

HARISH-CHANDRA SUBALGEBRAS AND GELFAND-ZETLIN MODULES

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ABSTRACT. A new framework for the study of some modules over algebras is elaborated and applied to a new class of representations of Lie algebra $\mathcal{GL}(n)$.

1. Abstract Harish-Chandra situation

1.1. COFINITE SPECTRUM OF AN ALGEBRA.

Through the whole chapter we fix a field K . All considered algebras and categories will be K -algebras and K -linear categories. Respectively, all homomorphisms and functors will be K -linear. We shall write Hom , \otimes , \dim etc. instead of Hom_K , \otimes_K , \dim_K etc. For any algebra or category A denote A° the opposite algebra or category.

Denote $\text{cfs}(\Gamma)$ the *cofinite spectrum* of an algebra Γ , i.e. the set of maximal ideals of finite codimension in Γ . If $\mathfrak{m} \in \text{cfs}(\Gamma)$, then $\Gamma/\mathfrak{m} \simeq M_{\nu(\mathfrak{m})}(K(\mathfrak{m}))$ where $K(\mathfrak{m})$ is a finite dimensional division algebra over K . In particular, if K is algebraically closed, then $K(\mathfrak{m}) = K$. Let $S_{\mathfrak{m}}$ be the only simple left Γ/\mathfrak{m} -module and $DS_{\mathfrak{m}} = \text{Hom}(S_{\mathfrak{m}}, K)$ the only simple right Γ/\mathfrak{m} -module. Then $\mathfrak{m} \mapsto S_{\mathfrak{m}}$ (or $DS_{\mathfrak{m}}$) is a 1-1 correspondence between $\text{cfs}(\Gamma)$ and the set of isomorphism classes of simple left (or, resp., right) finite-dimensional Γ -modules.

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Put $\Gamma_{\mathbf{m}} = \varprojlim_n \Gamma/\mathbf{m}^n$, the \mathbf{m} -adic completion of Γ , and $J_{\mathbf{m}} = \varprojlim_n \mathbf{m}/\mathbf{m}^n$ (this is an ideal in $\Gamma_{\mathbf{m}}$).

Proposition 1.

1. $J_{\mathbf{m}} = \text{Rad}\Gamma_{\mathbf{m}}$ (the Jacobson radical).
2. $\Gamma_{\mathbf{m}} \simeq M_{\nu(\mathbf{m})}(\Delta_{\mathbf{m}})$ where $\Delta_{\mathbf{m}}$ is a local ring.
3. $\Delta_{\mathbf{m}}/R_{\mathbf{m}} \simeq K(\mathbf{m})$ where $R_{\mathbf{m}} = \text{Rad}\Delta_{\mathbf{m}}$.

The proof is evident.

Sometimes the following simple observation is useful.

Proposition 2. *If K is algebraically closed, then*

$$\text{cfs}(\Gamma) \times \text{cfs}(\Lambda) \simeq \text{cfs}(\Gamma \otimes \Lambda)$$

Namely, this bijection is given by:

$$(\mathbf{m}, \mathbf{n}) \longmapsto \mathbf{m} \otimes \Lambda + \Gamma \otimes \mathbf{n}$$

Moreover, the corresponding simple left (right) $\Gamma \otimes \Lambda$ -module is $S_{\mathbf{m}} \otimes S_{\mathbf{n}}$ (resp., $DS_{\mathbf{m}} \otimes DS_{\mathbf{n}}$).

The proof is immediately reduced to the finite-dimensional case, where it is quite evident.

1.2. QUASI-COMMUTATIVE ALGEBRAS.

Call an algebra Γ *quasi-commutative* provided $\text{Ext}_{\Gamma}^1(S_{\mathbf{m}}, S_{\mathbf{n}}) = 0$ for all $\mathbf{m}, \mathbf{n} \in \text{cfs}(\Gamma), \mathbf{m} \neq \mathbf{n}$.

Example 3.

1. Of course, any commutative algebra as well as any semi-simple¹ one is quasi-commutative.
2. Let $\Gamma = U(\mathcal{G})$ be the universal enveloping algebra of a finite-dimensional Lie algebra \mathcal{G} . If $\text{char}K = 0$ and \mathcal{G} is either reductive or nilpotent, then Γ is quasi-commutative [1].

Proposition 4. *Let $\mathbf{m}, \mathbf{n} \in \text{cfs}(\Gamma), \mathbf{m} \neq \mathbf{n}$, and suppose that \mathbf{m} is finitely generated as left ideal. Then the following conditions are equivalent:*

1. $\text{Ext}_{\Gamma}^1(S_{\mathbf{m}}, S_{\mathbf{n}}) = 0$

¹“semi-simple” will always mean “semi-simple artinian”.

$$2. \mathfrak{n} \cap \mathfrak{m} = \mathfrak{nm}$$

$$3. \mathfrak{mn} \subseteq \mathfrak{nm}$$

Proof. Remark that $\mathfrak{n} + \mathfrak{m} = \Gamma$, whence $\mathfrak{n} \cap \mathfrak{m} = \mathfrak{nm} + \mathfrak{mn}$. Thus $2. \iff 3.$

$1. \implies 2.$ Consider the exact sequence

$$0 \longrightarrow \mathfrak{m}/\mathfrak{nm} \longrightarrow \Gamma/\mathfrak{nm} \longrightarrow \Gamma/\mathfrak{m} \longrightarrow 0 \quad (1)$$

Here $\Gamma/\mathfrak{m} \simeq \nu(\mathfrak{m})S_{\mathfrak{m}}$ and $\mathfrak{m}/\mathfrak{nm} \simeq kS_{\mathfrak{n}}$ for some integer k . Hence, (1) splits and there are left ideals M, N in Γ such that:

$$M + N = \Gamma: M \cap N = \mathfrak{nm}: \Gamma/M \simeq \nu(\mathfrak{m})S_{\mathfrak{m}}; \Gamma/N \simeq kS_{\mathfrak{n}}$$

Therefore, $\mathfrak{m} \subseteq M, \mathfrak{n} \subseteq N$ and

$$\mathfrak{n} \cap \mathfrak{m} \subseteq N \cap M = \mathfrak{nm} \subseteq \mathfrak{n} \cap \mathfrak{m}$$

i.e. $\mathfrak{n} \cap \mathfrak{m} = \mathfrak{nm}$.

