\} \subset \{y_m^{(1)}\}$  for which  $a_2(y_m^{(2)})^* \rightarrow a_2(\bar{x})^*$  a.u. Repeating this process and defining  $x_m = y_m^{(m)} \in F_N$ ,  $m = 1, 2, \dots$ , we obtain

$$a_n(x_m)^* \longrightarrow a_n(\bar{x})^* \text{ a.u., } m \rightarrow \infty, \quad n = 1, 2, \dots$$

By definition of  $F_N$ , there exists a sequence  $\{b_m\} \subset M$ ,  $0 \leq b_m \leq I$ ,  $\tau(I - b_m) \leq \epsilon$ , such that  $\sup_{n \geq N} \|(a_N(x_m) - a_n(x_m))b_m\| \leq \delta$  for every  $m$ . Because  $M_1$  is weakly compact, there are a subnet  $\{b_\alpha\} \subset \{b_m\}$  and  $b \in M$  such that  $b_\alpha \rightarrow b$  weakly, i.e.  $(b_\alpha \xi, \xi) \rightarrow (b \xi, \xi)$  for all  $\xi \in H$ . Clearly  $0 \leq b \leq I$ . Besides, by the well-known inequality (see, for example [2]),

$$\tau(I - b) \leq \liminf_\alpha \tau(I - b_\alpha) \leq \epsilon.$$

We shall show that  $\sup_{n \geq N} \|(a_N(\bar{x}) - a_n(\bar{x}))b\| \leq \delta$ . Fix  $n \geq N$ . Since  $a_k(x_m)^* \rightarrow a_k(\bar{x})^*$  a.u.,  $k = n, N$ , given  $\sigma > 0$ , there exists a projection  $e \in P(M)$  with  $\tau(e^\perp) \leq \sigma$  satisfying

$$\|e(a_k(x_m) - a_k(\bar{x}))\| = \|(a_k(x_m)^* - a_k(\bar{x})^*)e\| \longrightarrow 0, \quad m \rightarrow \infty, \quad k = n, N.$$

Show first that  $\|e(a_N(\bar{x}) - a_n(\bar{x}))b\| \leq \delta$ . For every  $\xi, \eta \in H$  we have

$$|e((a_N(x_m) - a_n(x_m))b_m - (a_N(\bar{x}) - a_n(\bar{x}))b)\xi, \eta)|$$

$$\begin{aligned} & \leq |(e(a_N(x_m) - a_n(x_m) - a_N(\bar{x}) + a_n(\bar{x}))b_m\xi, \eta)| \\ & \quad + |((b_m - b)\xi, (a_N(\bar{x})^* - a_n(\bar{x})^*)e\eta)|. \end{aligned} \quad (1)$$

Fix  $\gamma > 0$  and choose  $m_0$  be such that

$$\|e(a_k(x_m) - a_k(\bar{x}))\| < \gamma, \quad k = n, N \quad (2)$$

whenever  $m \geq m_0$ . Since  $b_\alpha \rightarrow b$  weakly, one can find such an index  $\alpha(\gamma)$  that

$$|((b_\alpha - b)\xi, (a_N(\bar{x})^* - a_n(\bar{x})^*)e\eta)| < \gamma \quad (3)$$

as soon as  $\alpha \geq \alpha(\gamma)$ . Because  $\{b_\alpha\}$  is a subnet of  $\{b_m\}$ , there is such an index  $\alpha(m_0)$  that  $\{b_\alpha\}_{\alpha \geq \alpha(m_0)} \subset \{b_m\}_{m \geq m_0}$ . In particular, if  $\alpha_0 \geq \max\{\alpha(\gamma), \alpha(m_0)\}$ , then  $b_{\alpha_0} = b_{m_1}$  for some  $m_1 \geq m_0$ . It follows now from (1)–(3) that, for all  $\xi, \eta \in H$  with  $\|\xi\| = \|\eta\| = 1$ , we have