$2. \implies 1.$ Consider any exact sequence of the form:

$$0 \longrightarrow S_{\mathfrak{n}} \longrightarrow M \longrightarrow S_{\mathfrak{m}} \longrightarrow 0 \quad (2)$$

Evidently, $\mathfrak{nm}M = 0$, i.e. M is a module over the algebra

$$\Gamma/\mathfrak{nm} = \Gamma/\mathfrak{n} \cap \mathfrak{m} \simeq \Gamma/\mathfrak{n} \times \Gamma/\mathfrak{m}$$

which is semi-simple. Hence, (2) splits and $\text{Ext}_{\Gamma}^1(S_{\mathfrak{m}}, S_{\mathfrak{n}}) = 0$ Q.E.D.

Proposition 5. *If Γ is a finitely generated algebra and I is a left ideal of finite codimension in Γ , then I is finitely generated as left ideal.*

Proof. Let G be a generating set of Γ and B be a basis of Γ/I . For each $b \in B$ fix its representative $\bar{b} \in \Gamma$ and for any $x = \sum_i \lambda_i b_i$ with $\lambda_i \in K, b_i \in B$, put $\bar{x} = \sum_i \lambda_i \bar{b}_i$. Then it is easy to check that the set

$$\{ g\bar{b} - \bar{g}b \mid g \in G, b \in B \}$$

generates I as left ideal Q.E.D.

Corollary 6. *If Γ is a finitely generated algebra, then the following conditions are equivalent:*

1. Γ is quasi-commutative.
2. If $\mathfrak{m}, \mathfrak{n} \in \text{cfs}(\Gamma)$ and $\mathfrak{m} \neq \mathfrak{n}$, then $\mathfrak{m} \cap \mathfrak{n} = \mathfrak{nm}$.
3. If $\mathfrak{m}, \mathfrak{n} \in \text{cfs}(\Gamma)$, then $\mathfrak{mn} = \mathfrak{nm}$.

Corollary 7. *If Γ is quasi-commutative, then so is Γ° .*

1.3. HARISH-CHANDRA SUBALGEBRAS.

Let Γ be a subalgebra of an algebra A . Call Γ *quasi-central* (in A) if for any element $a \in A$ the bimodule $\Gamma a \Gamma$ is finitely generated both as left and as right Γ -module.

Proposition 8. *Suppose that Γ is noetherian and G is a set of generators of the algebra A . Then Γ is quasi-central in A if and only if $\Gamma g \Gamma$ is finitely generated both as left and as right Γ -module for each $g \in G$.*

The proof is evident as $\Gamma(ab)\Gamma \subseteq (\Gamma a \Gamma)(\Gamma b \Gamma)$ and $\Gamma(a + b)\Gamma \subseteq \Gamma a \Gamma + \Gamma b \Gamma$.

Example 9.

1. Of course, if Γ is central (i.e. contained in the centre of A), it is also quasi-central.
2. Let $A = U(\mathcal{G})$ and $\Gamma = U(\mathcal{H})$ where \mathcal{G} is a finite-dimensional Lie algebra and \mathcal{H} its Lie subalgebra. Then one can easily check that $\Gamma \mathcal{G} = \mathcal{G} \Gamma$. By PROPOSITION 8. Γ is quasi-central in A .

Now, call the subalgebra $\Gamma \subseteq A$ a *Harish-Chandra subalgebra* provided it is both quasi-central and quasi-commutative.

Example 10.

1. Any central subalgebra is a Harish-Chandra one.
2. Suppose that $\text{char} K = 0$. If $A = U(\mathcal{G})$ for a finite-dimensional Lie algebra \mathcal{G} and $\Gamma = U(\mathcal{H})$ where \mathcal{H} is either reductive or nilpotent Lie subalgebra of \mathcal{G} , then Γ is a Harish-Chandra subalgebra of A .
3. One more example - the *Gelfand-Zetlin subalgebra* - will be considered below.

From now on, let Γ be a Harish-Chandra subalgebra of A . Put $\Gamma^\epsilon = \Gamma \oplus \Gamma^\circ$. For any $a \in A$ consider the Γ -bimodule epimorphism $\phi_a : \Gamma^\epsilon \rightarrow \Gamma a \Gamma$ mapping $\beta \oplus \gamma^\circ$ to $\beta a \gamma$. Let $I_a = \text{Ker} \phi_a$ (it is a left ideal in Γ^ϵ). Define the subset $X_a \subseteq \text{cfs}(\Gamma)^2$ by the rule:

$$X_a = \{ (\mathbf{m}, \mathbf{n}) \mid S_{\mathbf{n}} \text{ is a composition factor of } \Gamma a \Gamma / \Gamma a \mathbf{m} \text{ as of left } \Gamma\text{-module} \}$$

Proposition 11. *The following conditions are equivalent:*

1. $(\mathbf{m}, \mathbf{n}) \in X_a$.
2. $DS_{\mathbf{m}}$ is a composition factor of $\Gamma a \Gamma / \mathbf{n} a \Gamma$ as of right Γ -module.

$$3. \mathfrak{n}a\Gamma + \Gamma a\mathfrak{m} \neq \Gamma a\Gamma.$$

$$4. \mathfrak{n} \otimes \Gamma^\circ + \Gamma \otimes \mathfrak{m}^\circ + I_a \neq \Gamma^\circ.$$

Proof. Put $M = \Gamma a\Gamma / \Gamma a\mathfrak{m}$. As $\Gamma a\Gamma$ is finitely generated right Γ -module and Γ/\mathfrak{m} is finite-dimensional, M is also finite-dimensional. Hence M considered as left Γ -module has a composition series with factors isomorphic to S_l for some ideals $l \in \text{cfs}(\Gamma)$. But as Γ is quasi-commutative, $S_{\mathfrak{n}}$ is a composition factor of M if and only if it is isomorphic to a factor-module of M which means, of course, that $\mathfrak{n}M \neq M$. Therefore, $1. \iff 3.$

Quite analogously, $2. \iff 3.$ At last, $3. \iff 4.$ is evident, Q.E.D.

Corollary 12. For any $\mathfrak{m} \in \text{cfs}(\Gamma)$ and $a \in A$ the set

$$X_a(\mathfrak{m}) = \{ \mathfrak{n} \in \text{cfs}(\Gamma) \mid (\mathfrak{m}, \mathfrak{n}) \in X_a \}$$

is finite.

Denote \prec the least preorder relation on $\text{cfs}(\Gamma)$ containing all X_a (i.e. such that $(\mathfrak{m}, \mathfrak{n}) \in X_a$ implies $\mathfrak{m} \prec \mathfrak{n}$) and Δ the least equivalence relation containing all X_a . Put also $\nabla = \prec \cap \prec^{-1}$ (the equivalence relation associated with the preorder \prec). Let $\Delta\mathfrak{m}$ (resp., $\nabla\mathfrak{m}$) denotes the equivalence class of Δ (resp., ∇) containing \mathfrak{m} and $\Delta(A, \Gamma)$ (resp., $\nabla(A, \Gamma)$) denotes the set of all equivalence classes of Δ (resp., ∇).

1.4. HARISH-CHANDRA MODULES.

Remind that we consider a fixed Harish-Chandra subalgebra $\Gamma \subseteq A$. For an A -module M and an ideal $\mathfrak{m} \in \text{cfs}(\Gamma)$ put

$$M(\mathfrak{m}) = \{ x \in M \mid \exists k(\mathfrak{m}^k x = 0) \}$$

Call M a *Harish-Chandra module (with respect to Γ)* if $M = \coprod_{\mathfrak{m} \in \text{cfs}(\Gamma)} M(\mathfrak{m})$. Of course, as Γ is quasi-commutative, M is a Harish-Chandra module if and only if it is a sum of finite-dimensional Γ -submodules. Remark that any submodule or factor-module of a Harish-Chandra module is also a Harish-Chandra module.

Example 13. Let $\text{char}K = 0$, $A = U(\mathcal{G})$ and $\Gamma = U(\mathcal{H})$ where \mathcal{G} is a finite-dimensional Lie algebra and \mathcal{H} its semi-simple Lie subalgebra. Then the notion of Harish-Chandra modules coincides with the usual definition of Harish-Chandra \mathcal{G} -modules with respect to \mathcal{H} (cf. [1]).

Denote $\mathbf{H}(A, \Gamma)$ the category of all Harish-Chandra A -modules with respect to Γ and $\text{Irr}(A, \Gamma)$ the set of isomorphism classes of simple modules from $\mathbf{H}(A, \Gamma)$.

Proposition 14. For any $a \in A$ and $\mathbf{m} \in \text{cfs}(\Gamma)$

$$aM(\mathbf{m}) \subseteq \coprod_{\mathbf{n} \in X_a(\mathbf{m})} M(\mathbf{n})$$

Proof. If $x \in M(\mathbf{m})$, then Γx has a composition series with all factors isomorphic to $S_{\mathbf{m}}$. Of course, $ax \in \Gamma a \Gamma x$. The last module is an epimorphic image of $\Gamma a \Gamma \otimes_{\Gamma} \Gamma x$. But $\Gamma a \Gamma \otimes_{\Gamma} S_{\mathbf{m}}$ has a composition series with the factors isomorphic to $S_{\mathbf{n}}$ for $\mathbf{n} \in X_a(\mathbf{m})$. Hence, the same is true for $\Gamma a \Gamma \otimes_{\Gamma} \Gamma x$ and for $\Gamma a \Gamma x$. As Γ is quasi-commutative, we obtain that

$$\Gamma a \Gamma x \subseteq \coprod_{\mathbf{n} \in X_a(\mathbf{m})} M(\mathbf{n})$$

Q.E.D.

For any $D \subseteq \text{cfs}(\Gamma)$, put $M(D) = \coprod_{\mathbf{m} \in D} M(\mathbf{m})$. If $R \subseteq \text{cfs}(\Gamma)^2$ is a relation on $\text{cfs}(\Gamma)$, call D R -closed provided $\mathbf{m} \in D$ and $(\mathbf{m}, \mathbf{n}) \in R$ implies $\mathbf{n} \in D$. Call the *support* of M the set

$$\text{Supp}M = \{ \mathbf{m} \in \text{cfs}(\Gamma) \mid M(\mathbf{m}) \neq 0 \}$$

Corollary 15. Let $M \in \mathbf{H}(A, \Gamma)$.

1. If $D \subseteq \text{cfs}(\Gamma)$ is \prec -closed, then $M(D)$ is a submodule of M .
2. $M = \coprod_{D \in \Delta(A, \Gamma)} M(D)$ as A -module.
3. If M is indecomposable and $M(\mathbf{m}) \neq 0$, then $\text{Supp}M \subseteq \Delta \mathbf{m}$.
4. If M is irreducible and $M(\mathbf{m}) \neq 0$, then $\text{Supp}M \subseteq \nabla \mathbf{m}$.

Denote $\mathbf{H}(A, \Gamma, D)$ the full subcategory of $\mathbf{H}(A, \Gamma)$ consisting of all modules M with $\text{Supp}(M) \subseteq D$ and $\text{Irr}(A, \Gamma, D)$ the set of isomorphism classes of simple modules from $\mathbf{H}(A, \Gamma, D)$.

Corollary 16.

1. $\mathbf{H}(A, \Gamma) = \coprod_{D \in \Delta(A, \Gamma)} \mathbf{H}(A, \Gamma, D)$ (the direct sum of categories).
2. $\text{Irr}(A, \Gamma) = \bigsqcup_{D \in \nabla(A, \Gamma)} \text{Irr}(A, \Gamma, D)$ (the disjoint union of sets).

1.5. CATEGORY \mathcal{A} .

Define a new category $\mathcal{A} = \mathcal{A}_{A,\Gamma}$ in the following way. The set of objects $\text{Ob}\mathcal{A} = \text{cfs}(\Gamma)$. The set of morphisms from \mathbf{m} to \mathbf{n} is

$$\mathcal{A}(\mathbf{m}, \mathbf{n}) = \varprojlim_{n,m} A/(\mathbf{n}^n A + A\mathbf{m}^m)$$

To define the multiplication $\mathcal{A}(\mathbf{n}, \mathbf{l}) \times \mathcal{A}(\mathbf{m}, \mathbf{n}) \longrightarrow \mathcal{A}(\mathbf{m}, \mathbf{l})$, take any two elements $a, b \in A$ and consider the left Γ -module $M = \Gamma a \Gamma / \Gamma a \mathbf{m}^m$ and the right Γ -module $L = \Gamma b \Gamma / \Gamma^\ell b \Gamma$. Both of them are finite-dimensional as Γ is quasi-central. Moreover, as Γ is quasi-commutative,

$$M = M_0 \oplus M_1 \text{ where } \mathbf{n}^n M_0 = 0 \text{ and } \mathbf{n} M_1 = M_1$$

and

$$L = L_0 \oplus L_1 \text{ where } L_0 \mathbf{n}^n = 0 \text{ and } L_1 \mathbf{n} = L_1$$

for some natural n .