$$\begin{aligned} |(e(a_N(\bar{x}) - a_n(\bar{x}))b\xi, \eta)| & \leq |(e(a_N(x_{m_1}) - a_n(x_{m_1}))b_{m_1}\xi, \eta)| \\ & \quad + |(e(a_N(x_{m_1}) - a_n(x_{m_1}) - a_N(\bar{x}) + a_n(\bar{x}))b_{m_1}\xi, \eta)| \\ & \quad + |((b_{m_1} - b)\xi, (a_N(\bar{x})^* - a_n(\bar{x})^*)e\eta)| \\ & \leq \delta + \|e(a_N(x_{m_1}) - a_N(\bar{x}))\| + \|e(a_n(x_{m_1}) - a_n(\bar{x}))\| + \gamma < \delta + 3\gamma. \end{aligned}$$

Due to the arbitrariness of  $\gamma > 0$ , we get

$$\|e(a_N(\bar{x}) - a_n(\bar{x}))b\| = \sup_{\|\xi\|=\|\eta\|=1} |(e(a_N(\bar{x}) - a_n(\bar{x}))b\xi, \eta)| \leq \delta.$$

Next, we choose  $e_j \in P(M)$  such that  $\tau(e_j^\perp) \leq \frac{1}{j}$  and

$$\|e_j(a_k(x_m) - a_k(\bar{x}))\| \longrightarrow 0 \quad \text{as } m \rightarrow \infty, \quad k = n, N; \quad j = 1, 2, \dots$$

Since  $e_j \rightarrow I$  weakly,  $e_j(a_N(\bar{x}) - a_n(\bar{x}))b \rightarrow (a_N(\bar{x}) - a_n(\bar{x}))b$  weakly, therefore,

$$\|(a_N(\bar{x}) - a_n(\bar{x}))b\| \leq \limsup_{j \rightarrow \infty} \|e_j(a_N(\bar{x}) - a_n(\bar{x}))b\| \leq \delta.$$

Thus, for every  $n \geq N$  the inequality  $\|(a_N(\bar{x}) - a_n(\bar{x}))b\| \leq \delta$  holds, which implies that  $\bar{x} \in F_N$  and  $\overline{F_N} = F_N$ .

Further, as  $\{a_n(x)\}$  converges a.u. for every  $x \in M_1$ , taking into account Proposition 2, we obtain

$$M_1^h = \bigcup_{N=1}^{\infty} F_N.$$

By Proposition 1, the metric space  $(M_1^h, \rho)$  is complete. Therefore, using the Baire category theorem, one can present such  $N_0$  that  $F_{N_0}$  contains an open set. In other words, there exist  $x_0 \in F_{N_0}$  and  $\gamma_0 \geq 0$  such that for any  $x \in M_1^h$  with  $\rho(x_0, x) < \gamma_0$  it is possible to find  $b_x \in M$ ,  $0 \leq b_x \leq I$ , satisfying  $\tau(I - b_x) \leq \epsilon$  and

$$\sup_{n \geq N_0} \|(a_{N_0}(x) - a_n(x))b_x\| \leq \delta.$$

Let  $f_x$  be the spectral projection of  $b_x$  corresponding to the interval  $[1/2, 1]$ . Then, according to Lemma 8,  $\tau(f_x^\perp) \leq 2\epsilon$  and

$$\sup_{n \geq N_0} \|(a_{N_0}(x) - a_n(x))f_x\| \leq 2\delta$$

whenever  $x \in M_1^h$  and  $\rho(x_0, x) < \gamma_0$ . Since the multiplication in  $L$  is continuous with respect to the measure topology, Lemma 7 allows us to choose  $0 < \gamma_1 < \gamma_0$  in such a way that  $\rho(0, x) < \gamma_1$  would imply  $\rho(0, ax^2b) < \gamma_0$  for every  $a, b \in M_1$ . Denote  $e_+ = s(x_0^+)$ . Because  $a_i : (M, \rho) \rightarrow (L, t_\tau)$  is continuous for each  $i$ , there exists such  $0 < \gamma_2 < \gamma_1$  that, given  $x \in M$  with  $\rho(0, x) < \gamma_2$ , it is possible to find such a projection  $p \in P(M)$ ,  $\tau(p^\perp) \leq \epsilon$ , that

$$\|a_i(e_+x^2e_+)p\| \leq \delta \quad \text{and} \quad \|a_i(e_+^\perp x^2 e_+^\perp)p\| \leq \delta,$$