Therefore, $a = a_0 + a_1$, $b = b_0 + b_1$ where:

$$\mathbf{n}^n a_0 \in A\mathbf{m}^m; a_1 \in \mathbf{n}^n A + A\mathbf{m}^m; b_0 \mathbf{n}^n \in \Gamma^\ell A; b_1 \in \Gamma^\ell A + A\mathbf{n}^n$$

Now it is obvious that the class of $b_0 a_0$ in $A/(\Gamma^\ell A + A\mathbf{m}^m)$ depends only on the classes of a and b in $A/(\mathbf{n}^n A + A\mathbf{m}^m)$ and in $A/(\Gamma^\ell A + A\mathbf{n}^n)$ respectively. Of course, it makes possible to define the needed multiplication.

Suppose that M is a Harish-Chandra module. If $x \in M(\mathbf{m})$, then $\mathbf{m}^m x = 0$ for some m . For an element $a \in A$ and an ideal $\mathbf{n} \in \text{cfs}(\Gamma)$ choose n as above. Then the projection of ax onto $M(\mathbf{n})$ again depends only on the class of a in $A/(\mathbf{n}^n A + A\mathbf{m}^m)$. Therefore, for any element $\alpha \in \mathcal{A}(\mathbf{m}, \mathbf{n})$ we are able to define the product $\alpha x \in M(\mathbf{n})$. In other words, the correspondence $\mathbf{m} \longmapsto M(\mathbf{m})$ becomes a functor from the category \mathcal{A} to the category \mathbf{Vect} of vector spaces over K . Moreover, this functor is continuous if we consider the discrete topology on vector spaces and the natural topology of the inverse limite on the sets $\mathcal{A}(\mathbf{m}, \mathbf{n})$. Call such functors *discrete \mathcal{A} -modules* or simply *\mathcal{A} -modules*.

If N is any \mathcal{A} -module, then we can construct the corresponding Harish-Chandra module as $\coprod_{\mathbf{m}} N(\mathbf{m})$. To define the product ax for $a \in A$, $x \in N(\mathbf{m})$, put $ax = \sum_{\mathbf{n}} a_{\mathbf{n}} x$ where $a_{\mathbf{n}}$ denotes the image of a in $\mathcal{A}(\mathbf{m}, \mathbf{n})$. This sum is finite due to COROLLARY 12.

Hence, we obtain the following result.

Theorem 17. *The category $\mathbf{H}(A, \Gamma)$ of Harish-Chandra modules is equivalent to the category $\mathcal{A} - \mathbf{mod}$ of discrete \mathcal{A} -modules.*

Of course, the image of a in $\mathcal{A}(\mathbf{m}, \mathbf{n})$ is non-zero if and only if $(\mathbf{m}, \mathbf{n}) \in X_a$. Therefore,

$$\mathcal{A} = \coprod_{D \in \Delta(\mathcal{A}, \Gamma)} \mathcal{A}(D)$$

where $\mathcal{A}(D)$ is the full subcategory of \mathcal{A} consisting of all objects $\mathbf{m} \in D$.

The following result from *general nonsense* seems to be rather known though we have never seen it published.

Theorem 18. *For any object $\mathbf{m} \in \text{Ob}\mathcal{A}$ let $\text{Irr}(\mathbf{m})$ denotes the set of isomorphism classes of simple \mathcal{A} -modules M such that $M(\mathbf{m}) \neq 0$. Then there is a 1-1 correspondence between $\text{Irr}(\mathbf{m})$ and the set $\text{Irr}\mathcal{A}(\mathbf{m}, \mathbf{m})$ of isomorphism classes of simple (discrete)² $\mathcal{A}(\mathbf{m}, \mathbf{m})$ -modules.*

Proof. Let M be an \mathcal{A} -module and let $U(\mathbf{m})$ be a non-trivial $\mathcal{A}(\mathbf{m}, \mathbf{m})$ -submodule of $M(\mathbf{m})$. Put $U(\mathbf{n}) = \mathcal{A}(\mathbf{m}, \mathbf{n})U(\mathbf{m})$ for any object \mathbf{n} . Then we obtain a non-trivial submodule U of M . Hence, if M is simple and $M(\mathbf{m}) \neq 0$, then $M(\mathbf{m})$ is a simple $\mathcal{A}(\mathbf{m}, \mathbf{m})$ -module.

On the other hand, let $N(\mathbf{m})$ be a simple $\mathcal{A}(\mathbf{m}, \mathbf{m})$ -module. Put

$$N(\mathbf{n}) = \mathcal{A}(\mathbf{m}, \mathbf{n}) \otimes_{\mathcal{A}(\mathbf{m}, \mathbf{m})} N(\mathbf{m})$$

Then the set $\{N(\mathbf{n})\}$ can be evidently viewed as an \mathcal{A} -module N . We claim that N contains the only maximal submodule N' and $N'(\mathbf{m}) = 0$. Really, if $L \subseteq N$ is a submodule and $L(\mathbf{m}) \neq 0$, then $L(\mathbf{m}) = N(\mathbf{m})$ as the last one is a simple $\mathcal{A}(\mathbf{m}, \mathbf{m})$ -module. But $N(\mathbf{m})$ generates N , hence, $L = N$. Therefore, if we denote N' the sum of all proper submodules $L \subset N$, then $N'(\mathbf{n}) = 0$ and N' is the only maximal submodule of N . Thus $M = N/N'$ is a simple \mathcal{A} -module with $M(\mathbf{m}) = N(\mathbf{m})$.

Moreover, if M' is any \mathcal{A} -module and $\varphi : N(\mathbf{m}) \rightarrow M'(\mathbf{m})$ is a homomorphism of $\mathcal{A}(\mathbf{m}, \mathbf{m})$ -modules, then it prolongs uniquely to a homomorphism of \mathcal{A} -modules $N \rightarrow M'$. In particular, if M' is simple with $M'(\mathbf{m}) \simeq M(\mathbf{m})$, then we obtain an epimorphism $\pi : N \rightarrow M'$. The kernel of π is a maximal submodule of N , hence it coincides with N' and $M' \simeq N/N' \simeq M$ Q.E.D.

Call the subalgebra Γ *big at the point \mathbf{m}* provided $\mathcal{A}(\mathbf{m}, \mathbf{m})$ is finitely generated as $\Gamma(\mathbf{m})$ -module (left or right or as bimodule which is equivalent as Γ is quasi-central).

Corollary 19. *Suppose that Γ is big at the point \mathbf{m} . Then:*

1. *The set $\text{Irr}(\mathbf{m})$ is finite.*

²in the same sence as above

2. For any simple Harish-Chandra module M the vector space $M(\mathbf{m})$ is finite-dimensional.

Proof. Put $B = \mathcal{A}(\mathbf{m}, \mathbf{m})$. $J = J_{\mathbf{m}}$ (cf. section 1.1). Then B/BJ is finite-dimensional, hence $J^n B \subseteq BJ$ for some n . If I is a maximal right ideal in B , then $I \supseteq J^n B$ (otherwise $I + BJ \supseteq I + J^n B = B$, whence $I = B$ by Nakayama's lemma). Therefore, $\text{Rad} B \supseteq J^n B$ and $B/\text{Rad} B$ is finite-dimensional, which implies both 1. and 2. Q.E.D.

2. Gelfand-Zetlin modules

2.1. GELFAND-ZETLIN SUBALGEBRA.

In this section we suppose that K is algebraically closed of characteristic 0 and denote $\mathcal{G}_m = \mathcal{GL}(m, K)$, $U_m = U(\mathcal{G}_m)$ and Z_m the centre of U_m . Put $\mathcal{G} = \mathcal{G}_n$, $U = U_n$ and identify \mathcal{G}_m for $m \leq n$ with the Lie subalgebra of \mathcal{G} generated by the matrix units $\{ \epsilon_{ij} \mid i, j = 1..m \}$. Then we obtain the inclusions: $\mathcal{G}_1 \subset \mathcal{G}_2 \subset \dots \subset \mathcal{G}_n = \mathcal{G}$ and $U_1 \subset U_2 \subset \dots \subset U_n = U$. Let Γ be the subalgebra of U generated by $\{ Z_m \mid m = 1..n \}$. Call Γ the *Gelfand-Zetlin subalgebra* of U or *GZ-subalgebra*. In this case the Harish-Chandra U -modules with respect to Γ are called the *Gelfand-Zetlin modules* (or *GZ-modules*) [2]. Respectively, we shall denote \mathbf{GZ} and $\mathbf{GZ}(D)$ the categorie of GZ-modules and that of GZ-modules with the support in D (where $D \subseteq \text{cfs}(\Gamma)$). We shall also write in this case \mathcal{U} for the category $\mathcal{A}_{U, \Gamma}$ (cf. section 1.5).

Proposition 20. Z_m is the polynomial algebra in m variables $\{ c_{km} \mid k = 1..m \}$ where

$$c_{km} = \sum_{i_1, i_2, \dots, i_k=1..m} \epsilon_{i_1 i_2} \epsilon_{i_2 i_3} \dots \epsilon_{i_k i_1}$$

(cf.[3]).

Put $\mathcal{L} = K^{n(n+1)/2}$. The elements of \mathcal{L} will be called "tableaux" and considered as double indexed families:

$$\ell = (\ell_{im} \mid m = 1..n; i = 1..m)$$

Denote \mathcal{L}^+ the subset of \mathcal{L} consisting of all tableaux ℓ such that $\ell_{im} \in \mathbf{Z}$ and $\ell_{im} \geq \ell_{i, m-1} > \ell_{i+1, m}$ for all possible values of i, m . For any vector $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_n) \in K^n$ let

$$\mathcal{L}_\alpha = \{ \ell \in \mathcal{L} \mid \ell_{in} = \alpha_i \text{ for } i = 1..n \}$$

and $\mathcal{L}_\alpha^+ = \mathcal{L}_\alpha \cap \mathcal{L}^+$. Clearly, $\mathcal{L}_\alpha^+ \neq \emptyset$ if and only if $\alpha_i \in \mathbf{Z}$ and $\alpha_i > \alpha_{i+1}$ for all possible i .

It is well-known that all finite-dimensional U -modules are Gelfand-Zetlin ones. Namely, the following statement holds (cf. [3]).

Proposition 21. *Let M be a finite-dimensional simple U -module. Then M possesses a base $\{[\ell] \mid \ell \in \mathcal{L}_\alpha^+\}$ for some $\alpha \in K^n$ such that:*

$$c_{km}[\ell] = c_{km}(\ell)[\ell],$$

$$E_m^\pm[\ell] = \sum_{i=1}^m a_{im}^\pm(\ell)[\ell \pm \delta^{im}]$$

where $E_m^+ = \epsilon_{m,m+1}$; $E_m^- = \epsilon_{m+1,m}$ ($m = 1..n-1$):

$$c_{km}(\ell) = \sum_{i=1}^m (\ell_{im} + m)^k \prod_{j \neq i} \left(1 - \frac{1}{\ell_{im} - \ell_{jm}}\right)$$

$$a_{im}^\pm(\ell) = \mp \frac{\prod_j (\ell_{j,m \pm 1} - \ell_{im})}{\prod_{j \neq i} (\ell_{jm} - \ell_{im})}$$

(here $\delta^{im} \in \mathcal{L}$ is the Kronecker symbol: $\delta_{jk}^{im} = 1$ if $i = j, m = k$ and 0 otherwise).

This base is called the *Gelfand-Zetlin base* of M . To precise α , we shall denote $M = M^\alpha$. Remark that the dominant weight of M^α is $(\alpha_1 + 1, \alpha_2 + 2, \dots, \alpha_n + n)$.

We shall also widely use the following *Harish-Chandra Theorem* (cf. [1]).