$i = 1, \dots, N_0$ . Let  $x \in M_1^h$  be such that  $\rho(0, x) < \gamma_2$ . Since  $0 \leq x^2 \leq I$ , Lemma 6 yields

$$-I \leq x_0 - e_+x^2e_+ \leq I \quad \text{and} \quad -I \leq x_0 + e_+^\perp x^2 e_+^\perp \leq I,$$

so, we have

$$y = x_0 - e_+x^2e_+ \in M_1^h \quad \text{and} \quad z = x_0 + e_+^\perp x^2 e_+^\perp \in M_1^h.$$

Besides,  $\rho(x_0, y) = \rho(0, -e_+x^2e_+) < \gamma_0$ , which implies that there is  $f_1 \in P(M)$  such that  $\tau(f_1^\perp) \leq 2\epsilon$  and

$$\sup_{n \geq N_0} \|(a_{N_0}(y) - a_n(y))f_1\| \leq 2\delta.$$

Analogously, one finds  $f_2 \in P(M)$ ,  $\tau(f_2^\perp) \leq 2\epsilon$ , satisfying

$$\sup_{n \geq N_0} \|(a_{N_0}(z) - a_n(z))f_2\| \leq 2\delta.$$

As  $\rho(0, x) < \gamma_2$ , there is  $p \in P(M)$  with  $\tau(p^\perp) \leq \epsilon$  such that the inequalities

$$\|a_i(e_+x^2e_+)p\| \leq \delta \quad \text{and} \quad \|a_i(e_+^\perp x^2 e_+^\perp)p\| \leq \delta$$

hold for all  $i = 1, \dots, N_0$ . Let  $e = f_{x_0} \wedge f_1 \wedge f_2 \wedge p$ . Then we have  $\tau(e^\perp) \leq 7\epsilon$  and, for  $n > N_0$ ,

$$\begin{aligned} \|a_n(e_+x^2e_+)e\| &\leq \|(a_{N_0}(x_0 - e_+x^2e_+) - a_n(x_0 - e_+x^2e_+) \\ &\quad + a_n(x_0) - a_{N_0}(x_0) + a_{N_0}(e_+x^2e_+))e\| \leq \|(a_{N_0}(y) - a_n(y))f_1e\| \\ &\quad + \|(a_{N_0}(x_0) - a_n(x_0))f_{x_0}e\| + \|a_{N_0}(e_+x^2e_+)pe\| \leq 5\delta. \end{aligned}$$

At the same time, if  $n \in \{1, \dots, N_0\}$ , then  $\|a_n(e_+x^2e_+)e\| = \|a_n(e_+x^2e_+)pe\| \leq \delta$ , so

$$\|a_n(e_+x^2e_+)e\| \leq 5\delta, \quad n = 1, 2, \dots$$

Analogously,

$$\|a_n(e_+^\perp x^2 e_+^\perp)e\| \leq 5\delta, \quad n = 1, 2, \dots$$

Next, by Lemma 5, we can write  $0 \leq x^2 \leq 2(e_+x^2e_+ + e_+^\perp x^2 e_+^\perp)$ . Since  $a_n$  is positive for every  $n$ , applying Theorem 5, we obtain

$$0 \leq ea_n(x)^2e \leq ea_n(x^2)e \leq 2(ea_n(e_+x^2e_+)e + ea_n(e_+^\perp x^2 e_+^\perp)e).$$