Proposition 22. *Let $u \in U$ is such that $uM = 0$ for any finite-dimensional simple U -module M . Then $u = 0$.*

Consider the polynomial algebra Λ in $n(n+1)/2$ variables λ_{im} where $m = 1..n$; $i = 1..m$. Identify Λ with the algebra of polynomial functions on \mathcal{L} putting $\lambda_{im}(\ell) = \ell_{im}$. Then \mathcal{L} is identified with $\text{cfs}(\Lambda)$. PROPOSITION 21 allows to define the homomorphism $\iota : \Gamma \rightarrow \Lambda$ which maps

$$c_{km} \mapsto \sum_{i=1}^m (\lambda_{im} + m)^k \prod_{j \neq i} \left(1 - \frac{1}{\lambda_{im} - \lambda_{jm}}\right)$$

It is not difficult to check that it is really a polynomial of degree k in λ_{im} of the form $\sum_i \lambda_{im}^k + h$ with $\text{deg} h < k$.

The symmetric group S_m acts on Λ permuting λ_{im} ($i = 1..m$; m fixed). Thus the direct product $S = \prod_{m=1}^n S_m$ acts on Λ . As the power sums are algebraically independent and generate the algebra of the symmetric polynomials, we obtain the following

Corollary 23. ι is an inclusion and its image coincides with the algebra of invariants Λ^S . In particular, Γ is the polynomial algebra in c_{km} ($m = 1..n$; $k = 1..m$).

From now on identify Γ with its image in Λ . This inclusion induces the surjection $\pi : \mathcal{L} \rightarrow \text{cfs}(\Gamma)$ which identifies $\text{cfs}(\Gamma)$ with the orbit set \mathcal{L}/S . If M is a GZ-module, write $M(\ell)$ instead $M(\pi(\ell))$ for $\ell \in \mathcal{L}$, $\mathbf{GZ}(D)$ instead of $\mathbf{GZ}(\pi(D))$ for $D \subseteq \mathcal{L}$ etc.

Let \mathcal{L}_0 be the subgroup of \mathcal{L} generated by all δ^{im} ($i = 1..m$; $m = 1..n-1$). For two elements $\mathbf{m}, \mathbf{n} \in \text{cfs}(\Gamma)$ put $\mathbf{m} \equiv \mathbf{n}$ provided there exist $\ell, \ell' \in \mathcal{L}$ such that $\mathbf{m} = \pi(\ell)$, $\mathbf{n} = \pi(\ell')$ and $\ell - \ell' \in \mathcal{L}_0$. Of course, it is an equivalence relation on $\text{cfs}(\Gamma)$. Denote Ω the set of equivalence classes of \equiv . Define also two subsets, \mathcal{L}_1 and \mathcal{L}_2 , in \mathcal{L} :

$$\mathcal{L}_1 = \{ \ell \mid \ell_{im} - \ell_{jm} \notin \mathbf{Z} \text{ for all } i \neq j \text{ and } m = 2..n-1 \}$$

$$\mathcal{L}_2 = \mathcal{L}_1 \cap \{ \ell \mid \ell_{im} - \ell_{j,m+1} \notin \mathbf{Z} \text{ for all } i, j \text{ and } m = 1..n-1 \}$$

Evidently, \mathcal{L}_1 and \mathcal{L}_2 are stable under the congruence modulo \mathcal{L}_0 and under the action of the group S . So their images in Ω are well-defined. Denote them Ω_1 and Ω_2 respectively. Remark that both \mathcal{L}_1 and \mathcal{L}_2 are dense in Zarisky topology on \mathcal{L} . Moreover, if $K = \mathbf{C}$, they are dense in usual (euclidean) topology as well.

The main theorem of this chapter is the following one.

Theorem 24.

1. The Gelfand-Zetlin subalgebra is a Harish-Chandra subalgebra of U .
2. $\mathcal{U} = \coprod_{D \in \Omega} \mathcal{U}(D)$
3. If $\ell \in \mathcal{L}_1$, then there exists the unique simple GZ-module M with $M(\ell) \neq 0$. Moreover, in this module $\dim(M(\ell)) = 1$.
4. If $D \in \Omega_2$, then there exists the unique simple GZ-module M in $\mathbf{GZ}(D)$. Moreover, $\text{Supp}(M) = D$.

2.2. SOME IDENTITIES IN U .

For any element $x \in M^\alpha$ and any tableaux $\ell \in \mathcal{L}_\alpha^+$ let x_ℓ be its $[\ell]$ -coefficient with respect to GZ-basis, i.e.

$$x = \sum_{\ell \in \mathcal{L}_\alpha^+} x_\ell [\ell]$$

(cf. PROPOSITION 21). For $u \in U$ denote \mathcal{L}_u the set of all such tableaux $\delta \in \mathcal{L}$ that there exist $\ell \in \mathcal{L}^+$ and $\sigma \in S$ with $(u[\ell])_{\ell+\sigma(\delta)} \neq 0$. As U is generated

by the elements E_m^\pm ($m = 1..n - 1$), it follows from PROPOSITION 21 that \mathcal{L}_u is finite and $\mathcal{L}_u \subseteq \mathcal{L}_0$. Say that u relates ℓ with ℓ' provided $\ell' = \sigma(\ell + \delta)$ for some $\sigma \in S$ and $\delta \in \mathcal{L}_u$. Denote $u(\ell)$ the set of all $\ell' \in \mathcal{L}$ such that u relates ℓ with ℓ' . Thus, for any $\ell \in \mathcal{L}^+$ we have:

$$u[\ell] = \sum_{\delta \in \mathcal{L}_u} \theta(u, \ell, \delta)[\ell + \delta]$$

for certain coefficients $\theta(u, \ell, \delta) \in K$ (some of them may be 0).

Any $\delta \in \mathcal{L}$ defines an automorphism $\lambda \mapsto \lambda^\delta$ of Λ where $\lambda_{im}^\delta = \lambda_{im} + \delta_{im}$. For any $z \in \Gamma$ and $u \in U$ form the polynomial

$$F_{u,z}(T, \lambda) = \prod_{\delta \in \mathcal{L}_u} (T - z^\delta)$$

Clearly, $F_{u,z} \in \Gamma[T]$, as \mathcal{L}_u is, by definition, stable under the action of S .