Therefore,

$$\|a_n(x)e\|^2 = \|ea_n(x)^2e\| \leq 20\delta, \quad n = 1, 2, \dots$$

Summarizing, given  $\epsilon > 0$ ,  $\delta > 0$ , it is possible to find such  $\gamma > 0$  that for every  $x \in M_1^h$  with  $\rho(0, x) < \gamma$  there is a projection  $e = e(x) \in P(M)$  such that  $\tau(e^\perp) \leq 7\epsilon$  and

$$S(x, e) = \sup_n \|a_n(x)e\| \leq \sqrt{20\delta}.$$

Thus, the sequence  $\{a_n\}$  is  $(\text{CNT}(M_1^h))$ , hence, by Lemma 3,  $(\text{CNT}(M_1))$ . ■



Now we shall present a non-commutative extension of Theorem 2.

**Theorem 7.** *A  $(\text{CNT}(M_1))$  sequence  $a_n : M \rightarrow L$  of additive maps is also  $(\text{CLS}(M_1))$ .*

**Proof.** Let  $\bar{x}$  belong to the  $t_\tau$ -closure of  $C(M_1)$ . By Proposition 1,  $\bar{x} \in M_1$ . Fix  $\epsilon > 0$ . Since, by Lemma 4, the sequence  $\{a_n\}$  is  $(\text{CNT}(M_2))$ , for every  $k \in \mathbb{N}$ , there is  $\gamma_k > 0$  such that, given  $x \in M_2$  with  $\rho(0, x) < \gamma_k$ , one can find a projection  $p_k = p_k(x) \in P(M)$ ,  $\tau(p_k^\perp) \leq \epsilon/2^k$ , satisfying  $S(x, p_k) \leq 1/k$ . Let a sequence  $\{y_n\} \subset C(M_1)$  be such that  $\rho(\bar{x}, y_k) < \gamma_k$ . If we set  $x_k = y_k - \bar{x}$ , then  $x_k \in M_2$ ,  $\rho(0, x_k) = \rho(\bar{x}, x_k + \bar{x}) = \rho(\bar{x}, y_k) < \gamma_k$ , and  $\bar{x} + x_k = y_k \in C(M_1)$ ,  $k = 1, 2, \dots$ . If  $e_k = p_k(x_k)$ , then  $\tau(e_k^\perp) \leq \epsilon/2^k$  and also  $S(x_k, e_k) \leq 1/k$ . Defining  $e = \bigwedge_{k=1}^\infty e_k$ , we obtain  $\tau(e^\perp) \leq \epsilon$  and  $S(x_k, e) \leq 1/k$ . Therefore, by Lemma 2, the sequence  $\{a_n(\bar{x})\}$  converges a.u., i.e.  $\bar{x} \in C(M_1)$ . ■

The following is an immediate consequence of the previous results of this section.

**Theorem 8.** *Let  $a_n : M \rightarrow L$  be a sequence of positive  $t_\tau$ -continuous linear maps such that  $a_n(I) \leq I$ ,  $n = 1, 2, \dots$ . If  $\{a_n\}$  is  $(\text{CNV}(D))$  with  $D$  being  $t_\tau$ -dense in  $M_1$ , then conditions  $(\text{CNV}(M_1))$ ,  $(\text{CNT}(M_1))$ , and  $(\text{CLS}(M_1))$  are equivalent.*

## 5 Conclusion

First we would like to stress that, due to Theorem 6, when establishing the almost uniform convergence of a sequence  $\{a_n(x)\}$  for all  $x \in L^\infty(M, \tau) = M$ , the uniform equicontinuity at 0 on  $M_1$  of the sequence  $\{a_n\}$  is assumed. Also, as it is noticed in [1], the above formulation is important because, for example, if  $\{a_n\}$  are bounded operators in a non-commutative  $L^p$ -space,  $1 \leq p < \infty$ , one may want to show that not only do these operators fail to converge a.u., but they fail so badly that  $\{a_n\}$  may fail to converge a.u. on any class of operators which is  $t_\tau$ -dense in  $M$ .

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