Lemma 25. Let $z \in \Gamma$ and $F_{u,z} = \sum_i T^i \phi_i$ where $\phi_i \in \Gamma$ and i runs through all possible multy-indices. Then $\sum_i z^i u \phi_i = 0$.

Proof. By PROPOSITIONS 21 and 22. we need only to prove that $\sum_i z^i u \phi_i[\ell] = 0$ for any $\ell \in \mathcal{L}^+$. But

$$\begin{aligned} \sum_i z^i u \phi_i[\ell] &= \sum_i z^i u \phi_i(\ell)[\ell] = \sum_i z^i \phi_i(\ell) \sum_{\delta \in \mathcal{L}_u} \theta(u, \ell, \delta)[\ell + \delta] = \\ &= \sum_{\delta \in \mathcal{L}_u} \theta(u, \ell, \delta) \sum_i z(\ell + \delta)^i \phi_i(\ell)[\ell + \delta] = \sum_{\delta \in \mathcal{L}_u} \theta(u, \ell, \delta) F_{u,z}(z^\delta(\ell), \ell)[\ell + \delta] = 0 \end{aligned}$$

Q.E.D.

Remark. The same result remains valid for $z \in Z_m$ if we replace $F_{u,z}$ by

$$F_{u,z,m}(T, \lambda_m) = \prod_{\delta \in \mathcal{L}_{u,m}} (T - z^\delta)$$

where $\lambda_m = (\lambda_{1m}, \lambda_{2m}, \dots, \lambda_{mm})$ and $\mathcal{L}_{u,m}$ denotes the set of the m -th rows $(\delta_{1m}, \delta_{2m}, \dots, \delta_{mm})$ of all elements $\delta \in \mathcal{L}_u$.

Corollary 26. Γ is a Harish-Chandra subalgebra in U .

Proof. Evidently, $F_{u,z} = T^k + \sum_{i < k} T^i \phi_i$ for $k = \text{card}(\mathcal{L}_u)$. So, by LEMMA 25. $z^k u \in \sum_{i=1}^{k-1} z^i u \Gamma$. As Γ is a finitely generated algebra, it follows that $\Gamma u \Gamma$ is a finitely generated Γ -module. But the standard involution of U (mapping $g \in \mathcal{G}$ to $-g$, cf. [1]) maps Γ to Γ . So $\Gamma u \Gamma$ is also finitely generated as left Γ -module Q.E.D.

Corollary 27. Suppose that $(\mathbf{m}, \mathbf{n}) \in X_u$ where $\mathbf{m} = \pi(\ell)$, $\mathbf{n} = \pi(\ell')$. Then $\ell' \in u(\ell)$.

Proof. Let $\ell' \notin u(\ell)$, i.e. $\mathbf{n} \neq \pi(\ell + \delta)$ for all $\delta \in \mathcal{L}_u$. Then there exists $z \in \Gamma$ lying in all $\pi(\ell + \delta)$ but not in \mathbf{n} . As $(\mathbf{m}, \mathbf{n}) \in X_u$, there exists $v \in \Gamma u \Gamma / \Gamma u \mathbf{m}$ such that $v \neq 0$ and $\mathbf{n}v = 0$. But we have:

$$\begin{aligned} 0 &= \sum_i z^i u \phi_i = \sum_i z^i u (\phi_i - \phi_i(\ell)) + \sum_i z^i u \phi_i(\ell) = \\ &= u_0 + \sum_i z^i \phi_i(\ell) u = u_0 + F_{u,z}(z, \ell) u \end{aligned}$$

where $u_0 \in \Gamma u \mathbf{m}$, whence

$$0 = F_{u,z}(z, \ell) v = F_{u,z}(z(\ell'), \ell) v = \prod_{\delta \in \mathcal{L}_u} (z(\ell') - z(\ell + \delta)) v = z(\ell')^k v$$

This is a contradiction as $v \neq 0$, $z(\ell') \neq 0$ Q.E.D.

Corollary 28. $\Delta \subseteq \equiv$, i.e. $(\mathbf{m}, \mathbf{n}) \in \Delta$ implies $\mathbf{m} \equiv \mathbf{n}$.³

COROLLARY 26 coincides with p.1. of THEOREM 24 and COROLLARY 28 evidently implies p.2. of it. To prove the rest of the theorem, we need the following observations.

PROPOSITION 21 implies that the coefficients $\theta(u, \ell, \delta)$ are rational functions in ℓ_{im} . So they can be considered as elements of the field of fractions Q of Λ which we denote $\theta(u, \lambda, \delta)$. Moreover, the denominator of $\theta(u, \lambda, \delta)$ is a product of some of $\lambda_{im} - \lambda_{jm} - k$ ($i \neq j$), where k is some integer. Thus $\theta(u, \ell, \delta)$ is defined for any $\ell \in \mathcal{L}_1$. Remark that $\theta(u, \ell, 0)$ is obviously S -invariant. Hence, it lies in Q^S which is the field of fractions of $\Lambda^S = \Gamma$.

Lemma 29. Let again $z \in \Gamma$. Put $\theta_u = \theta(u, \lambda, 0) = \beta_u / \gamma_u$ where $\beta_u, \gamma_u \in \Gamma$ and

$$F_{u,z}^0(T) = \prod_{\delta \in \mathcal{L}_u \setminus \emptyset} (T - z^\delta) = \sum_i T^i \psi_i$$

Then

$$\gamma_u \sum_i z^i u \psi_i = \beta_u \sum_i z^i \psi_i$$

The proof is quite the same as that of LEMMA 25, so we omit it.

³cf. section 1.4 for the definition of the relation Δ .

2.3. MODULES $\mathcal{M}(L)$.

Take a coset $L \in \mathcal{L}/\mathcal{L}_0$ and suppose that $L \subset \mathcal{L}_1$. Consider the vector space $\mathcal{M}(L)$ with the basis $\{[\ell] \mid \ell \in L\}$ and put, for every $u \in U$:

$$u[\ell] = \sum_{\delta \in \mathcal{L}_u} \theta(u, \ell, \delta)[\ell + \delta]$$

PROPOSITIONS 21 and 22 evidently imply then that $\mathcal{M}(L)$ becomes a GZ-module over U with $\text{Supp}\mathcal{M}(L) = L$ and $\dim\mathcal{M}(L)(\ell) = 1$ for all $\ell \in L$.

For any $\ell \in L$ denote \mathcal{M}_ℓ the submodule of $\mathcal{M}(L)$ generated by $[\ell]$.

Theorem 30. *There exists the unique maximal submodule $\mathcal{M}'_\ell \subset \mathcal{M}_\ell$ and the factor-module $V_\ell = \mathcal{M}_\ell/\mathcal{M}'_\ell$ is the unique simple GZ-module with $V_\ell(\ell) \neq 0$.*

Proof. As $\dim\mathcal{M}_\ell(\ell) = 1$, $N(\ell) = 0$ for any proper submodule $N \subset \mathcal{M}_\ell$ which implies the existence and uniqueness of \mathcal{M}'_ℓ . Hence, V_ℓ is really a well-defined simple GZ-module with $\dim V_\ell(\ell) = 1$. Its uniqueness follows from THEOREM 18 and the next fact.

Proposition 31. *If $\mathfrak{m} = \pi(\ell)$ and $\ell \in \mathcal{L}_1$, then $\mathcal{U}(\mathfrak{m}, \mathfrak{m})$ is isomorphic to a factor-algebra of $\Gamma(\mathfrak{m})$.⁴*

Proof. Take any $u \in U$. If $0 \notin \mathcal{L}_u$, then the image of u in $\mathcal{U}(\mathfrak{m}, \mathfrak{m})$ is zero by COROLLARY 27. If $0 \in \mathcal{L}_u$, find $z \in \Gamma$ such that $z^\delta \in \mathfrak{m}^m$ for all $\delta \in \mathcal{L}_u \setminus 0$ and $z - 1 \in \mathfrak{m}^n$. Use LEMMA 29. Here all $\iota_i \in \mathfrak{m}^m$ except $\iota_k = 1$ for $k = \text{card}(\mathcal{L}_u \setminus 0)$. So we have $\gamma_u z^k u = \beta_u z^k + u_0$ where $u_0 \in U\mathfrak{m}^m$, whence in $U/(\mathfrak{m}^n U + U\mathfrak{m}^m)$ the images of $\gamma_u u$ and β_u coincide. But as $\ell \in \mathcal{L}_1$, the image of γ_u in $\Gamma(\mathfrak{m})$ is invertible. Hence the image of u in $\mathcal{U}(\mathfrak{m}, \mathfrak{m})$ coincides with that of β_u/γ_u . Q.E.D.

THEOREM 30 implies p.3. of THEOREM 24. At last, p.4. of it is now a consequence of the following theorem.

Theorem 32. *If $D \in \Omega_2$, then all objects in $\mathcal{U}(D)$ are isomorphic.*

Proof. Let $\eta \in \Gamma$ and $u = E_m^- \eta E_m^+$. Denote also $\theta = \theta(u, \lambda, 0) = \beta/\gamma$ with $\beta, \gamma \in \Gamma$. PROPOSITION 21 implies that

$$\theta = \sum_{i=1}^m a_{im}^-(\lambda + \delta^{im}) a_{im}^+(\lambda) \eta(\lambda + \delta^{im})$$

⁴Probably, in the case $\mathcal{U}(\mathfrak{m}, \mathfrak{m}) \simeq \Gamma(\mathfrak{m})$ but we have no proof of it. At least, $\mathcal{U}(\mathfrak{m}, \mathfrak{m}) \neq 0$ as there exist GZ-modules M with $M(\ell) \neq 0$.

(cf. *ibid.* for notations). Suppose that $\ell \in \mathcal{L}_2$ and put $\mathbf{m} = \pi(\ell)$, $\mathbf{m}_i = \pi(\ell + \delta^{im})$. As $\ell \in \mathcal{L}_1$, we have $\gamma(\ell) \neq 0$. Moreover, the elements ℓ , $\ell + \delta^{im}$, $\ell + \delta^{jm}$, $\ell + \delta^{im} - \delta^{jm}$ ($j \neq i$) lie in different S -orbits. Hence, they have different images under π and we are able to choose η and $z \in \Gamma$ such that:

$$\eta(\ell + \delta^{im}) = 1, \quad \eta(\ell + \delta^{jm}) = 0 \text{ for } j \neq i$$

$$z(\ell) = 1, \quad z(\ell + \delta^{im} - \delta^{jm}) = 0 \text{ for } j \neq i$$

Now use LEMMA 29. Remark that in our case $\mathcal{L}_u \setminus 0 = \{ \delta^{im} - \delta^{jm} \mid j \neq i \}$. Therefore, we obtain that $\gamma(\mathbf{m}) \neq 0$ and all $\psi_s(\mathbf{m}) = 0$ except $\psi_k(\mathbf{m}) = 1$ for $k = \text{card}(\mathcal{L}_u \setminus 0)$. Hence, the image of u in $\mathcal{U}(\mathbf{m}, \mathbf{m})$ is invertible. Denote e_i^+ the image of E_{im}^+ in $\mathcal{U}(\mathbf{m}, \mathbf{m}_i)$ and e_i^- the image of $E_{im}^- \eta$ in $\mathcal{U}(\mathbf{m}_i, \mathbf{m})$. It follows then (just as in the proof of PROPOSITION 31) that $e_i^- e_i^+$ is invertible and e_i^+ is left invertible. Quite analogous calculation shows that e_i^+ is right invertible. Thus e_i^+ is invertible and $\mathbf{m} \simeq \mathbf{m}_i$ in \mathcal{U} . As i, m were arbitrary and \mathcal{L}_0 is generated by δ^{im} , it implies the statement Q.E.D.

Corollary 33. *If $L \subset \mathcal{L}_2$, the module $\mathcal{M}(L)$ is the unique simple GZ-module in $\mathbf{GZ}(L)$.*

Now THEOREM 24 is completely proved.

Conjecture. *For any $D \in \Omega$ the set $\text{Irr}(\mathcal{U}, \Gamma, D)$ is finite.*

Really, this conjecture would follow from the following two:

1. *For any $\mathbf{m} \in \text{cfs}(\Gamma)$ the subalgebra Γ is big at the point \mathbf{m} , hence the set $\text{Irr}(\mathbf{m})$ is finite (cf. COROLLARY 19).* 2. *For any $D \in \Omega$ there are only finitely many non-isomorphic objects in $\mathcal{U}(D)$.*

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